

Agreement

between

**U.S. Department of Housing
and Urban Development**

and

**American Federation of
Government Employees
AFL-CIO**

1998

PREAMBLE

This Agreement is made and entered into by and between the United States Department of Housing and Urban Development, hereinafter referred to as "Management" and the American Federation of Government Employees, AFL-CIO, hereinafter referred to as the "Union," together referred to as the "Parties."

Management and the Union agree that labor-management relations within the Department are strengthened by the participation of employees in the formulation and implementation of personnel policies and practices relating to their conditions of employment and through constructive and cooperative relationships with labor organizations.

The parties affirm that the public purposes to which the Department is dedicated can be advanced through understanding and cooperation achieved through collective bargaining as defined in Public Law 95-454. The provisions of the Contract shall be administered and interpreted in a manner consistent with the requirement for an effective and efficient Government.

The terms and conditions of this Agreement apply only to employees within the bargaining unit.

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ARTICLE 1
COVERAGE AND RECOGNITION

Section 1.01 - Recognition.

- (1) The Union is recognized as the sole and exclusive representative for all bargaining unit employees as defined in the following Sections of this Article.
- (2) As the sole and exclusive representative, the Union is entitled to act for and to negotiate agreements covering all employees in the bargaining unit. The Union is responsible for representing all employees in the bargaining unit without discrimination and without regard to Union membership.
- (3) Management agrees that in regard to the bargaining unit, it will not enter into other agreements, understandings, or contracts with any other organization, association, group of employees, or union on matters concerning the conditions of employment of the bargaining unit.
- (4) Management and the Union agree that, in regard to the bargaining unit, they will not do anything by custom or practice that will contravene or violate this Agreement. The parties recognize that changes may be made to this Agreement when required by law, Government-wide regulation, or other appropriate authority outside the Department, such as the Comptroller General.

Section 1.02 - Bargaining Unit. Bargaining unit employees include all eligible employees of the offices listed on the description of the consolidated unit which is attached as Appendix A.

- (1) The Union represents employees in a nonprofessional unit and a professional unit as described in Appendix A.
- (2) "Professional employee" is defined by Public Law 95-454 (Title VII of the Civil Service Reform Act of 1978 (5 U.S.C. 7103)). Examples of "professional" positions in the Department's professional unit are: accountants, architects, attorneys, engineers, and economists, as well as other similar fields.

Section 1.03 - Position Exclusions. The following groups of employees are excluded from the units:

- (1) Any management official or supervisor;

- (2) A confidential employee;
- (3) An employee engaged in personnel work in other than a purely clerical capacity;
- (4) Any employee primarily engaged in investigation or audit functions relating to the work of individuals employed by an agency whose duties directly affect internal security of the agency, but only if the functions are undertaken to ensure that the duties are discharged honestly and with integrity; and
- (5) Temporary employees with appointments not to exceed six (6) months or less.

Section 1.04 - Scope and Future Application. If the Union, in the future, becomes certified as exclusive representative for employees of the Department not now included with the bargaining unit, this Agreement shall extend automatically to those employees. Management and the Union agree that when a new group of employees is added to the bargaining unit, any past practices that exist with respect to those employees shall continue unless such practices contravene or violate specific provisions of this Agreement, any law, Governmentwide regulation, or other appropriate authority outside the Department. If the past practice is discontinued, the Union shall be given an opportunity to bargain in accordance with the Statute.

With regard to past practices in existence at the time of the execution of this Agreement, those practices shall be discontinued if such practices contravene or violate specific provisions of this Agreement, any law, Governmentwide regulation, or other appropriate authority outside the Department. If any past practice is discontinued, the Union shall be given an opportunity to bargain in accordance with the Statute.

Section 1.05 - Exclusions from the Unit. If Management makes the decision to exclude any position from the existing bargaining unit as it stands on the effective date of this Agreement, before Management takes the action, the Local Union representative shall first be notified. Upon receipt of the notice, the Local Union representative may meet with Management within five (5) working days to attempt to resolve the matter. If the matter is not resolved, either party may follow the applicable Federal Labor Relations Authority (FLRA) procedures.

ARTICLE 2
DEFINITIONS

Section 2.01 - Definitions. For the purposes of this Agreement, the following words and terms shall have the stated meanings:

- (1) "**Contract**" or "**Agreement**" shall mean this collective bargaining Agreement between the parties.
- (2) "**Council**" shall mean the National Council of HUD Locals, the Union's designated agent to handle the day-to-day business in the administration of this Agreement and other appropriate business of the Union, as specified in the delegation of authority by the American Federation of Government Employees, AFL-CIO.
- (3) "**Days**" means calendar days.
- (4) "**Disabled**" shall mean "qualified handicapped individual" or "individual with handicaps" as defined in the Rehabilitation Act of 1973, as amended.
- (5) "**Employee**" shall mean bargaining unit employee as described in Article 1, Section 1.02, of this Agreement.
- (6) "**Family Member**" means the following relatives of an employee: the spouse; parents; spouse's parents; children (including adopted children) and their spouses; brothers and sisters, and their spouses; and any other individual related by blood or affinity whose close association with an employee is the equivalent of a family relationship."
- (7) "**Geographic Area**" means the Department's designated geographic areas where it maintains HUD Offices.
- (8) "**Government**" means the United States of America.
- (9) "**Governmentwide rule or regulation**" shall have the meaning ascribed to it by the Federal Labor Relations Authority.
- (10) "**Local**" as distinguished from "local" shall mean a constituent AFGE Local designated by the HUD Council of Locals to act on its behalf.
- (11) "**Management**" or "**Department**" shall mean the United States Department of Housing and Urban Development and its managers and supervisors, to the extent authorized to act on behalf of Management.

- (12) "**The Statute**" shall mean the labor relations Statute which is the title that governs Federal labor relations for employees of the Federal Government. (Also known as the Federal Service Labor-Management Relations Statute, 5 U.S.C. 7101, et seq., (Title VII of the Civil Service Reform Act of 1978)).
- (13) "**Statutory appeal**" means an appeals process which is specifically prescribed by law, as opposed to one negotiated by the parties, as an employee's redress for complaint.
- (14) "**Training**" means the process of providing for and making available to an employee, on-the-job training (OJT), and/or placing or enrolling the employee in a planned, prepared, and coordinated program, course, or curriculum, in technical, mechanical, trade, clerical, fiscal, administrative, or other fields which will improve individual and organizational performance and assist in achieving the agency's mission and performance goals.
- (15) "**Union**" shall mean the American Federation of Government Employees (AFGE), AFL-CIO, and its authorized agents, including the National Council of HUD Locals, and its officers and stewards, to the extent that they are authorized to act on behalf of the Union.
- (16) "**Unit**" as used in this Agreement, shall mean the AFGE exclusively recognized bargaining unit within the Department of Housing and Urban Development.

Section 2.02 - Other Words and Terms. Any word or term used in this Agreement not defined in Section 2.01 above, shall have the common, dictionary meaning with only the following exceptions:

- (1) **Statutory Wording.** Where wording is used which is the same and in the same context as the Statute, it shall have the same meaning as that in the Statute unless clearly stated otherwise.
- (2) **Terms of Art.** Where terms or phrases are used having special meaning in the Government personnel system, the meaning ascribed to them in the Federal Personnel Manual or Regulations shall be controlling unless clearly stated otherwise.
- (3) **Contract Phrases.** Where an Article of this Agreement deals with special terms or phrases, they shall be defined by the particular Article in which they appear.

ARTICLE 3
RIGHTS AND OBLIGATIONS OF THE PARTIES

Section 3.01 - Governing Authorities. In the administration of all matters covered by this Agreement, the parties are governed by existing and future laws, existing Governmentwide regulations, and existing and future decisions of outside authorities binding on the Department.

Section 3.02 - Rights of Union Recognition. The Union is the exclusive representative of the employees in the unit and is entitled to act and contract for all employees in the unit. The Union is responsible for representing the interests of all employees in the bargaining unit without discrimination and without regard to labor organization membership. Management shall fulfill any bargaining obligations imposed by law. Soliciting of membership in the Union is internal Union business and is prohibited on official time.

Section 3.03 - Union Presence at Formal Discussions.

- (1) The Civil Service Reform Act of 1978 provides that the Union shall be informed of and be entitled to be present at "all formal discussions"¹ between one (1) or more representatives of Management and one (1) or more unit employees, or their representatives, concerning any grievance, personnel policies and practices, and other general conditions of employment. Consistent with the Act, Management will not communicate directly with employees regarding conditions of employment in a manner which under the law will improperly bypass the Union. The Union representative may participate and ask questions, as appropriate.
- (2) Meetings held for the purpose of making a statement or announcement and not to engender a dialogue, if they meet the Federal Labor Relations Authority (FLRA) criteria, are formal discussions. It is not necessary that a meeting propose or result in a change in working conditions or personnel policies or practices to be considered a formal meeting. In a number of case decisions, the FLRA has noted several factors relevant to a determination of whether discussions are formal. These factors are:

¹ In formal discussions, the Union representative may participate and ask questions, as appropriate. In this instance "participate" means the right to comment, speak and make statements.

- (a) Whether the individual who held the discussions is a first-level supervisor or is higher in the management hierarchy;
- (b) Whether any other Management representatives attended;
- (c) Where the individual meetings took place, i.e., the supervisor's office, at each employee's desk, or elsewhere;
- (d) How long the meetings lasted;
- (e) How the meetings were called, i.e., with formal advance written notice or more spontaneously and informally;
- (f) Whether a formal agenda was established for the meetings;
- (g) Whether each employee's attendance was mandatory; and
- (h) The manner in which the meetings were conducted, i.e., whether the employee's identity and comments were noted or transcribed.

FLRA has further stated that this list of eight (8) factors is not exhaustive, that other factors may be identified and applied as appropriate in a particular case, and in determining the "formality" of the meeting, the FLRA will consider the totality of facts and circumstances presented.

- (3) Examples of discussions which would be formal, if the above described factors are present, are meetings between bargaining unit employees and Management representatives to discuss such topics as reorganizations, space changes, reductions-in-force, and office relocations. Other examples are employee orientations and exit interviews. These examples are not intended to be exhaustive.

Section 3.04 - Proper Notice. Notice to the Union of a formal meeting shall be provided to the designated Union representative as soon as Management has the information stipulated below, but generally not less than forty-eight (48) hours in advance of the meeting, except in unusual circumstances. The notice shall include:

- (1) The general nature of the meeting;
- (2) Copies of any handouts that will be distributed, if available; and
- (3) The general identification of who will be expected to attend.

Section 3.05 - Union Delegations of Authority. The Union may delegate its authority as exclusive representative to whatever agent it deems appropriate. Management shall recognize such agents and conduct appropriate labor relations business with them, upon receipt of written delegations. The Union shall hold its agents responsible for complying with this Agreement and the labor relations chapter of the Civil Service Reform Act of 1978.

Section 3.06 - Management Rights. Nothing in this Agreement shall affect the authority of Management:

- (1) To determine the mission, budget, organization, number of employees, and internal security practices of the agency; and
- (2) In accordance with applicable laws and its duty to bargain on such matters, to the extent provided by law:
 - (a) To hire, assign, direct, lay off, and retain employees in the agency; or to suspend, remove, reduce in grade or pay; or take other disciplinary action against such employees;
 - (b) To assign work, to make determinations with respect to contracting out and to determine the personnel by which agency operations shall be conducted;
 - (c) With respect to the filling of positions, to make selections for appointments from:
 - o Among properly ranked and certified candidates for promotion; or
 - o Any other appropriate source.
 - (d) To take whatever actions may be necessary to carry out the agency mission during emergencies.

Section 3.07 - Management Delegations of Authority. Should a Union representative be uncertain of which official in management has authority to represent the Department on a matter of concern, such information may be requested by the Union. Management shall promptly reply to such requests.

Section 3.08 - HUD and Other Surveys. Management will provide the Union with an advance copy of all HUD surveys and other non-HUD surveys on personnel practices, policies and working conditions, if Management receives them in advance. Results,

analyses, and recommendations produced by these surveys will be shared with the Union before it is communicated to Bargaining Unit employees. Surveys initiated with the Union's concurrence shall include a statement on the survey indicating the Union's concurrence.

Section 3.09 - Conduct of Labor-Management Relations. Representatives of the parties shall conduct themselves in the professional manner that their positions and responsibilities warrant. The parties to this Agreement shall strive to achieve a relationship based on mutual trust and respect for the job each is required to do.

Section 3.10 - Agency Regulations. Management will furnish the Council with an advance copy of any proposed change in agency regulations referred to in this Agreement governing personnel policies and practices, and general conditions of employment. This Section shall not be construed to require Management to issue, change, or retain a regulation, but is intended to effectuate stability and fairness in implementing regulations.

ARTICLE 4
EMPLOYEE RIGHTS/STANDARDS OF CONDUCT

Section 4.01 - General. Employees have the right to direct and to pursue their private lives consistent with the standards of conduct, as clarified by this Article, without interference, coercion or discrimination by Management. Employees shall be treated fairly and equitably in the administration of this Agreement and in policies and practices concerning conditions of employment, and may grieve any matter relating to employment.

Section 4.02 - Right to Participate in the Labor Organization. Any employee of the Department has the right, freely and without fear of penalty or reprisal, to form, join, or assist the Union and its affiliated Locals, or to refrain from any such activity. As provided in the Statute, an employee's right to assist a labor organization extends to participation in the management of the Union.

Section 4.03 - Performance of Duties. Employees shall perform all lawful duties assigned by appropriate Management officials and the successful performance of these duties shall not be the reason for delay or denial of a within-grade increase, or career ladder promotion, or for an act of reprisal against an employee.

Section 4.04 - Complying with Orders.

- (1) Employees recognize their responsibility to promptly comply with all orders and instructions from their supervisors. If an employee reasonably believes that an order or instruction patently violates any law, rule, or regulation, he/she has the right to state those beliefs to the supervisor. If the instruction remains unchanged, the employee has the right to state concisely his/her beliefs promptly and orally to the next higher level of Management available. If the order or instruction is confirmed by that higher level Management, or if the higher level of Management is not readily available, then the order or instruction shall be carried out promptly by the employee.
- (2) Continued refusal to carry out an order or instruction may be cause for disciplinary action. An exception would be when an employee reasonably believes he/she will be in a situation of imminent danger, of death or serious bodily harm, and that there is insufficient time to effectively seek corrective action.

Section 4.05 - Employee's Personnel Records.

- (1) Management shall maintain and retain employee personnel records in accordance with law, rule, regulation, and this Agreement. The contents of any personnel file shall be made available for review without charge to leave or loss of pay, and copies thereof provided, upon the employee's request, to the employee (or his/her designated representative). In accordance with applicable laws and regulations, materials which are no longer relevant to the supervisor and employee shall be withdrawn and destroyed.
- (2) Personnel records kept by an employee's immediate supervisor shall be maintained in a secure, confidential file and shall be accessed only by officials with an administrative need to know its contents.
- (3) Annually, employees shall be advised of the nature, purpose, and location of their Official Personnel Folder (OPF) and of their right to access to their OPFs.

Section 4.06 - Morale. Recognizing that productivity is enhanced when their morale is high, managers, supervisors, and employees shall endeavor to treat one another with the utmost respect and dignity, notwithstanding the type of work or grade of jobs held.

Section 4.07 - Voluntary Participation. Management may provide the opportunity, but may not require employees to participate in recognized Savings Bonds programs, charitable campaigns for contributions, or other community activities not related to the employee's job.

Section 4.08 - Right to Representation In Investigatory Interviews.

- (1) Each employee shall have the right to be represented by the Union at an examination of the employee conducted by a representative of Management in connection with an investigation if:
 - (a) The employee reasonably believes that the examination may result in disciplinary action against the employee; and
 - (b) The employee requests representation.
- (2) If, during a meeting between the unit employee and a Management official, the unit employee reasonably believes the meeting may result in disciplinary action, the employee

may request to be represented by the Union. If such a request is made, the Management official shall suspend the meeting. The unit employee shall immediately inform the Union. The Management official shall reschedule the meeting.

- (3) When Management schedules a meeting for the purpose of disciplining an employee, Management shall inform the employee of his/her right to representation by the Union.
- (4) At any meeting described in this Section in which attendance, participation, or representation by an official of the Union takes place, that official shall continue on official time as provided for in Article 7 of this Agreement.
- (5) Management shall annually inform employees of their rights under this Section.

Section 4.09 - Standards of Conduct.

- (1) **General.** Employees of the Executive Branch are subject to Governmentwide ethics regulations pursuant to several statutory authorities. These regulations include 5 CFR 2634 - Executive Branch Financial Disclosure, Qualified Trust, and Certificates of Divestiture; 5 CFR 2635 - Standards of Ethical Conduct for Employees of the Executive Branch; and 5 CFR 7500 - Supplemental Standards of Ethical Conduct for Employees of the Department of Housing and Urban Development. Management and the Union recognize that the public interest requires the maintenance of high standards of conduct, equitably applied and clearly understood. To the extent that any provision of this Agreement conflicts with any provision of a Governmentwide law, rule or regulation, including HUD's supplemental regulation, the law, rule or regulation shall prevail.
- (2) **Political Activities.**
 - (a) Consistent with the Hatch Act, the Hatch Reform Amendments, and Governmentwide regulation, employees may engage in political activities.
 - (b) Questions concerning interpretation or application of the Hatch Act or restricting political activities may, at the employee's option, be directed to the Office of Special Counsel, the Merit Systems Protection Board (MSPB), the HUD General Counsel, or to any HUD Field Assistant General Counsel.

(3) **Outside Employment and Other Activities.**

(a) Outside employment and other activities are governed by, among other ethics authorities, 5 CFR 2635 - Subpart H and the HUD Supplement at 5 CFR 7500. Pursuant to governing authorities, employees shall obtain prior written approval before engaging in outside employment and activities consistent with 7501.105 of the HUD supplement. Requests for prior approval will generally be approved unless inconsistent with the HUD or Executive Branch Governmentwide standards of conduct. In order to determine whether an employee is required to obtain prior approval, employees are encouraged to consult with the Agency Ethics Official in the geographic or Headquarters office prior to engaging in any compensated or uncompensated outside employment or activities.

(b) Management will continue to provide ethics training and guidance to employees. Agency Ethics Officials will continue to be available to employees for counseling and discussion of issues regarding permissible transactions and activities covered by the standards of conduct.

(4) **General Conduct and Conduct Prejudicial to the Government.**

Employees shall be courteous and considerate when dealing with the public. Employees shall act as expeditiously as conditions allow when dealing with the public.

(5) **Employee Notice of Investigative Findings.** In cases involving unfavorable allegations made about personnel which were not sustained by the investigative findings or by the Office of the HUD Inspector General, the employees in question shall be informed in writing of the clearance by Management. Copies of the notifications shall be filed in appropriate records. Upon request of the employee, the Union shall notify each investigative contact identified in the report that the employee was cleared of the allegations.

(6) **Information to the Union.** Upon request, the Union shall be provided with a copy of any standards of conduct opinion or any waiver granted under the Governmentwide standards of conduct. However, when required by law to protect personal privacy or confidentiality, names, personal identifiers or other confidential information shall be deleted by Management.

(7) **Employee Right to Privacy.**

(a) **Searches and Seizures.** Searches and seizures by the Government of the private property of its employees are subject to Constitutional constraints. Individuals do not lose their Constitutional rights merely because they work for the Government instead of a private employer. Employees may store personal papers and effects in their offices, desks, and file cabinets. However, a search or seizure of such items without a warrant may be justified if Management has reasonable grounds for suspecting that the search will produce evidence that the employee is guilty of work-related misconduct, or that the search is necessary for a non-investigative work-related purpose, such as insuring the internal security of the agency. Security concerns may necessitate searches of HUD space by any appropriate and legal method. It should be understood that personal items owned by the employee, such as pocketbooks, briefcases or other like materials, are not subject to search without probable cause to believe criminal activity is involved, but that failure to comply with a search prompted by security concerns may be grounds for denial of access to HUD space. The provisions outlined above are intended to deal with matters such as, but not limited to, possession of illegal drugs, firearms, explosives or other material that presents a threat to the internal security of the agency. Employees should also be aware that Management may exercise its right to access work spaces to obtain work materials when the employee is not present or for other legitimate reasons.

(b) **Counseling.** Whenever possible, counseling of employees concerning alleged violations of Standards of Conduct shall be conducted in private.

(8) **Whistle Blowing.** Employees shall be protected against reprisal of any nature for the disclosure of information not prohibited by law, which the employee reasonably believes evidences a violation of law, rule, or regulation, or evidences fraud, gross waste or gross mismanagement, an abuse of authority, or a substantial or specific danger to public health or safety.

(9) **Prohibited Personnel Practices.** In accordance with 5 U.S.C. 2302, any employee who has authority to take, direct others to take, recommend, or approve any personnel action, shall not, with respect to such authority --

- (a) discriminate for or against any employee or applicant for employment --
1. on the basis of race, color, religion, sex, or national origin, as prohibited under Section 717 of the Civil Rights Act of 1964 (42 U.S.C. 2000e-16);
 2. on the basis of age, as prohibited under Sections 12 and 15 of the Age Discrimination in Employment Act of 1967 (29 U.S.C. 631, 633a);
 3. on the basis of sex, as prohibited under Section 6(d) of the Fair Labor Standards Act of 1938 (29 U.S.C. 206(d));
 4. on the basis of handicapping condition, as prohibited under Section 501 of the Rehabilitation Act of 1973 (29 U.S.C. 791); or
 5. on the basis of marital status or political affiliation, as prohibited under any law, rule or regulation.
- (b) solicit or consider any recommendation or statement, oral or written, with respect to any individual who requests, or is under consideration for, any personnel action unless such recommendation or statement is based on the personal knowledge or records of the person furnishing it and consists of
1. an evaluation of the work performance, ability, aptitude, or general qualifications of such individual; or
 2. an evaluation of the character, loyalty, or suitability of such individual.
- (c) coerce the political activity of any person (including the providing of any political contribution or service), or take any action against any employee or applicant for employment as a reprisal for the refusal of any person to engage in such political activity;
- (d) deceive or willfully obstruct any person with respect to such person's right to compete for employment;
- (e) influence any person to withdraw from competition for any position for the purpose of improving or injuring the prospects of any other person for employment;

- (f) grant any preference or advantage not authorized by law, rule, or regulation to any employee or applicant for employment (including defining the scope or manner of competition or the requirements for any position) for the purpose of improving or injuring the prospects of any particular person for employment;
- (g) appoint, employ, promote, advance, or advocate for appointment, employment, promotion, or advancement, in or to a civilian position, any individual who is a relative (as defined in Section 3110(a)(3)) of such employee if such position is in the agency in which such employee is serving as a public official (as defined in Section 3110(a)(2)) or over which such employee exercises jurisdiction or control as such an official;
- (h) take or fail to take a personnel action with respect to any employee or applicant for employment as a reprisal for --
 - 1. a disclosure of information by an employee or applicant which the employee or applicant reasonably believes evidences --
 - a. a violation of any law, rule, or regulation, or
 - b. gross mismanagement, a gross waste of funds, an abuse of authority, or a substantial and specific danger to public health or safety, if such disclosure is not specifically prohibited by law and if such information is not specifically required by Executive Order to be kept secret in the interest of National defense or the conduct of foreign affairs.
 - 2. a disclosure to the Special Counsel of the Merit Systems Protection Board, or to the Inspector General of an agency or another employee designated by the head of the agency to receive such disclosures of information, which the employee or applicant reasonably believes evidences --
 - a. a violation of any law, rule, or regulation, or
 - b. gross mismanagement, a gross waste of funds, an abuse of authority, or a substantial and specific danger to public health or safety.

- (i) take or fail to take any personnel action against any employee or applicant for employment as a reprisal for the exercise of any appeal right granted by any law, rule, or regulation;
- (j) discriminate for or against any employee or applicant for employment on the basis of conduct which does not adversely affect the performance of the employee or applicant or the performance of others; except that nothing in this paragraph shall prohibit an agency from taking into account in determining suitability or fitness, any conviction of the employee or applicant for any crime under the laws of any State, of the District of Columbia, or of the United States; or
- (k) take or fail to take any other personnel action if the taking of or failure to take such action violates any law, rule, or regulation implementing, or directly concerning, the merit system principles contained in Section 2301. This subsection shall not be construed to authorize the withholding of information from the Congress or the taking of any personnel action against an employee who discloses information to the Congress.
 - 1. The head of each agency shall be responsible for the prevention of prohibited personnel practices, for the compliance with and enforcement of applicable civil service laws, rules, and regulations, and other aspects of personnel management. Any individual to whom the head of an agency delegates authority for personnel management, or for any aspect thereof, shall be similarly responsible within the limits of the delegation.
 - 2. This Section shall not be construed to extinguish or lessen any effort to achieve equal employment opportunity through affirmative action or any right or remedy available to any employee or applicant for employment in the civil service under --
 - a. Section 717 of the Civil Rights Act of 1964 (42 U.S.C. 2000e-16), prohibiting discrimination on the basis of race, color, religion, sex, or national origin;
 - b. Sections 12 and 15 of the Age Discrimination in Employment Act of 1967 (29 U.S.C. 631, 633a), prohibiting discrimination on the basis of age;

- c. under Section 6(d) of the Fair Labor Standards Act of 1938 (29 U.S.C. 206(d)), prohibiting discrimination on the basis of sex;
- d. Section 501 of the Rehabilitation Act of 1973 (29 U.S.C. 791), prohibiting discrimination on the basis of handicapping conditions; or
- e. the provisions of any law, rule, or regulation prohibiting discrimination on the basis of marital status or political affiliation.

ARTICLE 5
MID-TERM BARGAINING

Section 5.01 - Mid-Term Changes at the National Level. During the term of this Agreement, Management shall transmit to the Union its proposed changes relating to personnel policies, practices, and general conditions of employment. The parties agree that it is in the interest of the Government, the public and the parties to negotiate in good faith in order to facilitate the negotiations process.

Section 5.02 - Ground Rules for Mid-Term Negotiations at the National Level. During the term of this Agreement, Management shall transmit to the Union its proposed changes relating to personnel policies, practices, and general conditions of employment. These notices of proposed Management change shall be referred to the Union in accordance with the following procedures:

- (1) The proposed changes shall be sent to the Council President and five (5) other Union representatives designated by the Union. Receipt of the proposed changes by the Council President, or by the Council President's designee in his/her absence, shall constitute receipt by the Union for the purpose of calculating the deadline for requesting negotiations referred to in this Article.
- (2) Upon receipt of Management's notice of proposed change(s), the Union may request negotiations over the change(s) within ten (10) calendar days by submitting preliminary proposals. All proposals shall be related to the impact of the proposed change(s).
- (3) Upon timely request for negotiations from the Union, the negotiations shall begin within ten (10) calendar days from the Union's submission of its bargaining proposals.
- (4) Changes that are negotiated or agreed to pursuant to this Section shall be duly executed by the parties and shall become an integral part of this Agreement and subject to all of its terms and conditions.
- (5) By mutual consent, the parties may agree to conduct negotiations by telephone.
- (6) Each party may authorize up to four (4) negotiators. All of the Union negotiators shall receive official time. All but one (1) of the Union negotiators must be designated Union representatives under Article 7 of this Agreement. The

number of negotiators may be changed by mutual consent of the parties. Each party may have one (1) technical expert attend the negotiations for the limited purpose of discussing a particular subject. The Union technical expert shall not receive official time.

- (7) Facilities shall be provided in accordance with Article 8.
- (8) Nothing in this Section is intended to discourage the resolution of mid-term bargaining issues through negotiation.
- (9) The Union shall be entitled to receive travel and per diem reimbursement for three (3) representatives located outside the Washington, D.C. metropolitan area, to attend any face-to-face negotiations and a subsequent impasse proceeding if such is required to resolve the matter. Each Union negotiator shall receive up to five (5) days of travel and per diem for negotiations and necessary travel related to the negotiations. Negotiators shall be on official time while in travel status.
- (10) There shall be no smoking in the negotiating room. Individual negotiators may leave at any time to smoke. Negotiations need not recess during smoking breaks. No eating is allowed in the negotiating room, unless by mutual consent.
- (11) Dates and times for negotiation sessions shall be mutually agreed to by the parties; however, once negotiations begin, the parties shall continue negotiating until agreement, impasse or as mutually agreed. Negotiations shall normally begin at 9:00 a.m. and last at least until 4:00 p.m. This schedule may be altered by mutual consent. There shall be a one (1) hour break for lunch.
- (12) Either party may substitute negotiating team members at any time by notifying the other party. Additional travel and per diem resulting from substitution shall be paid by the party making the substitution.
- (13) Once negotiations begin, the parties shall not submit new proposals but may modify their initial proposals and/or submit counter-proposals until agreement has been reached. This shall not preclude the submission of additional proposals upon receipt of previously unavailable information related to the scope of the negotiations.

- (14) Either party may take written notes of the bargaining sessions.
- (15) Observers shall be permitted in negotiating sessions only by the mutual consent of the parties.
- (16) Either party may caucus at any time during negotiations. If a caucus shall last more than fifteen (15) minutes, the party not in caucus shall be advised at least once an hour of the estimated duration of the caucus.
- (17) Upon reaching agreement on each item, the Chief Negotiators shall signify tentative agreement by initialling the agreed upon item. This shall not preclude the parties from reconsidering or revising the agreed upon items until final agreement is reached on all items. Upon completing the negotiations, the parties shall review and edit for consistency and make mutually agreed upon changes.
- (18) The time limits set forth in this Section may be extended by mutual consent.

Section 5.03 - Ground Rules for Mid-Term Bargaining at the Local Geographic Area. Local Management shall give in writing to the Local or Geographic Area designated representative, as appropriate, proposed changes relating to personnel policies, practices, and conditions of employment.

- (1) Such notice shall be given to the representative according to the following procedures:
 - (a) The proposed changes shall be sent to the designated representative. Receipt of the proposed changes by the designated representative, or his/her designee in his/her absence, shall constitute receipt by the Union for the purpose of calculating the deadline for requesting negotiations referred to in this Article.
 - (b) Upon receipt of Management's notice, the Union may request bargaining within seven (7) calendar days by submitting preliminary bargaining proposals to Management. All proposals shall be related to the proposed changes.

² While either party may take written notes of the bargaining sessions, the parties agree that there will be no taping of actual negotiations.

- (c) The parties shall begin negotiations within seven (7) calendar days after the Union submits its bargaining proposals. This time period may be extended by mutual consent.
 - (d) Failure by the Union to submit preliminary bargaining proposals within the seven (7) calendar days limit shall be deemed to indicate no intent to bargain.
- (2) Each party may authorize up to three (3) negotiators. All of the Union negotiators shall receive official time. All but one (1) of the Union negotiators must be designated Union representatives under Article 7 of this Agreement. The number of negotiators may be changed by mutual consent of the parties. Each party may have one (1) technical expert attend the negotiations for the limited purpose of discussing a particular subject. The Union technical expert shall not receive official time. If there are less than two (2) Union representatives in a Field Office where the negotiations are taking place, the Union may have up to two (2) Union negotiators who are not designated Union representatives under Article 7 of this Agreement. For negotiations on Geographic Area-wide issues, travel and per diem shall be authorized for two (2) Union negotiators. Each Union negotiator shall receive up to three (3) days of per diem for the negotiations, plus necessary travel. Negotiators shall be on official time while in travel status.
 - (3) Facilities shall be provided in accordance with Article 8.
 - (4) The product of local mid-term bargaining shall be a local Supplement to this Agreement which shall become effective when signed by the parties at the local level.
 - (5) There shall be no smoking in the negotiating room. Individual negotiators may leave at any time to smoke. Negotiations need not recess during smoking breaks. No eating is allowed in the negotiating room, unless by mutual consent.
 - (6) Dates and times for negotiation sessions shall be mutually agreed to by the parties; however, once negotiations begin, the parties shall continue negotiating until agreement, impasse or as mutually agreed. Negotiations shall normally begin at 9:00 a.m. and last at least until 4:00 p.m. This schedule may be altered by mutual consent. There shall be a one (1) hour break for lunch.

- (7) Either party may substitute negotiating team members at any time by notifying the other party. Additional travel and per diem resulting from substitution shall be paid by the party making the substitution.
- (8) Either party may take written notes of the bargaining sessions. There shall be no taping of the actual negotiations.
- (9) Observers shall be permitted in negotiating sessions only by the mutual consent of the parties.
- (10) Either party may caucus at any time during negotiations. If a caucus shall last more than fifteen (15) minutes, the party not in caucus shall be advised at least once an hour of the estimated duration of the caucus.
- (11) Upon reaching agreement on each item, the Chief Negotiators shall signify tentative agreement by initialling the agreed upon item. This shall not preclude the parties from reconsidering or revising the agreed upon items until final agreement is reached on all items. Upon completing the negotiations, the parties shall review and edit for consistency and make mutually agreed upon changes.
- (12) Once negotiations begin, the parties shall not submit new proposals but may modify their initial proposals and/or submit counter-proposals until agreement has been reached. This shall not preclude the submission of additional proposals upon receipt of previously unavailable information related to the scope of the negotiations.

Section 5.04 - Information to the Union on Mid-Term Changes. The following information, if available, shall be included in the notices of proposed Management mid-term changes at the National, Geographic Area, or local level. Any further requests for information by the Union shall not delay the commencement or completion of negotiations.

- (1) **Change in a policy or past practice.**
 - (a) Copy or statement of the current policy or practice.
 - (b) A statement of the reason(s) for the change.
 - (c) A copy or statement of the proposed new policy or practice.

(2) **Moves .**

- (a) Name, room numbers, grade, title, and position of all affected bargaining unit employees.
- (b) New room number for each affected bargaining unit employee.
- (c) Current space plan (with names, average number of square feet per employee, and total number of square feet for the office being moved).
- (d) New space plan (with same information as above).
- (e) Name and phone number of the move coordinator.
- (f) Whether employees will be able to keep their current office furniture.
- (g) Description of any plans to install modular furniture, lay carpet, shampoo carpet, install partitions, paint walls, exterminate, lay computer lines, move phone jacks or electrical outlets, or take out or install walls. If any such activity is planned, when the activity will take place and how the employees will be accommodated, if necessary.
- (h) Names of any employees in the affected office known by Management to have disabling conditions that need to be accommodated in the move. If so, how will they be accommodated?
- (i) Estimated cost.

(3) **Reorganizations .**

- (a) Name, grade, title, and position of affected bargaining unit employees.
- (b) Impact, if any, upon upward mobility and/or career ladder positions.
- (c) Employees who will have a different first or second line supervisor as a result of the reorganization.
- (d) Impact, if any, upon employee's receipt of performance ratings.
- (e) Copies of position descriptions for new positions if different from current position.

- (f) Names of any employees detailed in connection with the reorganization.
 - (g) Any new positions created as a result of the reorganization.
 - (h) Names of any employees who will be downgraded or separated as a result of the reorganization.
 - (i) Names of any employees who will be moved as a result of the reorganization.
 - (j) Copy of before and after organization charts.
- (4) Conversion to Contract Performance Under OMB Circular A-76.**
- (a) The invitation for bid (IFB) or request for proposal (RFP).
 - (b) The abstract of bids or proposals after contract award.
 - (c) All correspondence from higher authority directing the cost comparison study.
 - (d) The official Department of Labor documentation supporting the wage rates applicable to the function being considered for contracting out.
 - (e) The performance work statement.
 - (f) The "Milestone" chart or similar document setting forth the estimated dates for the contracting out process.
 - (g) All changes to the performance work statement.
 - (h) All bidder questions and the Department's answers related to the performance of work statement.
 - (i) Copy of the retention register.
 - (j) Impact upon bargaining unit employees.
- (5) Reduction-In-Force (RIF) or Transfer of Function.**
- (a) Name, grade, title, position, service computation date, and bargaining unit status of all affected employees.
 - (b) Impact, if any, upon upward mobility and/or career ladder promotions.
 - (c) Impact, if any, upon employee's receipt of performance ratings.

- (d) Will or have any employees been detailed in connection with the reorganization/realignment? If so, who and provide position description(s).
- (e) Will any new positions be created as a result of this reorganization?
- (f) Will any employee be downgraded, RIFed, or moved out of the bargaining unit as a result of this reorganization/realignment?
- (g) Will any employees be moved as a result of the reorganization/realignment? If so, provide information requested above.
- (h) Copy of before-and-after organization charts.

Section 5.05 - Negotiability Disputes. Where a matter is alleged to be inappropriate for local bargaining on the sole basis that it conflicts with the Master Agreement, the matter must be resolved under the arbitration clause of this Agreement. The arbitrator is not authorized to consider the merits of a disputed proposal. Matters involving other allegations of non-negotiability shall be referred to the Federal Labor Relations Authority (FLRA) for resolution.

Section 5.06 - Bargaining Impasses.

- (1) Impasses reached in bargaining may, by mutual agreement, be resolved by interest arbitration, subject to approval of the Federal Service Impasses Panel (FSIP).
- (2) If there are negotiability disputes, all agreed-upon terms shall be implemented upon agreement on all but the disputed items.
- (3) Impasses resolutions shall be implemented expeditiously.
- (4) If the Union fails to request FSIP assistance within seven (7) calendar days of its receipt of Management's notice of intent to implement its last offer, Management may implement its last offer.

ARTICLE 6
LABOR-MANAGEMENT RELATIONS (LMR) MEETINGS

Section 6.01 - General. The parties recognize the value of establishing a system for the exchange of views on matters affecting the Department and its employees. The parties, therefore, agree to participate in joint labor-management relations meetings at the local, Geographic Area³, and National levels.

Section 6.02 - Purpose. The primary purpose of the joint Labor-Management Relations Committee meeting shall be to promote and facilitate understanding, and constructive and cooperative relationships between Union and Management. Committee meetings under this Article shall provide the parties with a structured opportunity to hold informal discussions and consult on personnel policies and practices and other working conditions.

- (1) Items that may be discussed during these meetings include, but are not limited to:
 - (a) Interpretation or application of the language of this Agreement that deals with personnel policies and practices and other working conditions;
 - (b) Systemic or operational concerns related to equal employment opportunity, training, safety and health, and performance management;
 - (c) Problems that may arise in the implementation and administration of this Agreement;
 - (d) Matters of mutual concern and interest with respect to personnel policies and practices or matters affecting working conditions not specifically addressed in this Agreement; and
 - (e) Systemic concerns regarding the grievance, arbitration, or unfair labor practice (ULP) process where patterns of behavior on the part of either party appear to exist and are adversely affecting the relationship of the parties. The discussion of specific grievances, arbitrations, or ULPs that are currently in process shall not be allowed or appropriate for discussion at these meetings.

³ Although the regions no longer exist, the parties agree to continue regional meetings as Geographic Area meetings.

- (2) The consultation or informal discussions that take place during these meetings shall not prejudice either party from exercising its bargaining rights should the consultation or informal discussion cover a mandatory subject for bargaining.

Section 6.03 - Local Meetings. Upon request of either party, local meetings shall be held at a time and place mutually agreed upon by the parties.

Section 6.04 - Geographic Area Meetings. Geographic Area LMR meetings shall be held once annually, normally in the month of March. The meeting shall be scheduled at a mutually agreeable time, date, and room in the appropriate Geographic Area Office cities, and should precede the annual National LMR meeting. The number of Union representatives should not exceed the number of local offices covered by this Agreement. Either party may invite no more than three (3) technical representatives to the meeting. The inviting party shall pay all expenses of the technical representative(s). The Union participants' travel, per diem, and subsistence expenses shall be paid by Management as follows:

- (1) Geographic Areas New England, Great Plains, Rocky Mountains, Pacific/Hawaii, Northwest/Alaska shall be entitled to two (2) Union representatives; and
- (2) All other Geographic Areas shall be entitled to three (3) Union representatives.

Geographic Area LMR meetings shall not exceed one (1) day duration except when mutually agreed.

The Council President may request and negotiate appropriately that the Geographic Area meetings be conducted with Administrative Service Center (ASC) representatives.

Section 6.05 - National Meetings.

- (1) A National LMR meeting shall be held once annually, normally in the month of April. The meeting shall be scheduled at a mutually agreeable time, date, and room at Headquarters, Washington, D.C. The number of Union representatives shall not exceed:
 - (a) Two (2) designated National representatives;
 - (b) The designated Geographic Area representative from each Geographic Area in which the American Federation of Government Employees (AFGE) represents employees, not to exceed ten (10) representatives;

- (c) The designated Headquarters representative; and
 - (d) No more than three (3) technical representatives and the Union shall pay all expenses of the technical representative(s).
- (2) A second LMR meeting at the National level shall be held with the Council President and two (2) other National representatives once each year. This meeting shall be held in Headquarters, Washington, D.C., at a mutually agreeable time, date, and room, and shall be with HUD Labor-Management Relations staff.
- (3) For National LMR meetings, the Union participants' travel, per diem, and subsistence expenses shall be paid by Management. National LMR meetings shall not exceed two (2) days in duration except when mutually agreed.

Section 6.06 - Agenda. The parties shall exchange agenda for the LMR meetings. The agenda should be exchanged at least two (2) weeks in advance of the National and Geographic Area LMR meetings. The time period for local office agenda submission should be agreed upon at the local level.

Section 6.07 - Official Time. Union representatives whose attendance at LMR meetings has been approved by Management shall be entitled to official time from point of departure to point of return, provided the employee would otherwise be in a duty status. This shall not preclude Union representatives from utilizing either LMR official time or, as appropriate, annual leave or leave without pay to conduct other appropriate business before returning to their duty stations, provided that the use of official time, annual leave or leave without pay does not exceed any limitations imposed by law, rule, or regulation and is approved by Management under existing administrative procedures and the conditions of this Agreement. Payment of travel expenses shall be in accordance with this Article. The release of Union representatives for official time shall be handled in accordance with the official time Article.

ARTICLE 7
UNION REPRESENTATION AND OFFICIAL TIME

Section 7.01 - Definition. Official time under this Article shall include all representational functions including statutory functions. Only time spent by a Union representative in actual negotiations with Management does not count towards the allotment of official time.

Section 7.02 - Representational Functions. Official time is authorized for: (1) Attending formal discussions; (2) Attending investigatory interviews; (3) Meeting with Management representatives, except when exempted by an appropriate management official⁴; (4) Meeting with employees to resolve complaints and grievances; (5) Attending grievance meetings with managers and employees; (6) Attending a meeting with a Federal Labor Relations Authority field agent or attorney pursuant to an unfair labor practice charge or complaint; (7) Serving as a witness at an arbitration related to this Agreement, an unfair labor practice hearing or in a proceeding to resolve an impasse arising from bargaining related to the AFGE/HUD unit; (8) Participating as the representative of the Union at an arbitration, unfair labor practice hearing or impasse proceeding related to the AFGE/HUD unit; (9) Attending authorized labor-management relations meetings; or (10) Other representational functions permitted by law.

Section 7.03 - Certification of National and Local Representatives. National and Local office representatives certified by the Union in accordance with this Article shall be recognized as employee representatives for bargaining unit employees and shall be entitled to the use of official time under the provisions of this Article. No other person shall be entitled to such use of official time except as specifically authorized in this Agreement. The respective presidents shall certify to the appropriate Management official at the National and local levels, in writing, the name, title, duty station, phone number, and allocation of official time of the Union's representatives who are authorized to use official time as provided under Section 7.04 of this Article. Any official or representative not identified in this manner shall not be entitled to the use of official time. An employee from one local office may not be designated as a representative or steward in another local office.

⁴ An appropriate management official to authorize official time outside an Article 7 allocation is a representative at the national level who is responsible for providing labor-management advice and guidance on the HUD/AFGE Agreement.

Section 7.04 - Representatives and Amounts of Official Time.

(1) Allocation of Official Time.

- (a) Time shall be allotted on a quarterly basis. Quarters shall begin on the first day of January, April, July, and October. The amount of official time available to be allocated will be reviewed quarterly to match changes in Department strength.
- (b) Allocations shall be provided in four pools: National, Regional Vice Presidents, Headquarters and Field Office.
- (c) Two weeks prior to the beginning of the quarter, the Council President shall provide the appropriate Headquarters official with changes to the current allocations, by pool, location, representative and time allocated. Up to 10% of official time may be transferred quarterly from one pool to another pool at the approval of the Council President. The Council President may not allocate time in excess of the amount of time authorized for any quarter.
- (d) A representative may receive official time from more than one pool. Allocations to individual field offices can differ from the amounts listed below.
- (e) Allocations shall not be carried over from one quarter to another, unless Management determines additional time is needed.

(2) Allocations are expressed as percentages; 100% equals one full time employee. The number and types of Union representatives and the amount of official time provided are as follows:

- (a) **National** - 225% to be used by a maximum of 6 representatives.
- (b) **Regional Vice Presidents (RVP)** - 325% to be used by a maximum of 10 Regional Vice Presidents.⁵

⁵ Regional Vice Presidents' official time must be allocated as follows: 50% each for Southeast/Caribbean, Midwest, and Southwest Geographic Areas. All other Geographic Areas 25% each.

(c) **Local**

(1) **Headquarters** - 470% to be used by a maximum of 14 representatives.

(2) **Field Offices**

CURRENT FULL TIME EMPLOYEES (FT)	ALLOCATION
501-565*	120%
436-500	110%
371-435	100%
306-370	90%
241-305	80%
176-240	70%
110-175	60%
100-109	50%
65-99	25%
45-64	20%
1-44	5%

* Note: One (1) representative @ 10% for each 65 FT increment above 565.

(3) **Redistribution of Official Time.**

(a) The Union may request one change in distribution during the quarter, except that the Council President, in unusual circumstances, may request one (1) additional change.

Section 7.05 - Adjustments of Workload. In order to facilitate release of Union representatives on official time, individual workloads shall be adjusted up-front⁶, where practical, to reflect time needed away from official duties. Such adjustments shall not diminish an employee's right to fair and equitable treatment with regard to performance appraisals and promotions. If a dispute arises with respect to the fairness of the workload adjustment, it may be referred for resolution to the appropriate local management official.

Section 7.06 - Official Time for Union Representatives Outside of Immediate Offices. A representative who goes from his/her duty station to another office during duty hours in order to represent

⁶ Up-front workload adjustments may not be appropriate when small amounts of official time are allocated and used in irregular patterns. In these circumstances, the adjustment may be made at the time of usage.

the Union or a bargaining unit employee, is on official time for representational purposes and when traveling. The official time used shall count against that individual's allocation. There shall be no travel expenses and/or per diem for Union designated representatives except where expressly stated in this Agreement.

Section 7.07 - Procedure.

- (1) When it is necessary to use official time, the representative shall first obtain approval from his/her immediate supervisor, or designee, who has supervisory authority. The representative must, in addition, when entering a work area to meet with an employee, obtain advance approval from the supervisor of the employee.
- (2) Approval under this Section shall be granted unless such absence would cause an undue interruption of work. If approval is denied or delayed, the reason shall be given as soon as practicable.
- (3) Upon conclusion of the representational activity, the representative shall inform the supervisor or designee that the activity has been completed.
- (4) All designated Union representatives who are entitled to official time under this Article shall record and specifically annotate the use of all representational time on the report form (Appendix B).
 - (a) These reports shall be kept by the supervisor, or his/her designee, and each use of time shall be posted on or before the day it occurs.
 - (b) Each entry on the official time report shall be entered by the representative and initialed by the supervisor, or his/her designee.
 - (c) A copy of Appendix B shall be furnished to the representative biweekly.

Section 7.08 - Official Time for Union-Sponsored Training.

Normally, forty (40) hours per year of official time may be granted to employee representatives authorized by Section 7.04, to attend appropriate Union-sponsored instruction or briefing consistent with applicable decisions of the Comptroller General. The number of hours may be increased when the instruction or briefing is mutually deemed to benefit both Management and the Union. Official time may be used for travel, however, Union representatives shall not be eligible for, or entitled to, travel expenses and per diem. Written requests, including an agenda

describing the training to be conducted, shall be submitted not less than seven (7) days in advance to the representative's immediate supervisor who shall forward it to the appropriate Management official for action.

Section 7.09 - Leave of Absence for Union Officials.

- (1) Consistent with the needs of the Department, Management agrees to approve a leave of absence, without pay, not to exceed three (3) years for a bargaining unit employee who is elected to a position of National officer of the American Federation of Government Employees, AFL-CIO, for the purpose of serving full time in the elected position, or who is selected as an AFGE National Union representative. Management shall be given not less than two (2) weeks advance notice.
- (2) The Union agrees that all of the leaves of absence granted or approved in accordance with this Section are subject to appropriate Governmentwide regulations or other outside authority binding on Management. Management, to the extent of its authority, shall place the employee, at the end of the leave of absence, in the position the employee left, or one of like seniority, status, grade, and pay.

ARTICLE 8
USE OF EMPLOYER FACILITIES

Section 8.01 - Union Office Space. Management shall continue to provide the Union with one (1) office in Headquarters and at those field locations that currently provide private office space for Union representatives. While Management is not necessarily obligated to provide additional office space to the Union through this Agreement, the parties may discuss, at the local office level, the need for additional office space for the purpose of providing the Local Union official with adequate privacy or more storage and file space.

Management shall provide one (1) private office with file cabinet, desk, typewriter or access to a typewriter, to the extent available, telephone with FTS capacity for the Union Council President at his/her local office site, if a private office is not already provided to the Union at that site. An additional file cabinet shall be provided the Council President when he/she utilizes the Local Union office.

Section 8.02 - Meeting Space. Upon request, Management shall provide the Union with the use of suitable space for membership meetings and other such Union meetings during nonduty hours of employees involved. Such requests shall normally be made at least twenty-four (24) hours in advance to the designated Management representative at each location. However, where such notice is not given and space is available, such space shall be provided to the Union.

Section 8.03 - Meeting Space for Representation Purposes. Management and the Union recognize the need for employees to contact and meet with Union representatives for representational purposes. Management agrees to provide meeting space within the Department's assigned space which shall accord the Union privacy.

By the very nature or representational work, employees may need to make initial contact at a union representative's program office work station.

Union dedicated space, when available, will be utilized for representational purposes. In those offices/situations where dedicated Union space is not available and the Union representative requests meeting space, this space shall be made available on an ad hoc basis by verbal request. In an emergency, priority consideration shall be given to the Union's request, with privacy being a priority.

Upon request, alternative, non-dedicated or other private space, if available, can be provided.

If Management is unable to provide the requested space, a verbal explanation shall be made to the requesting Union representative.

Section 8.04 - Telephone Usage for Local Calls. Union representatives may use available telephones at their individual work sites for local calls while performing local office representation functions.

Section 8.05 - Use of Federal Telecommunications System (FTS).

- (1) The Union may use FTS telephone service for long-distance calls while performing their representation functions. The Department shall provide FTS telephone service for the Union's principal Local representatives at each HUD location and the National and Geographic Area-wide representatives designated under Article 7 while they are performing official representational duties. As appropriate, the Union shall use the Department's telephone conference facilities (HUCS), or the General Services Administration (GSA) telephone conference facilities, in such a way as to minimize overall FTS telephone usage and costs.
- (2) All telephone usage shall be in compliance with applicable laws and regulations.
- (3) Commercial toll calls by the Union shall be made at the Union's expense.
- (4) If any Union representative authorized to use the FTS is absent, a person may be designated to act in his/her behalf and thus utilize the FTS system during this period.
- (5) Should Management believe that the telephone services provided in this Article are not being utilized in accordance with the intent and procedures of this Article, the appropriate Union representative shall be notified and corrective action on the part of the Union shall be requested. If the matter cannot be resolved appropriately between Management and Union, it shall be referred to the grievance procedure for resolution.

Section 8.06 - Display and Distribution of Union Material.

- (1) The display, distribution, and content of Union materials shall be as provided for in this Section.
- (2) Management shall provide bulletin boards or space on designated bulletin boards for Union purposes in each building having bargaining unit employees. Bulletin boards shall be so located as to be accessible to employees. The number, size, appearance (glass enclosed, etc.), and

location of bulletin boards shall be determined locally by agreement between Management and Union officials. The Union shall maintain its bulletin boards in a proper and orderly manner at all times.

- (3) Management shall permit Union officials to distribute Union-sponsored information on the Department's premises in work areas to individual employees before and after scheduled business hours and in nonwork areas during breaks and lunch periods.
- (4) Notices placed on bulletin boards or distributed by the Union shall be clearly identified as having been prepared by the Union and are not Departmental issuances. All material placed on the board shall be on its face clearly identified as belonging to the Union. Notices and posters prepared and posted by the Union shall not indicate the Department has sponsored or endorsed a position unless such action has been agreed to by an appropriate Management official. Notices and posters shall not contain material that is scurrilous, libelous, or in violation of the Hatch Act.
- (5) The Union shall refrain from posting notices in hallways, bathrooms, elevators, and other public places.
- (6) Management agrees to deliver pre-addressed bulk material to the designated Union office at each Management building through the Department's pouch mail system. The Council President may make up to one (1) mailing per month to local office employees to be distributed in the same manner as Management's intra-office mail. This does not include express mail delivery.

Section 8.07 - Office Equipment and Services.

- (1) Management shall, upon request by the Local Union official, furnish a lockable file cabinet, suitable space for placing a file cabinet, and a typewriter. PCs not being used for Government work shall be available for Union use. Such use shall be coordinated with the appropriate Management official. Additionally, Management shall provide union representatives access to or use of available Departmental standard PC hardware. Management and the Union recognize that use of and access to such equipment should not be disruptive to operations or the work flow of an office. Management shall make available to the Union Departmental standard computer software; speaker phones; audio-visual equipment, except satellite broadcast facilities; and any other office equipment and services, such as cleaning services, routinely available to the Department.

- (2) Management shall allow Union representatives to use a facsimile machine or its equivalent facilities, in conducting representational duties.

Section 8.08 - Access to Federal Personnel Guidance. Management shall provide the Union with access to Office of Personnel Management, GSA, and EEOC regulations and GAO decisions that are located in administrative offices at each HUD location. The Union shall not remove any material from these manuals or office files without first receiving permission from an appropriate Management official.

Management agrees to allow the union reasonable access to PERSONNET in those offices where it is available or becomes available. Union and Management recognize that the use of or access to this service should not be disruptive to the operations or the work flow in an office, or compromise the security of personnel, confidential, or legal records. The Union recognizes that the Department may cancel or relocate its PERSONNET subscription at any time.

Section 8.09 - Photocopying Equipment. Photocopying equipment shall be made available to the Union only for routine representational purposes. For non-routine use, the Union shall reimburse Management on an actual cost basis.

Section 8.10 - Access to the Building. Union representatives shall be provided access to the Union and HUD office space similar to that provided supervisors and employees.

Section 8.11 - Facilities and Other Concerns for National Negotiations.

- (1) At National mid-term negotiations, Management shall provide the Union negotiating team with access to a room with a telephone with FTS capability for the term of negotiations. This room may be the same room as the room used for negotiations.
- (2) Management shall assign the Union the exclusive use of a personal computer and printer which shall be set up in the room referred to in (1) above. Upon request, the Union shall also be provided a typewriter.
- (3) Management shall provide the Union with reasonable office supplies.
- (4) Management shall provide the Union access to a copy machine with collator.

- (5) Subject to availability, similar facilities shall be made available for local negotiations in any HUD office.

Section 8.12 - Electronic Mail (E-Mail)/Local Area Network (LAN).

- (1) Union representatives may use E-Mail/LAN for representational purposes.
- (2) E-mail may be used by union representatives to communicate directly with Management concerning representational matters; to communicate with other union representatives who also have access to the LAN; and to communicate with bargaining unit employees concerning appropriate representational matters, as set out in Article 7 of this Agreement.
- (3) E-mail may not be used to communicate concerning internal union business, including campaigns, elections, and membership solicitations.
- (4) Management is not required to make government paid on-line commercial services available. However, management will consider requests by the union on a case-by-case basis for use of commercial on-line services paid for by the Department.
- (5) Accessing non-government computers to the LAN are to be considered, subject to technological compatibility and cost to the Department.

ARTICLE 9
POSITION CLASSIFICATION

Section 9.01 - General. Classification standards shall be applied fairly and equitably to all positions. Each position covered by this Agreement that is established or changed must be accurately described, in writing, and classified as to the proper title, series, and grade and so certified by an appropriate Management official. A position description does not list every duty an employee may be assigned but reflects those duties which are series and grade controlling. The phrase "other duties as assigned" shall not be used as the basis for the assignment to employees of duties unrelated to the principal duties of their position, except on an infrequent basis and only under circumstances in which such assignments can be justified as reasonable.

Section 9.02 - Uniform Position Descriptions. Normally, position descriptions of the same title, series and grade under the same supervisor shall be uniform. Management shall provide every employee with an accurate copy of his/her position description upon initial assignment to a position, and whenever a change is effected to the position description.

Section 9.03 - General Notification and Involvement of Union. Copies of classification standards for bargaining unit jobs developed within the Department shall be provided to the Council President, or the Council President's designee, for informational purposes, prior to the Office of Personnel Management's approval. Management agrees to consider the Union's oral or written views concerning the occupational classification standards and notify the Union of any action taken. Management shall advise the Union of the Department's position classification and management program activities and objectives.

Section 9.04 - Notification to Employees. Where classification audits are to be performed, advance notice of seven (7) days shall be provided to employees who are to be interviewed. Management will provide a checklist of items to be considered in the audit. All audits will be performed on site or by telephone.

Section 9.05 - Resolution of Discrepancies. Employees shall be encouraged to discuss any position description change or inaccuracy with the supervisor, who shall also maintain a continuing review of duties. Disputes involving the qualitative or quantitative value of tasks performed by the employees which affect the grading of a job may be appealed to the Department

and/or other appropriate authorities. This does not preclude the filing of a grievance where the loss of a grade is involved. The following issues may be appealed through the Grievance Procedure, Article 22:

- (1) Accuracy of the Official Position Description including the inclusion or exclusion of a major duty.
- (2) An assignment or detail out of the scope of normally performed duties as outlined in the Official Position Description.
- (3) The accuracy, consistency, or use of agency supplemental classification guides.
- (4) The title of the position unless a specific title is authorized in a published Office of Personnel Management classification standard or guide, or the title reflects a qualification requirement or authorized area of specialization.

Section 9.06 - Representation During Classification Appeal Desk Audit. An employee who has filed a formal classification appeal is entitled to Union representation to assist in the preparation and presentation of the appeal or meeting with Management concerning the appeal. Classification appeals will be pursuant to 5 CFR Part 511. A written summary of the finding(s) shall be promptly presented to the employee and to the Union.

Section 9.07 - Notices of Grade and Pay Retention. Notices of grade and pay retention entitlements shall (pursuant to Public Law 95-454, Title 8, Subchapter 6) be issued to employees whose positions are reclassified at a lower grade as a result of a desk audit or by the application of new classification standards. A copy of all notices shall be concurrently furnished to the Union.

ARTICLE 10
TESTING AND EMPLOYEE SELECTION

Section 10.01. The parties recognize a responsibility to maintain selection procedures in compliance with Federal policy concerning validity and job relatedness. Management shall continue to review its selection procedures to assure that they are in compliance with Federal regulations as they may be revised.

Section 10.02. Management shall fairly and equitably administer any tests used for placement purposes. Any tests that have been determined by the appropriate authority to have an adverse impact on employees shall be modified or discontinued accordingly.

ARTICLE 11
INCENTIVE AWARDS PROGRAM

Section 11.01 - General. The parties agree that substantial benefits and enhanced productivity accrue to the Department when an Incentive Awards Program is developed and maintained to recognize the achievements of Departmental employees. Management, therefore, shall conduct an Incentive Awards Program for employees of the Department for the purpose of recognizing those employees whose performance or contribution is in excess of normal expectations for the positions that they occupy.

Section 11.02 - Awards for Overall Performance.

- (1) All Employees who have received an Outstanding performance rating for the year shall be eligible for:
 - (a) A quality step increase; or
 - (b) A cash award of up to three (3) percent of the entrance level salary of the employee's grade for which the period of the award covers, rounded by the nearest five dollars (\$5).
- (2) Each year Management shall determine, based upon the funds provided to the Department, whether said awards shall be granted and the amount thereof.
- (3) Management shall consider employee preference in the determination of which of the two (2) types of awards shall be granted. Management shall process the award granted within three (3) pay periods of the date of the decision to make the awards or the appraisal, whichever is later.

Section 11.03 - Awards for Special Acts of Service. Employees who have had superior accomplishments on a special project, assignment, act or task, or who have done a superior job with regard to the quality or quantity of the work performed, may be considered by Management for an award for special acts of service. Special acts of service mean a contribution or accomplishment in the public interest which is (a) a nonrecurring contribution either within or outside of job responsibilities; (b) a scientific achievement; or (c) an act of heroism. An award based on these criteria may be given to an individual or to a group of individuals.

Among the types of awards given under this section are On-the-Spot Awards ("Spot Awards"). These awards provide immediate feedback and special recognition of employees who make an extra

effort to perform their duties in an exemplary manner. (Spot Awards are not a substitute for performance awards, nor for monetary recognition of higher level contributions.)

An employee may be recognized with a Spot Award within three (3) days after the employee's achievement. Examples of employee achievement include: (1) producing exceptionally high quality work under tight deadlines; (2) performing added assignments in addition to regular duties; (3) improving customer service to the public or his/her colleagues; or (4) exercising extraordinary initiative or creativity in addressing a critical need or difficult problem.

Individual employees at GS 15 and below, or Wage Grade equivalent, and groups of no more than ten (10) employees are eligible for a Spot Award.

An employee may not receive more than two (2) spot awards in the same fiscal year.

Section 11.04 - Awards of an Honorary Nature. Employees may also be considered by Management for the Department's Honorary Awards Program. These awards may include the Secretary's Honorary Awards, or the Department's nominee for outside honorary award programs.

Section 11.05 - Methodology for Granting Awards. The methodology used by Management to establish and give awards under this Article shall be developed and applied in a fair and equitable manner. Use of annual or sick leave shall not be a consideration in assessing employee qualifications for an award.

Section 11.06 - Prompt Presentation of Award. Recognizing that awards are most effective when presented as promptly as possible after the performance or act that is being recognized, Management agrees to make awards as promptly as possible after the decision is made by Management to grant an award.

Section 11.07 - Information. Upon request, the Union shall be provided with statistical data and budget information concerning the awards program that Management normally maintains, which is reasonably available, and the disclosure of which is not prohibited by law.

Section 11.08 - Publicity. Management agrees to publicize the recipients of Departmental awards in each local office by posting on bulletin boards and/or through Management memoranda or notices to all employees. The notification shall be done not less than semiannually and shall include the names of the award recipients and a description of each award.

ARTICLE 12
TRAINING AND CAREER DEVELOPMENT

Section 12.01 - General.

- (1) It is recognized that training and development of employees is a matter of importance and is clearly in the public interest. Management agrees to provide the opportunity for and to consider the views of the Union in order to maintain progressive, effective policies and programs designed to:
 - (a) Aid employees in improving performance in their current positions;
 - (b) Provide career mobility and advancement opportunity within the Department; and
 - (c) Establish and continue training programs that are supportive of the Equal Employment Opportunity and Affirmative Employment Programs of the Department.
 - (d) Provide re-training and cross-training to support and maintain Departmental programs and initiatives.
- (2) It is also understood that Management determines training needs and the methods for meeting these needs, as well as assignment of employees to training.
- (3) Management shall request comments and recommendations from the Union at the local and National levels during its development of the Department's training needs assessment each year and shall advise the Council President of the Department's overall training objectives each fiscal year.
- (4) Management shall consider providing government and non-government sponsored training to employees, upon request.

Section 12.02 - Upward Mobility.

- (1) Management shall provide training programs in support of employees selected through the merit staffing process to participate in Upward Mobility programs. This training shall be directed toward providing the knowledge and skills required by the targeted positions.
- (2) The implementation and effectiveness of the Upward Mobility training program may be reviewed at the local level by the Training Committee and may be discussed, as well, in the

local and National Labor-Management Committees. Management shall consider the Union's recommendations concerning improving the effectiveness of the Upward Mobility Program.

- (3) Recognizing the Department's commitment to establish and maintain a well-trained and productive workforce, Management will continue efforts to implement an effective Upward Mobility Program which contains credible goals or target number of positions for each Program/Support Area.

Section 12.03 - Training Committee. The Department shall establish Training Committees at the local level. The Union shall have up to two (2) members. The number can be increased upon mutual consent. These Committees shall make recommendations on local training needs, programs, and selections for training. Training matters discussed in these meetings and unresolved can be addressed by the Union at labor-management meetings at the local and National levels.

- (1) At the National LMR meeting, Management and the Union shall discuss training policies and programs; exchange agenda and views on training and training plans; and discuss local Training Committee recommendations and the effectiveness of the training program.
- (2) Based on the discussions and information exchanged at the National LMR meeting, the Union may submit recommendations to Management concerning the training program.

Section 12.04 - Training Criteria.

- (1) All training requests shall be processed in accordance with applicable Departmental regulations and the Government Employee Training Act of 1958, as amended. Management may use the following criteria. The criteria shall be explained upon request, to employees when developing an Individual Development Plan and when approving or developing a training request:
 - (a) Availability of funds;
 - (b) Relationship of the training to the employee's ability to meet or exceed required standards of performance for the employee's current job or for the job which the employee has been selected to fill;
 - (c) Potential use of the training for readily foreseeable developments or events in the Department's programs;
 - (d) Consistency with plans for the employee's career development;

- (e) Equitable distribution of training opportunities; and
 - (f) Expectation that the training could help the employee correct a deficiency in performance.
- (2) Management, in conjunction with the Union, may develop additional criteria in accordance with Federal regulations.

Section 12.05 - Individual Development Plans.

- (1) An Individual Development Plan (IDP) is a flexible document developed jointly between an employee and his/her supervisor for current and future professional and career development. A career counselor may assist the employee in identifying goals and career paths. The IDP is responsive to the needs of the organization and the employee. It may include on-the-job developmental assignments, after-hours study, volunteer activities, etc. It does not guarantee an employee a promotion.
- (2) All employees shall be given the opportunity to prepare an IDP. They may seek assistance from career counselors, career coaches, IDP Coordinators, mentors, employee development specialists and administrative officers. Supervisors may provide advice and additional information on assignments, developmental activities, and training. Employees will not be penalized via the performance evaluation process for not completing or not implementing an IDP.
- (3) IDPs shall be implemented within resources available, and take into consideration workload demands. Training opportunities will follow the provisions of Section 12.04, Training Criteria.
- (4) The Departmental IDP Workbook provides guidance on the IDP planning process and on preparing the IDP Form (HUD Form 8059) which is located in the workbook as well as in the Career Development and Training Bulletin Board on the LAN.
- (5) An IDP shall be required of employees in a formal training program, such as the upward mobility or intern programs. Management shall initiate this type of IDP.
- (6) Employees will not be penalized via the performance evaluation process for not completing an IDP.
- (7) Employees' performance ratings will not be used as a basis for approval or denial of training opportunities.

- (8) Union representatives having expertise in career counseling or related fields are encouraged to assist/volunteer in the supervisor/employee IDP meetings.

Section 12.06 - Training Records. Management shall maintain training data which shall include an analysis of the training, broken down into the categories of sex, minority grouping, and grade range. Management shall make available to the Council (upon request and no more than twice a year) a copy of the report containing this data. A copy of the Department's centrally managed Training Schedule shall be provided to the Council President upon request and as soon as it is available.

Section 12.07 - Accommodation for Training. Employees may be granted variations within the normal workweek, including leave without pay and absence without charge to leave, for educational purposes. Management shall, to the maximum extent practical, ensure the scheduling of training (over which the agency has administrative control) so that it occurs during the normal work week, including travel to and from training. When an employee's normal work schedule is different from the hours of training, i.e., Alternative Work Schedule (AWS), the employee's AWS is temporarily suspended as acknowledged by the signature of the supervisor and employee on the training form, i.e., SF-182.

Section 12.08 - Membership in Organizations. Employees are encouraged to join and participate in organizations which are related to their work. (Note: By law, payment of annual dues for membership in a professional organization is not reimbursable to the employee, even if the Government would benefit from the employee's development as a result of the membership, except when an appropriation is expressly available for that purpose, or the fee is authorized under the Government Employees Training Act. Under the Training Act, membership fees may be paid if the fee is a necessary cost directly related to the training or condition precedent to undergoing the training.)

Section 12.09 - Orientation Sessions.

- (1) Management shall provide the Union with the opportunity to meet with new employees during the first week of their employment. In addition, Management shall provide the Union reasonable advance notice of the date, time, and location of any orientation meeting that may be held for groups of new employees. This notice shall include a general identification of who shall be in attendance. The Union shall be afforded the opportunity at such meetings to explain and/or hand out material on the role of the Union, including identifying Union representatives.

- (2) The Union shall provide each new employee with a copy of the Agreement. Management shall provide sufficient quantities of the Agreement to the Union to accomplish this task.

Section 12.10 - Information to the Union. Agreement to provide this or any other information to the Union, in no way diminishes the Union's right to additional information pursuant to 5 U.S.C. 7114(b)(4). Management shall provide the Union with the following information on training, within thirty (30) calendar days of its availability:

- (1) The appropriation requested for training;
- (2) The amount actually appropriated for training; and
- (3) The allocation of training funds for each office.

Section 12.11 - Training Agreement. An employee selected for training for a minimum period prescribed by the Department shall agree in writing with the Department before assignment to the training that he/she will:

- (1) Continue in the service of the Federal Government after the end of the training period for a period at least equal to three times the length of the training period unless he/she is involuntarily separated; and
- (2) Repay the amount of the expenses incurred by the Department in connection with his/her training if he/she is voluntarily separated from the service of the Federal Government before the end of the service agreement.

The Department's right to recover the expenses of training may be waived, in whole or in part, only if one or more of the following conditions exists:

- (1) The employee has completed most, but not all, of the required period of service;
- (2) The employee resigned because of his or her own illness or the serious illness of a member of his or her immediate family; or
- (3) The employee is unable to make payment because of severe financial hardship.

A waiver of the Service Agreement must be requested in writing and submitted to the Assistant Secretary for Administration or his/her designee.

Section 12.12 - Premium Pay. No funds appropriated or otherwise available to the Department may be used for the payment of premium pay (overtime, compensatory time, or credit hours) to an employee engaged in training by, in, or through Government facilities or non-government facilities or while traveling to/from training, except as allowed by applicable regulation or law. The citations are as follows:

	<u>Nonexempt</u>	<u>Exempt</u>
Travel Time	5 CFR 551.422	5 USC 5542 (b) (2) (B) 5 CFR 550.112 (g)
Training Time	5 CFR 551.423	5 USC 4109 5 CFR 410.602

Section 12.13 - Mentoring Program.

- (1) The purpose of the HUD Mentoring Program is to assist all HUD staff - from entry level to Executive level - to succeed in achieving their career goals and to increase their proficiency on the job.
- (2) The Mentoring Program will provide an opportunity for experienced HUD staff (Mentors) to help and guide other HUD staff (Mentees). With supervisory approval, mentors and mentees may be allowed up to one hour a week for mentoring activities. This hour must be used in a given week. Hours may not be banked for future use, nor may hours be used in advance. At the discretion of the mentor and mentee, additional sessions may be scheduled on their own time. Mentoring relationships may be terminated at anytime by either the mentor or mentee. However, the Mentoring Program Coordinator must be consulted before the relationship is terminated.
- (3) A Mentoring Program Coordinator is designated in each Field office and Headquarters to oversee the planning and implementation of the Program locally. Training of mentor/mentee pairs will be provided before mentors and mentees begin to work together.

ARTICLE 13
MERIT PROMOTION AND INTERNAL PLACEMENT

Section 13.01 - General. This Article sets forth the merit promotion and internal placement policy and procedures to be followed in staffing positions within the bargaining unit. The parties agree that the provisions of this Article shall be administered by the parties to ensure that employees are evaluated and selected solely on the basis of merit in accordance with valid job-related criteria. Management agrees that it is desirable to develop or utilize programs that facilitate the career development of the Department's employees. To that end, Management shall consider filling positions from within the Department and developing bridge and/or upward mobility positions, where feasible, to help promote the internal advancement of employees.

Section 13.02 - Equal Employment Opportunity. The parties agree that the staffing of all positions within the bargaining unit shall be accomplished without regard to political, religious, or labor organization affiliation or nonaffiliation, marital status, race, color, sex, national origin, nondisqualifying disability or age.

Section 13.03 - Definitions. The following words and phrases shall have the meanings indicated for the purposes of the application of this Article:

- (1) **Position Change.** A promotion, demotion, or reassignment made during an employee's continuous service within the Department.
- (2) **Promotion.** The change of an employee, while serving continuously within the Department:
 - (a) To a higher grade when both the old and new positions are under the General Schedule or under the same type graded wage schedule; or
 - (b) To a position with a higher rate of pay when both the old and the new positions are under the same type ungraded wage schedule, or in different pay method categories.
- (3) **Demotion.** The change of an employee, while serving continuously within the Department:
 - (a) To a lower grade when both the old and the new positions are under the General Schedule or under the same type graded wage schedules; or

- (b) To a position with a lower rate of pay when both the old and the new positions are under the same type ungraded wage schedules, or in different pay method categories.
- (4) **Reassignment.** The change of an employee from one position to another without promotion or demotion.
- (5) **Area of Consideration.** The area in which an intensive search is made for agency candidates who are eligible for consideration in a specific competitive placement action.
- (6) **Career Ladder.** A series of positions of increasing complexity and at successively higher grades in the same line of work, through which employees may progress from entrance levels to the full-performance, or journey level. A career ladder may exist within one (1) organizational unit or it may cross organizational lines.
- (7) **Full-Performance Level.** The target or journey level in a specific occupational career ladder.
- (8) **Known Promotion Potential.** The projected full-performance level of a position to which an employee may be non-competitively promoted based on a prior selection through competitive procedures.
- (9) **Non-competitive Promotion.** A promotion without current competition when:
 - (a) The employee was previously appointed or competitively selected for an assignment intended to prepare him/her for the position currently being filled.
 - (b) The employee's position is reclassified to a higher grade because of additional duties and responsibilities.
 - (c) The employee's position is upgraded without significant change in its duties and responsibilities due to issuance of a new classification standard or the correction of a prior classification error.
- (10) **Job Analysis.** The systematic process of analyzing the duties of a position to identify the knowledges, skills, abilities and other characteristics (KSAOs) required for successful job performance.
- (11) **Crediting Plan.** An evaluation method, based on job-related criteria developed through job analysis, to:

- (a) Rate candidates' qualifications; and
 - (b) Rank candidates for referral in a competitive placement action.
- (12) **Qualified Candidates.** Those candidates who meet the minimum Office of Personnel Management (OPM) qualification standards for a position and, also, any appropriate selective placement factors.
- (13) **Best Qualified Candidates.** Those candidates whose qualifications are clearly superior when compared with other qualified candidates for the position to be filled, and who are referred to the selecting official on a competitive placement certificate.
- (14) **Selective Placement Factor.** A selective placement factor is a knowledge, skill, ability or other characteristic in addition to the basic qualification standard that is essential for satisfactory performance on the job. The following are examples of appropriate selective factors for determining eligibility when the factors are essential for successful job performance:
- (a) Ability to speak, read, and/or write a language other than English;
 - (b) Knowledges and abilities pertaining to a certain program or mission, when these cannot readily be acquired after selection; and
 - (c) Ability in a functional area (for example, ability to evaluate alternative ADP systems).
- (15) **Competitive Placement Certificate.** A list of the best qualified candidates, identified through competitive placement procedures, for use by a selecting official in filling a vacancy.

Section 13.04 - Notification to Union of Staff Vacancies. As a bargaining unit position becomes available, Management agrees to notify promptly the Union of its intent to staff or cancel the vacant position.

Section 13.05 - Simultaneous Consideration in Filling Unit Vacancies. Management agrees to provide simultaneous selection consideration of:

- (1) Properly ranked and certified candidates for either immediate or potential promotion, identified through the competitive procedures of this Article; and

- (2) Qualified candidates eligible for appointment from an OPM or Delegated Examining Unit (DEU) register, by reinstatement or by transfer.

Simultaneous consideration shall not apply to the filling of positions with no greater promotion potential than GS-5 in Headquarters; GS-4 in the Field, as well as critical shortage or hard to fill positions identified by the Office of Personnel Management.

Consideration of candidates from appropriate sources outside the Department shall not be required except at Management's option.

Section 13.06 - Actions Covered by Competitive Procedures.

Competitive placement procedures shall apply to the following types of personnel actions concerning bargaining unit positions, unless excluded by Section 13.07:

- (1) Promotions;
- (2) Temporary promotions exceeding one hundred and twenty (120) days;
- (3) Details to higher graded positions or to positions with known promotion potential for more than one hundred and twenty (120) days;
- (4) Selection for training which is given primarily to prepare an employee for advancement and is required for promotion;
- (5) Reassignment or demotion to a position with more promotion potential than the employee's current position;
- (6) Transfer from another Federal agency to a higher graded position; and
- (7) Reinstatement or promotion to a permanent or temporary position at a higher grade than the highest nontemporary position held in the competitive service from which the employee was not demoted for cause or performance.

Section 13.07 - Actions Not Covered by Competitive Procedures.

Nothing in this Agreement shall preclude the selection or placement of a person entitled to a higher order of consideration by law or Governmentwide rule or regulation. In addition, the following actions are specifically excluded from coverage of the competitive placement procedures of this Agreement:

(1) Appointments.

- (a) Appointment from an Office of Personnel Management register or a register under the Department's delegated examining and/or Schedule B appointment authority;
- (b) Reinstatement to a grade or position previously held by an employee under a non-temporary appointment from which the employee was not demoted for cause or performance, and meets the qualification standards;
- (c) Reinstatement from the Department's Reemployment Priority List (RPL) for a position at a higher grade than the one last held in the competitive service;
- (d) Transfer from another Federal agency to a grade or position previously held by an employee under a non-temporary appointment from which the employee was not demoted for cause or performance, and meets the qualification standards;
- (e) Conversion to competitive appointment of an employee who has successfully satisfied the specific requirements of a special employment program. Examples of such programs include:
 - Cooperative Education;
 - Veterans' Readjustment;
 - Selective Placement; and
 - Presidential Management Intern.
- (f) Action to fill a position which has no greater promotion potential than GS-5 in Headquarters; GS-4 in the Field.

(2) Position Changes - Permanent.

- (a) Reassignment or demotion to a position with no greater promotion potential than the employee's current position; including to a position that might require a training plan and/or qualifications waiver;
- (b) Promotion resulting from the upgrading of a position without significant changes in the duties and responsibilities due to issuance of a new classification standard or the correction of an initial classification error;
- (c) Promotion resulting from an employee's position being reclassified at a higher grade because of additional duties and responsibilities;

- (d) Career promotion without current competition when an employee was previously appointed or competitively selected for an assignment intended to prepare the employee for the position to be filled;
- (e) Repromotion to a grade or position previously held by an employee under a non-temporary appointment, and from which the employee was not demoted for cause or performance, and meets the qualification standards;
- (f) Promotion resulting from priority consideration granted because of failure in the past to receive proper placement consideration;
- (g) Promotion through career ladders after employees are converted from a special employment program to career or career-conditional;
- (h) A position change permitted by reduction-in-force regulations;
- (i) Placement of an employee who failed to satisfactorily complete a supervisory/managerial probationary period; and
- (j) Permanent promotion of an employee competitively selected for temporary assignment, provided the initial announcement stated that a permanent promotion could result.

(3) Position Changes - Temporary.

- (a) Temporary promotions of one hundred twenty (120) days or less; and
- (b) Details of one hundred twenty (120) days or less to higher-graded positions or to positions with known promotion potential.

Section 13.08 - Locating Candidates and Publicizing Vacancies.

Vacancies in the bargaining unit which are to be filled by competitive placement procedures shall be announced and posted in the area of consideration. The procedures described below shall be followed.

- (1) **Area of Consideration.** The minimum area of consideration shall be:
 - (a) Department-wide: GS-14 and above;

- (b) Geographic Area or Headquarters: GS-13; and
- (c) Local Commuting Area: GS-12 and below.

When the minimum area of consideration does not generate an adequate number of candidates, it may be expanded. However, at the discretion of Management, the initial area of consideration may be extended to fill vacancies that are hard to fill.

- (2) **Vacancy Announcements.** Vacancy announcements shall include the following information:
 - (a) Announcement number and opening and closing dates;
 - (b) Title, series and grade of the position;
 - (c) Number of vacancies to be filled;
 - (d) Geographic and organizational location;
 - (e) Summary statement of the principal duties and responsibilities;
 - (f) Minimum Office of Personnel Management (OPM) qualifications and eligibility requirements;
 - (g) All selective placement factors;
 - (h) Summary statement of the evaluation method and criteria, including relative weights, to be used to rate and rank candidates. The criteria shall be expressed in terms of knowledges, skills, abilities and other characteristics (KSAOs);
 - (i) Description of known promotion potential, if any;
 - (j) Permanent or temporary nature and, if temporary, the duration and whether the assignment can be made permanent;
 - (k) The area of consideration;
 - (l) Coverage of position under the Fair Labor Standards Act (FLSA);
 - (m) Whether or not position is in the bargaining unit;
 - (n) Where additional information may be secured;
 - (o) What constitutes an appropriate application;

- (p) Written examinations to be used, if any;
- (q) A statement on Equal Employment Opportunity;
- (r) A statement on smoking restriction; and
- (s) Where applications can be accepted or submitted.

(3) Posting Periods.

- (a) The number of calendar days that a vacancy announcement is open shall be determined by the level of difficulty in recruiting qualified candidates. The opening and closing dates shall be specified on the vacancy announcement. All vacancy announcements shall be open a minimum of fourteen (14) calendar days.
- (b) When solicitation for the normal posting period and area would be clearly impractical because of extenuating and unique circumstances (e.g., budgetary limitations, FTE limitations), the posting period may be shortened to a minimum of seven (7) days. The merit staffing record must contain complete documentation explaining the circumstances.
- (c) Open continuous announcements, without specific closing dates, may be used to advertise recurring vacancies.

(4) Reposting, Extension or Cancellation.

- (a) If a vacancy announcement has been posted and any significant information is later found to have been omitted or in error, an amended announcement shall be reposted citing the change(s) and whether or not the original applicants must refile in order to be considered. Posting periods shall be adjusted, if necessary.
- (b) Extension of the closing date of an announcement shall be done by an amendment to the original announcement.
- (c) Cancellation of an announcement shall be done by an amendment to the original announcement. The reasons for cancellation shall be noted on the amended announcement.

(5) Posting Vacancy Announcements. When positions are advertised, Management agrees to post vacancy announcements for both unit and nonunit positions on bulletin boards or other appropriate places within the area of consideration.

It is further agreed that a copy of each vacancy announcement (including DEU/PAC) shall be provided to the designated Union official. These provisions also apply to vacancy announcements which are reposted, extended or canceled.

Section 13.09 - Employee Applications.

- (1) **Filing an Application.** To be considered for a vacancy, an employee must file an appropriate application (as specified in the announcement) with the servicing Human Resources office responsible for staffing the vacancy or with the local office where the vacancy is located. Employees away from their duty station may contact the servicing Human Resources office to obtain information and copies of vacancy announcements.
- (2) **Full and Complete Information.** An employee is responsible for providing full and complete information, in writing, on his/her application for a posted vacancy, as follows:
 - (a) The employee should identify the announcement number and position title.
 - (b) The employee should describe experiences, awards and performance ratings as they relate to (each of the) knowledges, skills, abilities and other characteristics (KSAOs) for the vacancy, in a supplemental qualification statement.
 - (c) The employee shall describe any training or outside activities related to the vacancy.
 - (d) All pages of the most recent performance appraisal shall be submitted.
 - (e) The employee shall give organization location, and/or home address, home and/or work telephone number, and shall sign and date the application.
 - (f) Other information required by the announcement.
- (4) **Failure to Provide Information.** Failure to provide any necessary and relevant information such as an appropriate application, Supplemental Qualifications Statements, and latest performance appraisals, etc., required by the vacancy announcement, shall be disqualifying.

- (5) **Time Limits.** Applications forwarded in response to individual announcements shall be accepted if they are received in the servicing personnel office staffing the vacancy by close of business (COB) of the last open day of the announcement or the COB in the local office where the vacancy is located.

Section 13.10 - Evaluation of Candidates.

- (1) **Determining Basic Eligibility.** The minimum qualification standards prescribed by the Office of Personnel Management and, in addition, selective placement factors, if any, identified as essential to satisfactory job performance, shall be used to determine basic eligibility of candidates for competitive placement consideration.
- (a) The minimum qualification standards and selective placement factors, for a position to be filled by competitive placement procedures, shall be stated on the vacancy announcement.
 - (b) Candidates who shall meet all requirements within thirty (30) calendar days after the closing date of the vacancy shall be considered qualified and eligible for further consideration.
 - (c) Ineligible applicants shall be promptly notified in writing of the reasons for their ineligibility.
- (2) **Criteria for Evaluation of Candidate Qualifications.** The evaluation process shall be based on a comparison of the qualified candidates' qualifications against a set of job-related criteria that have been developed for the position to be filled.
- (a) Job-related criteria shall go beyond the minimum standards for basic eligibility and shall be expressed in terms of the specific knowledges, skills, abilities, and other characteristics (KSAOs) that shall be used to distinguish BEST QUALIFIED candidates from a group of QUALIFIED applicants.
 - (b) Evaluation criteria shall be identified through analysis of the duties and responsibilities of the position to be filled or of a group of related positions having common characteristics and no critical differences in duties and responsibilities.
 - (c) A crediting plan shall be developed by Management for the position to be filled. It shall specify how each knowledge, skill, ability and other characteristic

(KSAO) is to be measured and the credit levels for each. The plan must equate the quality of candidates' possession of essential KSAOs to specific credit levels.

- (d) A candidate's rating shall be determined on the basis of relevant job-related information derived from a specified combination of the following sources:

Appropriate application;

Supplemental Qualifications Statements;

Supervisory Appraisals;

Structured interviews; and

Written aptitude/ability tests (if required by the Office of Personnel Management).

(3) Rating and Ranking of Candidates and Certificates.

- (a) Rating is the process of evaluating the qualifications of QUALIFIED candidates by use of a crediting plan to identify those who are QUALIFIED in terms of the KSAOs of the position to be filled.
- (b) Ranking is another step in the candidate evaluation process involving the comparison of QUALIFIED candidates based on rating with each other to determine if there is a natural break. Those who clearly stand out are the BEST QUALIFIED.
- (c) All qualified candidates shall be rated and ranked against the criteria in a crediting plan by a Human Resources Specialist or merit staffing panel. When there are ten (10) or fewer qualified candidates at any one grade level, the selecting official has the option of requesting a Human Resources Specialist or panel to apply the crediting plan and to determine the best qualified candidates to be referred.
- (d) Merit Staffing Panel
1. If a merit staffing panel is used, the selecting official shall not be a member of the panel.
 2. Members of the panel must evaluate candidates in accordance with the applicable crediting plan. They must take into consideration all job-related information derived from the application forms, supplemental qualifications statements,

supervisory appraisals; and, if used, structured interviews and/or written tests. If necessary, the panel may ask the personnel specialist for clarification/verification of the information on any candidate.

3. Ratings of applicants may be done jointly, or individually, and then averaged. Ratings shall be sufficiently documented in order to reconstruct the action.
4. Determination of the number of BEST QUALIFIED candidates referred shall be based on a natural break between the relative ranking of QUALIFIED candidates. Normally three to five names shall be submitted to the selecting official. The lowest ranking candidate above the break should be able to perform the job with substantially equal success as all candidates with higher scores.
 - a. In case of ties, candidates with the same numerical ranking shall be considered as one referral and all such candidates shall be referred. When a selecting official has more than one vacancy to fill, two (2) additional names may be added for each vacancy.

- (4) **Extending the Search.** Ordinarily, the search may be extended if there are less than three (3) BEST QUALIFIED candidates and the search is likely to increase this number in a reasonable period of time.
- (5) **Additions to the Certificate.** In the event of declinations after referral, additional candidates may be added to the Competitive Placement Certificate in accordance with the general rule as to the number to be referred in 4(a) above.
- (6) **Validity of Certificate.** Certificates are valid for up to sixty (60) days. However, if a selectee declines before assuming the duties of the vacancy, the certificate may be used again to make a selection.
- (7) **Reuse of Certificate.** The same certificate may be used again within sixty (60) days from the date of selection or cancellation for additional identical positions.

Section 13.11 Selection Consideration. Management shall ensure that the evaluation of candidates complies with this Agreement and shall forward the Competitive Placement Certificate to the selecting official.

- (1) **Action by Selecting Official.** The selecting official is entitled to select, or not select, any of the candidates on the Competitive Placement Certificate. The selecting official is expected to make a selection normally within thirty (30) days following receipt of the certificate.
- (2) **Interviewing Candidates.**
 - (a) The selecting official or a designee shall interview all or none of the BEST QUALIFIED candidates referred.
 - (b) Telephone interviews are acceptable for candidates located outside of the local commuting area.
 - (c) Supervisors shall release employees for such interviews for the necessary length of time.
- (3) **Notification to Candidates.** When a selection is made, the employee shall be notified and a release date arranged by Management. Candidates who were certified but not selected shall be promptly advised of their nonselection by Management and also the name of the selectee.
- (4) **Effective Dates of Actions.**
 - (a) An employee selected for a position shall be released from the former position at the earliest practicable date after approval of the action, but not later than thirty (30) days from the date of selection.
 - (b) When an employee is competitively promoted, the effective date of the promotion shall normally be no later than the beginning of the second complete pay period following the date of selection.

Section 13.12 - Priority Consideration.

- (1) **Definition.** Priority consideration is special placement consideration for an appropriate vacancy given to an employee who did not receive proper consideration in a prior competitive placement case due to a documented procedural, regulatory, or program violation.
- (2) **Appropriate Vacancy.** An appropriate vacancy is the next available position for which the employee is interested and fully qualified and which has the same or less promotion potential as the one for which proper consideration was not given.

- (3) **Entitlement.** An employee is entitled to only one (1) priority consideration for noncompetitive placement for each instance in which he/she was previously denied proper consideration. An employee shall exercise his/ her entitlement to priority consideration for a specific, advertised vacancy by written request to the servicing personnel office staffing the vacancy. If not exercised within two (2) years from official notification, an employee's entitlement to priority consideration shall expire.
- (4) **Processing.** The procedures for processing priority consideration(s) shall be:
- (a) Before referring a Competitive Placement Certificate to the selecting official, Management shall provide the selecting official with a list of employees interested and eligible for priority consideration.
 - (b) The selecting official shall interview and give bona fide consideration to those employees on the priority consideration list.
 - (c) Management shall notify the employee of nonselection under priority consideration. Nonselection under this Section shall not preclude an employee from subsequent selection from a Competitive Placement Certificate for the same position provided that the employee has submitted all the required application documents, supplemental statements and performance appraisals.
 - (d) Upon request, the employee shall be provided the reasons for nonselection.

Section 13.13 - Career Ladder Promotion. Management shall make prompt determinations regarding career ladder promotions of their employees. Management shall notify the employee by his/her anniversary date whether or not a promotion shall be recommended and provide a written explanation if the employee shall not be promoted. A career ladder promotion is dependent on:

- (1) The employee's demonstration of the ability to perform the duties of the next higher grade to the satisfaction of his/her supervisor. A copy of the promotion criteria (position description or performance standards for the next grade) shall be given to an employee as he/she enters each level of a career ladder.
- (2) The availability of enough work at the next higher grade.

- (3) Meeting the minimum qualification and other regulatory requirements.

Section 13.14 - Employee Information.

- (1) **Information on Certificates.** Upon request, the Union shall have access to information on the certificate not prohibited by law, or Governmentwide regulation. For purposes of the Privacy Act, the Union shall be considered a party with a need to know when it requests information under this Article.
- (2) **Information on Selection.** Quarterly announcements of persons selected for positions within the preceding period shall be posted at the locations at which vacancies are advertised. Copies shall be given to the Union.

Section 13.15 - Union-Management Review of Competitive Placement Actions. Upon request, appropriate Union and Management representatives shall review and audit any competitive placement records pertaining to unit employee positions. The disclosure of such information shall not be contrary to Governmentwide rule, regulation, the law, or the Privacy Act. Such reviews shall take place within five (5) days, unless the position was staffed in an office other than the office where the vacancy is located, after Management has received a formal request from the Union following the competitive placement action. The review may be done in the office where the vacancy is located.

Section 13.16 - Corrective Action. If a violation of the competitive placement procedures of this Agreement is officially determined to have occurred, Management shall take prompt action to rectify the situation. The nature and extent of the corrective action(s) to be taken shall be determined on the basis of all the facts in a case, to the equitable and legal rights of the parties concerned, and to the interest of the Government.

ARTICLE 14
DETAILS AND TEMPORARY PROMOTIONS

Section 14.01 - Definition. A detail is the temporary assignment of an employee to a different position or a different set of duties for a specified period, with the employee returning to his/her regular duties at the end of the detail, as the employee continues to be the incumbent of the position from which detailed.

Section 14.02 - Documentation. Management agrees that employees shall be recognized for the work that they perform. Therefore, details in excess of thirty (30) days shall be documented and maintained as a permanent record in the employee's Official Personnel Folder (OPF).

Section 14.03 - Appropriate Use of Detail. Details shall be used to meet temporary needs of the Department's work program when necessary services cannot be obtained by other means. This includes, but is not limited to:

- (1) Meeting unusual workload demands;
- (2) Special projects or studies;
- (3) Change in mission or organization; and
- (4) Employee absences.

Management shall consider employees who indicate an interest in a detail. Where Management determines that a detail may best be accomplished by utilizing volunteers, it shall announce the detail by memorandum and consider those who express an interest.

Section 14.04 - Details to Higher Grade Positions. A detail exceeding one hundred twenty (120) days to a higher grade position or to a position with known promotion potential shall be made under competitive placement procedures.

Section 14.05 - Temporary Promotions. When qualified employees are detailed to a bargaining unit position of higher grade for a period in excess of thirty (30) consecutive days, the assignment must be made via temporary promotion. Employees who are temporarily promoted must meet all regulatory and qualification requirements for promotion. If the detail exceeds one hundred twenty (120) days, it shall be done via merit promotion.

ARTICLE 15
REDUCTION-IN-FORCE, REORGANIZATION,
AND/OR TRANSFER OF FUNCTION

Section 15.01 - Reference. The policy, procedures, and terminology established in this Article are in conformance with:

- (1) 5 U.S.C. 3501-3504
- (2) 5 CFR Part 351
- (3) FPM Chapter 351
- (4) 29 CFR 1614.203
- (5) 5 U.S.C. 7501(2)

Section 15.02 - Policy. Nothing in this Article shall diminish the rights of employees which are specifically provided by law. Where a provision of this Article conflicts with a provision of law which provides greater legal rights for employees than that provided by this Article, the provision of law shall apply.

Section 15.03 - Definitions.

- (1) **Reduction-In-Force (RIF)** - means the release of an employee from his/her competitive level by separation, demotion, furlough for more than thirty (30) days, or reassignment of an employee requiring the displacement of another employee when it is for lack of work or shortage of funds, insufficient personnel ceiling, reorganization, reclassification due to an erosion of duties only if action shall take affect after the RIF has been formally announced in the employee's competitive area and when the RIF shall take effect within one hundred eighty (180) days, or the need to replace a person exercising reemployment or restoration rights requires Management to release the employee from his/her competitive level.
- (2) **Transfer of Function** - means the transfer of the performance of a continuing function from one competitive area and its addition to one or more other competitive areas, except when the function involved is virtually identical to functions already being performed in the other competitive area(s) affected; or the movement of the competitive area in which the function is performed to another commuting area.
- (3) **Reorganization** - means the planned elimination, addition, or redistribution of functions or duties in an organization or activity. Reorganization comes under this Article only if there are employees affected under Article 15, Section 15.03 (1) or (2).

- (4) **Excepted Service Employee** - is an employee whose position is excepted from the competitive service. These persons are appointed in the Federal personnel system under various authorities including "Schedule A," "Schedule B," and "Schedule C."
- (5) **Undue Interruption** - is a degree of interruption that would prevent the completion of required work within the allowable limits of time and quality. Depending upon the pressures of priorities, deadlines, and other demands, the ordinary work program generally would not be unduly interrupted if the optimum quality and quantity of work were regained within ninety (90) days after a reduction-in-force. Lower priority programs might tolerate even longer interruption.
- (6) **Order of Release** - Whenever a reduction-in-force occurs, the layoff is made from the bottom of the retention register. Thus, employees in subgroup III-B, (e.g., indefinite/temporary appointment, non-preference eligible) are separated first and employees in subgroup I-AD, (e.g., career, (thirty) 30 percent or more service connected disability preference eligible veteran) last. Within each subgroup, employees are reached in the order of their length of RIF creditable service, the employee with the least amount of service is reached first.
- (7) **Competitive Level** - A competitive level consists of all the positions in a competitive area that are in the same grade or occupational level and classification series and which are similar enough in qualification requirements, duties, pay schedule, and working conditions, so that the incumbent of one position could successfully perform the critical elements of any other position upon entry into it without any loss of productivity beyond that normally expected in the orientation of any new but fully qualified employee.

Section 15.03 - Union Notification.

- (1) When it is determined that any of the actions stated in this Article are necessary and the scope of the action affects locals in more than one (1) geographic area, Management shall inform the Union at the National level and in the affected offices. If only one (1) local is affected in a geographic area, Management shall notify the designated Local Union representative(s).
- (2) Written notification shall be given to the appropriate Union representatives at least fifteen (15) days in advance of the specific notice to the employee.

- (3) Management shall provide the Union with specific information concerning the matter, to include:
 - (a) The reasons for the reduction-in-force, reorganization, or transfer of function;
 - (b) The approximate number, types, and geographic locations of positions affected; and
 - (c) The approximate date of the action.
- (4) The servicing Human Resources Office shall provide each Local with a copy of specific RIF notices for all offices in the Local's jurisdiction.

Section 15.05 - Employee Notification. An individual employee who is adversely affected by actions stated in this Article (geographically transferred, demoted, or separated because of reduction-in-force) shall, as a minimum, be given specific notice not fewer than sixty (60) but no more than one hundred twenty (120) days in advance of the effective date. Such notices shall contain the information required by law and regulations, in addition to that required by this Agreement.

- (1) **Content of Specific Reduction-In-Force Notices.** The specific reduction-in-force notice includes the following information specified at 5 U.S.C. 3502(d) (2):
 - (a) The specific reduction-in-force and personnel action to be taken with respect to the employee involved;
 - (b) The effective date of the action;
 - (c) The employee's competitive area, competitive level, subgroup and service date, and annual performance ratings of record received during the last four (4) years; however, only the three (3) most recent ratings of record will be credited;
 - (d) The place where the employee may inspect the regulations and records pertinent to his/her case;
 - (e) The employee's ranking relative to other competing employees;
 - (f) A description specifically showing how the employee's ranking relative to other competing employees was determined;
 - (g) The justification for retaining a lower standing employee in the same competitive level because of a temporary or continuing exception;

- (h) Grade and pay retention information;
- (i) A description of the employee's grievance or appeal right; and
- (j) Reemployment rights.

Section 15.06 - Additional Employee Information. Management shall provide complete information needed by employees to fully understand the reduction-in-force and why they are affected. Specifically, Management shall:

- (1) Inform all employees as fully and as soon as possible of plans or requirements for reduction-in-force in accordance with applicable rules and regulations.
- (2) Inform all employees of the extent of the affected competitive area, the regulations governing reduction-in-force and the kinds of assistance provided for affected employees.
- (3) The servicing human resources office shall maintain, distribute, and publicize a list of vacancies, Department-wide, and maintain a copy of the Governmentwide job bulletins, such as Federal Jobs or Federal Research Service. This information shall also be provided to the Union for all offices in the jurisdiction of the Local.
- (4) The office having custody of the Official Personnel Folder (OPF) shall permit personal inspection of an employee's OPF by the employee or his/her authorized representative. The OPF shall normally be available by the next workday. If the OPF is not located in the employee's office, the OPF will be express mailed to the employee's office for his/her review within forty-eight (48) hours of Management's receipt of the request.

Section 15.07 - Competitive Area. The competitive area for offices shall be determined in accordance with applicable law.

Section 15.08 - Competitive Level. Competitive levels are established in accordance with applicable law and regulations. An employee is assigned to a position in a competitive level in accordance with regulations. Factors considered in the establishing of competitive levels are as follows:

- (1) A competitive level consists of all the positions in a competitive area that are in the same grade or occupational level and classification series and which are similar enough in qualification requirements, duties, pay schedule, and working conditions, so that the incumbent of one position could successfully perform the critical elements of any other position upon entry into it without any loss of productivity beyond that normally expected in the orientation of any new but fully qualified employee.
- (2) **Qualification Considerations.** When Management considers the effect of qualifications on the composition of a competitive level, the concern is not with the qualifications an employee possesses but with the qualifications required by the duties and responsibilities of the position as stated in the Official Position Description. Separate levels may be indicated because the knowledge, technique, and know-how acquired on the job may be distinctive enough to keep the agency from readily moving employees from one job to another. Separate levels shall not be so narrowly defined as to favor or disfavor an employee or group of employees with respect to RIF actions.
- (3) **Separate Competitive Levels Prohibited.** Management may not assign a position to a separate competitive level based only on:
 - (a) The employee's sex, except for a position for which OPM has found that restricting the certification of eligibles by sex is justified;
 - (b) The fact that the employee is serving a probationary period required by 5 CFR 315, Subpart I, upon initial assignment to a supervisory or managerial position; and
 - (c) Differences in work schedules among other than full-time employees who would otherwise be assigned to the same competitive level.

(4) Separate Competitive Levels Required.

- (a) In accordance with applicable OPM regulations, each agency establishes separate competitive levels for positions according to the following categories:
1. **By Service.** Separate levels shall be established for positions in the competitive service and the excepted service;
 2. **By Appointment Authority.** Separate levels shall be established for excepted service positions filled under different appointment authorities;
 3. **By Pay Schedule;**
 4. **By Work Schedule.** Separate levels shall be established for positions filled on a full-time, part-time, intermittent, seasonal, or on-call basis;
 5. **By Supervisory or Nonsupervisory Status.** Separate levels shall be established for positions filled by a supervisor or Management official as defined in 5 U.S.C. 7103(a)(10) and (11); and
 6. **By Trainee Status.** Separate levels shall be established for positions filled by an employee in a formally designated trainee or developmental program having all the characteristics covered in paragraph 4-6a of FPM Chapter 351.

Section 15.09 - Use of Vacancies to Satisfy Assignment Right.

- (1) **Within the Competitive Area.** Consistent with the needs of the Department, Management will make every effort to use vacancies to satisfy an employee's assignment right.
- (2) **Outside the Competitive Area.** If a bargaining unit employee's assignment right determined in accordance with law, regulation, and this Agreement results in an offer at a lower grade or if the bargaining unit employee has no assignment right and is identified for separation, Management will make every effort to utilize available positions in areas outside the employee's competitive area if such an offer is in the best interest of the Department and the offer shall not adversely affect the assignment rights of bargaining unit employees in the other competitive

area. If such an offer is accepted, a bargaining unit employee shall be entitled to a reasonable amount of agency official time in order to obtain housing and to facilitate other aspects of his/her relocation.

Section 15.10 - Waiver of Qualifications. After consultation with the appropriate Union representative, Management may assign an employee without regard to OPM's standards and requirements for the position if:

- (1) The employee meets any minimum education requirement for the position; and
- (2) The employee has the capacity, adaptability, and special skills needed to satisfactorily perform the duties and responsibilities of the position.

All waivers of qualification(s) must be properly documented and justified; this documentation must be maintained by the servicing Human Resources office subject to Union review.

Section 15.11 - Training for Displaced Employees. If Management waives qualification standards to place an employee into a vacant position under RIF, then Management shall develop a training plan and assure training is provided in accordance with the plan.

Section 15.12 - Exceptions to the Order of Release.

(1) **Temporary Exceptions.**

(a) After consultation with the appropriate Union Representatives, Management may allow temporary exceptions, not to exceed ninety (90) days, to the RIF order of release to continue an employee on duties that a higher standing employee cannot take over within ninety (90) days:

1. Without undue interruption to the Department.
2. To satisfy Management's obligation to the retained employee; for example, to delay the effective date of the employee's release long enough to allow the specific notice period required by this Article, as when he/she is absent from his/her duty station on leave and cannot receive his/her notice the same day as a higher ranking employee.
3. To help an employee administratively when the temporary exception does not adversely affect the rights of any other employee released ahead of the excepted employee.

- (2) **Continuing Exceptions.** After consultation with the appropriate Union Representatives, Management may allow continuing exceptions to the RIF order of release to continue an employee in duties that a higher standing employee cannot take over within ninety (90) days without undue interruption to the Department.
- (3) **Employee Representation.** Employee representatives designated pursuant to Article 7 of the HUD/AFGE Agreement, who are scheduled for separation due to a reduction-in-force, may be temporarily excepted from the reduction-in-force order of release for up to ninety (90) days upon the recommendation of the Council President provided that the affected employee has been a designated employee representative for the three (3) months preceding the scheduled separation.
- (4) **Documentation.** All exceptions to the RIF order of release shall be justified and the documentation of such justification shall be maintained with other required RIF records. Justification of continuing exceptions must clearly demonstrate that no higher standing employee could take over the duties of the position without undue disruption to the Department and must explain the consequences of not permitting the exception. The reasons should be consistent with the criteria for justifying a temporary exception and the reasons why a temporary exception not to exceed ninety (90) days is not sufficient, must also be included.

Section 15.13 - Tie Breaking. It is possible, in releasing an employee from a competitive level, to reach two (2) employees with identical retention standing. In such cases, the decision to retain one or the other employee in the competitive level shall be made on the basis of the following criteria in the following order:

- First: Employees most recent entry on duty (EOD) date with the Department;
- Second: Employees time in grade; and
- Third: Comparative number of RIF displacements resulting from release.

The decision must be documented in writing and retained with RIF files. The Union shall be notified, in writing, of the names of such employees with identical retention standing, the decision as to which employee to retain, and the criteria that were used to make such a decision.

Section 15.14 - Offer of Assignment.

- (1) When an employee is released from his/her competitive level, the operating human resources office must determine whether that employee is entitled to a job offer and, if so, at what grade level. It is possible that a released employee may be qualified and able to displace (by bump or retreat) several other employees at the grade level of entitlement. This offer shall be made on the basis of the following criteria in the following order:
 - (a) The comparative overall performance rating of the vulnerable employee;
 - (b) Qualification match; and
 - (c) Comparative RIF disruption.
- (2) The decision shall be fully documented and this documentation shall be made available to the Union.

Section 15.15 - Excepted Service. In reduction-in-force, employees in the excepted service shall compete within competitive levels, in order of retention standing, on a separate retention register from competitive positions as specified in OPM regulation. The Department shall provide for bumping or retreat rights for its excepted service employees when they cannot be retained in their competitive levels using the same methodology as that used for competitive service employees.

Section 15.16 - Other Provisions.

- (1) Management may, at its election:
 - (a) Use subgroup superiority in displacing tenure Group III employees.
 - (b) Allow employees in the same subgroup with more service to displace those with less service in order to make a better assignment offer.
- (2) Written justification of these actions shall be made available to the Union.

Section 15.17 - HUD Reemployment - Repromotion Priority List.

The Reemployment-Repromotion Priority List shall be governed by the Career Transition Assistance Program (CTAP) and any supplements and MOUs negotiated by the Union with the Department.

Section 15.18 - Counseling and Benefits Assistance.

- (1) In the event of a reduction-in-force effecting separation of employees, Management shall determine from the appropriate State employment service or other appropriate assistance program whether any of the affected employees may be eligible for training or benefits at Government expense, and, if so, shall inform the employees how to apply for such training and benefits.
- (2) In order to expedite implementation of this Section, the Department shall transfer necessary data, in keeping with the Privacy Act, to the Office of Personnel Management and appropriate State employment and benefits agencies. Employees shall be provided an opportunity to waive privacy rights to aid in this transfer of data.
- (3) Management agrees to assist and refer any Group I or II displaced employees to the Office of Personnel Management (OPM) for consideration for employment under the Displaced Employee Program (DEP).

Section 15.19 - Federal Outplacement Assistance.

Outplacement assistance shall be governed by the CTAP and any supplements and MOUs negotiated by the Union with the Department.

Section 15.20 - Personnel Files. The Union may review any bargaining unit employee's official personnel file at the employee's request if that employee reasonably believes that the information used to place him/her on the retention register is inaccurate, incomplete, or not in accordance with law, rule, regulation, and provisions of this Agreement.

Section 15.21 - Records. Management shall maintain all lists, records, and information pertaining to the reduction-in-force for at least one (1) year in accordance with applicable rules and regulations.

Section 15.22 - Retention Register. Management shall certify the accuracy of all retention registers which are to be used to conduct a reduction-in-force. A copy of the certified retention registers shall be made available to the Union immediately upon its completion. Upon request, the Union shall have the right to review any subsequent changes to the uncertified and certified retention registers.

Section 15.23 - Training for Union Representatives. Management shall provide opportunity to all Union representatives in each office for RIF training prior to the briefing of the employees. Management sponsored training shall be equivalent to the training provided to supervisors. All training shall be on agency official time.

Section 15.24 - Early Retirement Authorization. Management shall request from OPM authorization for early optional retirement for any segment or component of the Department when the minimum eligibility requirements established by regulation are met.

Section 15.25 - Employee Use of Facilities.

An employee's use of facilities will be governed by the CTAP and any supplements and MOUs negotiated by the Union with the Department.

Section 15.26 - Employee Use of Official Time.

An employee's use of official time will be governed by the CTAP and any supplements and MOUs negotiated by the Union with the Department.

Section 15.27 - Performance Appraisals. In a RIF, all employees shall be entitled to additional service credit for performance in conformity with the regulations at 5 CFR 351.504. Annual performance appraisals shall be frozen prior to issuance of the specific RIF notice and shall be the evaluations used to determine eligibility for additional credit toward an employee's service computation date.

Prior to freezing performance appraisals, Management will ensure that all employees have a current performance rating of record.

Section 15.28 - Grade and Pay Retention. Grade and pay retention for affected employees shall be in accordance with applicable law and regulations.

Section 15.29 - Details. During a reduction-in-force, employees on detail shall not be released from the position to which they are detailed but, rather, from their permanent position.

Section 15.30 - Transfer of Function. When a transfer of function results in a reduction-in-force, RIF procedures, as outlined in applicable regulations and this Agreement, shall be used.

Section 15.31 - Personnel Freezes. The Union shall be consulted prior to implementation of any Management decisions to freeze personnel actions in conjunction with RIFs, reorganizations, or transfers of function.

Section 15.32 - New Organization. In anticipation of a RIF, Management shall not create new or different organizational components or subcomponents to favor or disfavor an employee or group of employees.

ARTICLE 16
FURLOUGHS FOR THIRTY (30) DAYS OR LESS

Section 16.01 - Purpose. This Article sets forth procedures which shall be followed if Management determines it is necessary to furlough employees for thirty (30) days or less due to:

- (1) Lack of work;
- (2) Lack of funds; and/or
- (3) Unforeseeable circumstances such as a sudden breakdown of equipment, acts of God or sudden emergencies requiring immediate curtailment of activities including a lapse of appropriations. Unforeseeable circumstances, in addition to meeting the definition of emergency, also include the inability of Management to continue operations to a practical extent.

These procedures shall be carried out in accordance with law and Governmentwide regulations. Furloughs of thirty (30) days or more must be carried out according to reduction-in-force procedures.

Section 16.02 - Notification to Union and Impact Bargaining.

- (1) Before Management furloughs employees, except where an unforeseeable circumstance arises, Management shall provide written notification to the Council President of:
 - (a) The reason for the furlough(s);
 - (b) The organizational segments affected by the furlough(s); and
 - (c) The estimated number of employees to be furloughed.

This notice shall also be provided simultaneously to the Local representative in the affected office.

- (2) Impact and implementation bargaining which is necessitated by a Management decision to furlough employees shall take place at the local or National level as appropriate. If differences arise, the procedure for handling an impasse shall be resolved during impact bargaining.

Section 16.03 - Volunteers. Once Management determines the number, types, and grades of employees necessary to accomplish the work, Management shall notify employees at the work site and shall solicit volunteers for furlough. If a sufficient number of

volunteers do not come forth, then Management shall select employees for furlough on a fair and equitable basis. Any employees not furloughed must be qualified to perform the functions that are to continue to be performed during the period of furlough.

Section 16.04 - Scheduling Furlough Days. When Management has made a decision to furlough employees for a specified number of days during a specified period of time, employees shall be provided an opportunity to submit a schedule identifying their preferences in accomplishing the necessary number of days off. These schedules shall be accommodated as much as practicable giving due consideration to workload and staffing and office coverage requirements.

Section 16.05 - Notice to Employees. Except in cases of unforeseeable circumstances, Management shall provide written, individual notices to those employees who are to be furloughed thirty (30) days prior to the effective date of the furlough.

Section 16.06 - Employee Benefits During a Furlough.

- (1) **Continuous Furlough.** Life insurance and health benefits enrollment shall continue without cost to the employee on consecutive and continuous furlough of thirty (30) days or less.
- (2) **Discontinuous Furlough.** Life insurance and health benefits enrollment shall continue. Contributions by the employee shall continue if the salary in the pay period is sufficient to cover the full deduction.

Section 16.07 - Employee Compensation During Lapse of Appropriation.

- (1) Employees who are required to report for duty during a lapse of appropriations shall be fully compensated in accordance with law and regulation.
- (2) Employees who are furloughed because of lapse of appropriations shall be retroactively paid and otherwise compensated in accordance with law and regulation and the availability of funds.

Section 16.08 - Leave During Lapse of Appropriation.

- (1) When a furlough is required due to a lapse in appropriation, employees on approved annual leave shall be permitted to complete the approved leave. Upon expiration of the

approved leave, if the absence of an appropriation persists, the employee shall be furloughed. Under no circumstance shall an employee be allowed to take unaccrued annual leave during a lapse of appropriation.

- (2) When a furlough is required due to a lapse in appropriation, employees on sick leave which commenced prior to the furlough may continue on sick leave to the extent of the employee's accrued sick leave and as long as the employee remains sick.

ARTICLE 17
HOURS OF DUTY - ALTERNATIVE WORK SCHEDULES

Section 17.01 - Introduction. All employees are covered by this program and shall be governed by the provisions set forth in this Article.

Section 17.02 - Definitions.

- (1) **Official Business Hours.** The period each day when a HUD office is officially open for business.
- (2) **Flexitime.** A method of establishing individual work schedules that allows employees some discretion with respect to their arrival/departure times. The "Gliding Schedule" flexible work schedule provided under this Agreement allows employees to vary their arrival and departure times each day, so long as they are on duty during the office's established core hours.
- (3) **Compressed Work Schedule.** A method of establishing individual work schedules that allows employees to work eighty (80) hours in a biweekly pay period in fewer than ten (10) days. The "5-4/9 Compressed Work Schedule" provided under this Agreement allows employees to work eight (8) nine-hour work shifts and one (1) eight-hour work shift during each biweekly pay period, with one (1) workday off. Pursuant to applicable law, employees on a compressed work schedule must have a fixed tour of duty.
- (4) **Core Hours.** The hours each day that a full-time employee must be present for work (except for an employee's scheduled day off under a compressed work schedule).
- (5) **Credit Hours.** Credit for work performed by an employee in excess of an eight-hour tour of duty on any workday in order to vary the length of a subsequent workday. Such work is compensated by an equal amount of time off (i.e., one (1) hour of work in excess of the employee's regularly scheduled eight-hour tour of duty is compensated by one (1) hour off on a subsequent workday). Work performed for credit hours is differentiated from overtime work, which is ordered or directed by Management. Work performed for credit hours is not compensated as, nor is it subject to the rules and regulations governing, overtime work.

Section 17.03 - Core Hours.

- (1) **Standard Core Hours.** Core hours for employees stationed in all HUD offices shall be 9:30 a.m. - 3:30 p.m., on all scheduled workdays.
- (2) **Changes in Core Hours.** The parties at the local level may negotiate different core hours within the local office's official business hours, provided that they are at least six (6) hours in length.

Section 17.04 - Tours of Duty.

- (1) **Flexitime.** Full-time employees, excluding those working compressed work schedules, shall be permitted to vary their daily work hours, subject to the following limitations:
 - (a) The standard workweek shall be Monday through Friday.
 - (b) Except for employees participating in the credit hour program, full-time employees shall account for forty (40) work hours during each workweek, consisting of five (5) eight-hour workdays, plus the office's established lunch period each day. The hours worked each day shall be consecutive, except for the lunch period.
 - (c) Employees shall not begin work before 7:00 a.m. local time nor complete work after 6:30 p.m. local time.
 - (d) Employees shall not take a lunch period in excess of the time established for the lunch period in their office without using earned credit hours or compensatory time off or charging such time to the appropriate leave category.
- (2) **Credit Hours.**
 - (a) Full-time employees not working compressed work schedules shall be permitted to earn credit hours, subject to the following limitations:
 1. Employees shall notify their supervisors in advance of their intention to work longer than their regularly scheduled work hours and of the specific date(s) and time(s) they plan to perform such work. The supervisor may disapprove in appropriate circumstances including those listed in paragraph 17.07(5) below.

2. Employees may earn up to three credit hours on any workday. Credit hours shall not be earned on a non-workday. Credit hours shall be earned in one-quarter (1/4) hour increments.
 3. Work performed in order to earn credit hours shall not begin prior to 7:00 a.m. local time nor extend past 6:30 p.m. local time.
 4. An employee shall accumulate no more than twenty-four (24) unused credit hours at any time.
 5. An employee with prior written approval of his or her supervisor may earn credit hours while in training and/or travel status. The training and/or travel must be to an office whose schedule would support the employee working an hour per day in excess of eight (8) hours.
- (b) Employees may carry over up to twenty-four (24) credit hours from one biweekly pay period to the next biweekly pay period.
- (c) Employees who have earned credit hours may use these credit hours to take time off during their regularly scheduled work hours, subject to the following limitations:
1. Use of credit hours shall be subject to advance supervisory approval, in the same manner as leave, and shall be scheduled so as to avoid disruption to the work of the Department and to minimize the number of employees in a work unit who are off on any given workday (e.g., supervisors shall take into account scheduled leave of other employees in the work unit and scheduled days off for employees in the work unit working compressed work schedules in considering an employee's request to use accumulated credit hours).
 2. Credit hours may be used in combination with approved leave and/or compensatory time off. Credit hours may be used in one-quarter (1/4) hour increments.
 3. Credit hours shall not be used on the same workday that they are earned.
 4. An employee shall not be permitted to take time off in anticipation of credit hours being earned, i.e., credit hours must be earned prior to time off being granted.

- (d) An employee shall not be given time off or otherwise compensated for work performed for credit hours in excess of the limits set forth in this Section.
 - (e) When an employee is performing additional work on a given workday in order to earn credit hours, overtime work on that day shall be defined as work that has been ordered or approved by Management in excess of the employee's basic eight-hour work requirement plus the additional work time approved in order to permit the employee to earn credit hours (i.e., if an employee is approved to work one (1) additional hour beyond his/her scheduled eight-hour tour of duty in order to earn one (1) credit hour, overtime work is work ordered or approved by Management in excess of nine (9) work hours on that workday). Time worked to earn credit hours shall not be subsequently converted to or compensated as overtime work.
 - (f) Employees working after 6:00 p.m. in order to earn credit hours shall not be entitled to night differential or other premium pay for such work.
 - (g) Unused credit hours accumulated by an employee shall be paid at the overtime rate in affect at the time the credit hour was earned, when an employee retires, transfers to another agency, or otherwise terminates his/her employment with the Department.
- (3) **Compressed Work Schedules.** Full-time employees shall be permitted to work compressed work schedules, as defined in Section 17.02, subject to the following limitations:
- (a) Employees who elect to work a compressed work schedule shall notify their first-line supervisor in writing of their intention at least two (2) weeks prior to the start of the pay period in which the compressed work schedule (or change) is to begin. The written notification shall include:
 1. The proposed effective date of the compressed work schedule (or change).
 2. The day during each pay period (e.g., first Tuesday, second Thursday) on which the employee plans to work the eight-hour work shift required under the compressed work schedule.
 3. The employee's proposed day off during each pay period.

(b) Prior to an employee beginning to work a compressed work schedule, his/her supervisor shall provide the employee with a written determination regarding their scheduled day off and their planned eight-hour day. Management shall determine the scheduled day off for all employees working compressed work schedules, using the following guidelines:

1. Days off shall be scheduled so as to minimize the number of employees in a work unit who are off on the same day (e.g., if a work unit has four (4) employees working a compressed work schedule, none of these employees should have the same day off).
2. In scheduling days off, supervisors shall give due consideration to work requirements and the preferences of individual employees.
3. In the event of a conflict among employees in a work unit regarding the scheduling of days off, supervisors may, if appropriate, give the affected employees an opportunity to resolve such conflicts among themselves.

(c) Employees on a compressed work schedule work a fixed tour-of-duty and are not required to sign-in and sign-out.

(d) Employees may make changes in their compressed work schedules (e.g., their scheduled day off or eight-hour workday) or may change from a compressed work schedule to a five-day-per-week flexitime work schedule (or vice versa), subject to the following limitations:

1. No change shall be made in the middle of a pay period.
2. An employee shall make no more than one (1) such change during any calendar quarter (e.g., January-March).
3. An employee must notify his/her supervisor in writing of the planned change at least two (2) weeks prior to the start of the pay period in which the change is to take place. For changes in a compressed work schedule, or from a five-day-per-week flexitime work schedule to a compressed work schedule, the supervisor shall give the employee a written determination regarding the scheduled day off prior to beginning work under the new work schedule.

- (e) A temporary change of the day off within the same week may be made by mutual agreement between the supervisor and employee to meet management or employee needs.
 - (f) Management may, at its discretion, temporarily suspend or adjust an employee's compressed work schedule for any biweekly pay period(s) during which the employee is, for all or part of the pay period, in travel and/or training status, if it determines that such action is in the best interests of the Department. The employee's work schedule during the affected pay period(s) shall be within the discretion of Management. Such temporary suspensions shall not be implemented for a partial pay period; they must cover the full pay period(s) in which the travel and/or training occurs. The employee shall return to his/her compressed work schedule at the beginning of the pay period following completion of the travel and/or training.
 - (g) Overtime work under a compressed work schedule shall be defined as work which has been ordered or approved by Management in excess of nine (9) hours, on those days when the employee is scheduled to work a nine-hour tour of duty, and in excess of eight (8) hours, on those days when the employee is scheduled to work an eight-hour tour of duty.
 - (h) Employees working after 6:00 p.m. as part of a scheduled nine-hour tour of duty under a compressed work schedule shall not be entitled to night differential or other premium pay for such work.
- (4) Crediting and Use of Leave Under Flexitime/Credit Hours/Compressed Work Schedules.**
- (a) When excused absences (e.g., voting leave; delayed arrival or early departure due to inclement weather) are granted, determinations regarding entitlement to an excused absence, the amount of excused absence to be granted, and/or the time period during which an excused absence is granted shall be based upon each employee's daily arrival times during the preceding biweekly pay period (or the last pay period in which the employee worked at least five (5) days, if the employee did not work at least five (5) days in the preceding pay period). Each employee's daily arrival times during that pay period shall be rounded to the nearest quarter-hour (e.g., 7:22 a.m. shall be rounded to 7:15 a.m.; 7:23 a.m. shall be rounded to 7:30 a.m.), and the most frequent of these rounded arrival times for each employee shall be used as the basis for all

determinations regarding excused absence for that employee. If there is a tie with respect to an employee's most frequent arrival time (including those situations in which each arrival time is different), the earliest of the tied arrival times (rounded) shall be used to make such determinations.

- (b) Employees shall not extend their work hours to account for absences during the day on a scheduled workday, nor shall employees working a compressed work schedule change their scheduled day off or eight-hour work shift to account for absences on another scheduled workday during the pay period. Any such absences must be charged to the appropriate leave category.
- (c) The following additional provisions shall apply to employees working compressed work schedules:
 - 1. An employee who is on annual, sick, or other leave for the full workday on one of his/her scheduled nine-hour workdays shall be charged nine (9) hours of leave for that day. An employee who is on annual, sick, or other leave for the full workday on one of his/her scheduled eight-hour workdays shall be charged eight (8) hours of leave for that day.
 - 2. When an employee's scheduled day off falls on a holiday, the employee shall be entitled to an in-lieu-of holiday on the immediately preceding workday.
 - 3. An employee shall be credited with nine (9) hours of holiday leave on those days when a holiday (or an in-lieu-of holiday) falls on one of the employee's scheduled nine-hour workdays; and with eight (8) hours of holiday leave on those days when a holiday (or an in-lieu-of holiday) falls on one of the employee's scheduled eight-hour workdays.
 - 4. The amount of excused absence to be granted to an employee working a compressed work schedule shall be based on the employee's scheduled tour of duty on the day on which the excused absence is granted. The employee may be granted, as appropriate under the provisions of Article 24 of this Agreement, up to nine (9) hours for an excused absence on his/her scheduled nine-hour workdays and up to eight (8) hours for an excused

absence on his/her scheduled eight-hour workdays. An employee shall not be entitled to an excused absence on his/her scheduled day off, regardless of whether excused absences are granted to other employees in the same work unit on that day.

- (d) Any other necessary determinations with respect to the crediting or use of leave under flexitime, credit hours, and/or a compressed work schedule shall be made by Management in accordance with HUD and OPM regulations.

(5) Part-Time Employees.

- (a) The work schedules of part-time employees shall be within the discretion of Management. Part-time employees may participate in the flexitime provisions of this Section, with the approval of Management.
- (b) Part-time employees shall not work a compressed work schedule, i.e., they shall not work a regular tour of duty in excess of eight (8) work hours on any workday.
- (c) Part-time employees may earn credit hours on any eight hour workday. Accumulated credit hours may not at any time exceed one-fourth (1/4) of the employee's bi-weekly work requirement (i.e., if an employee's bi-weekly work schedule is forty-eight (48) hours, no more than twelve (12) credit hours can be accumulated or carried over to the next pay period).

- (6) **Overtime Work.** The parties explicitly recognize Management's right to order or approve overtime work by any employee on any work schedule/tour of duty.

Section 17.05 - Timekeeping.

- (1) Employees shall, on a daily basis, use the Attendance Record Sheet in Appendix F (hereinafter referred to as the sign in/sign out register) to record their arrival and departure times. This form cannot be modified at the local level.
- (2) Employees shall sign in immediately prior to beginning work. They shall sign out immediately upon completion of their workday. All sign in and sign out shall be sequential. Employees shall not be required to sign in or out for the lunch period.
- (3) Employees who are on leave for a portion of the workday or who perform overtime work or work additional time in order to earn credit hours shall note the specific times and the

reason(s) for such variations from the regularly scheduled workday in the appropriate space(s) on the sign in/sign out register, in accordance with instructions prescribed by Management.

- (4) Supervisors shall be responsible for signing in and/or out for employees who are on travel status or who are off-site at the beginning and/or end of their scheduled tour of duty.
- (5) An employee shall not be credited for more than eight (8) work hours on the sign in/sign out register, except when:
 - (a) the employee's intent to work credit hours has been acknowledged by the employee's supervisor, or
 - (b) overtime for the employee has been authorized and approved by the employee's supervisor.
- (6) Management, in consultation with the Union, shall determine the location of sign in/sign out registers and to designate the number and location of official clocks (not mechanical recording devices) to be used to determine arrival and departure times for each sign in/sign out register. Registers shall be located so as to be within the visual oversight of the principal supervisor(s) responsible for monitoring these registers.
- (7) The location of sign in/sign out registers and/or the location of official clocks may be the subject of negotiations if agreement cannot be reached.
- (8) Local Labor-Management Relations Committees may identify concerns and provide recommendations to the parties for supplemental procedures governing the sign in/sign out process, as necessary, in order to ensure that the time and attendance of employees is adequately documented.

Section 17.06 - Employee Responsibilities.

- (1) Each employee shall be responsible for ensuring that his/her alternate work schedule does not interfere with the continuing responsibility to carry out his/her assigned duties and to complete assigned work on schedule.
- (2) Each employee shall be responsible for recording and certifying his/her arrival and departure times each day on the sign in/sign out register referred to in Section 17.05(1).
- (3) Each employee shall be responsible for his/her own compliance with the rules governing this Alternative Work Schedules program. Any employee who willfully falsifies

time and attendance information on the sign in/sign out register or fails to comply fully with the rules governing this Alternative Work Schedules program may, at Management's discretion, be prohibited from varying their daily work hours from the official business hours of their office for an appropriate period of time.⁷ In addition, they shall be subject to appropriate disciplinary action, in accordance with Federal regulations, published HUD policies, and this Agreement.

Section 17.07 - Management Responsibilities. Management is responsible for ensuring that the mission of the Department is carried out effectively and efficiently and for determining the operational requirements of the Department. Accordingly, Management shall have the following specific responsibilities with respect to administering this Alternative Work Schedules program:

- (1) Management shall be responsible for promulgating rules and procedures (including forms to be used and instructions for their use) to implement the provisions of this Article. Such materials shall be provided to the Union for review or negotiation as appropriate prior to their implementation.
- (2) Management shall be responsible for conducting employee training and orientation programs to ensure that all employees understand the rules and procedures governing this Alternative Work Schedules program.
- (3) Management shall be responsible for ensuring that offices are adequately covered and for determining office coverage requirements, in terms of both the numbers and types of employees needed and skills required, during all official business hours. These official business hours shall be not less than eight and one-half (8½) hours in duration for each office.

"Official coverage" includes but is not limited to the following:

- (a) Answering phones;
- (b) Expeditious handling of inquiries from the public;
- (c) Maintaining clerical, technical, and professional support of the office functions;

⁷ A period of suspension from AWS shall be a period of not less than three months.

- (d) Providing official representation at essential meetings;
 - (e) Handling occasional or recurring peak workload periods;
 - (f) Meeting deadlines; and
 - (g) Meeting other program needs.
- (4) Management may designate certain positions or entire organizational units for which flexitime work schedules, credit hours, and/or compressed work schedules are not permitted, because of office coverage requirements or specific, job-related requirements of those positions or organizations. These designations shall be made by Management and discussed with the Union at the local level.
- (5) Upon demonstrated need, Management may override, either temporarily or permanently, the work schedule choices, including work to earn credit hours, of individual employees in order to maintain adequate office coverage, to meet other operational needs of the Department or because of lack of work. The determination of who shall be required to work particular tours of duty in order to meet coverage or other operational requirements shall be within the discretion of Management. To the extent possible, however, personal scheduling preferences shall be considered in making such decisions. When an employee's work schedule is changed, Management shall, where work requirements permit, give the employee and the Union notice of such change at least five (5) days in advance of its effective date.
- (6) If at any time during the period of this Agreement, the Department determines that the operations of the Department or any of its organizational components have been substantially disrupted; that the productivity of the Department or the level of services which it provides to the public have been significantly diminished; or that the Department's operating costs have increased as a result of this Alternative Work Schedules program, the Department may suspend or terminate this program. The Union shall be notified of such action not less than ten (10) days prior to its effective date.

Section 17.08 - Rest Breaks.

- (1) Employees who work a full eight- or nine-hour workday shall be entitled to two (2) authorized rest breaks, not to exceed fifteen (15) minutes, on that workday, one (1) to be taken before the lunch period and one (1) to be taken after it.

Employees who work at least four (4) hours but less than eight (8) hours on a workday shall be entitled to one (1) authorized rest break, not to exceed fifteen (15) minutes on that workday.

- (2) Rest periods may not be taken either within one (1) hour of the employee's arrival or departure times or within one (1) hour of the beginning or ending of the employee's lunch periods; they may not be taken in any combination nor accumulated or accrued for future use.
- (3) Rest breaks shall be taken so as not to unduly interrupt the work of the Department.

ARTICLE 18
OVERTIME

Section 18.01 - Types of Overtime Work. Generally, this Article refers to two (2) types of overtime work:

- (1) Regular and recurring overtime work scheduled in advance of the administrative workweek as part of the employee's regularly scheduled tour of duty; and
- (2) Irregular or occasional overtime which is intermittent and usually ordered on short notice.

Section 18.02 - Approval. All overtime and compensatory time must be approved in advance by the appropriate official, except where employees are entitled to overtime within the meaning of the Fair Labor Standards Act.

Section 18.03 - Compensation for Overtime Work.

- (1) Consistent with the Fair Labor Standards Act (FLSA) and other applicable laws and regulations, nonexempt employees and exempt employees whose salary is less than or equal to GS-10/10 will be allowed to choose between compensatory time and overtime pay.
- (2) FLSA exempt employees whose salaries are in excess of GS-10/10 shall be given the option to receive overtime pay or compensatory time for irregular or occasional overtime, except that they may be required to accept compensatory time in lieu of overtime pay if valid reasons preclude Management from payment of overtime.
- (3) In accordance with applicable law and implementing regulations, the maximum rate of overtime pay shall not exceed $1\frac{1}{2}$ times the hourly rate of the basic pay of a GS-10, step 1.
- (4) Management shall not show preferential treatment to employees based on their choice between taking overtime pay and compensatory time.

Section 18.04 - Accumulation of Compensatory Time. Compensatory time credits shall be used by the end of the leave year in which it is earned; except that unused compensatory time which is earned during the last five (5) pay periods of the leave year may be carried over for use during the first five (5) pay periods of the following year. Management and the employee are responsible for assuring that earned compensatory time credits are used

within the above time frame. If an FLSA nonexempt employee does not request or take compensatory time within the established time periods, the unused compensatory time will be paid at the overtime rate in effect for the work period in which it was earned.

Section 18.05 - Overtime Aggregation.

(1) Regular and Recurring Overtime Scheduled in Advance.

Management agrees that in the scheduling of overtime in advance, such work shall be scheduled in quarter hour increments. Such increments shall be aggregated into hours or portions thereof at the end of each pay period for compensation. Any portion of a quarter hour shall be rounded off to the next higher increment.

(2) Irregular or Occasional Overtime. When employees work irregular or occasional overtime, Management shall aggregate time as follows:

- (a) It shall round down to the next lower quarter hour those odd minutes of work that are less than fifty (50) percent of a quarter hour; and
- (b) Shall round up to the next higher increment those odd minutes of work that equal or exceed fifty (50) percent of a quarter hour.

Section 18.06 - Rest Breaks on Overtime. Each employee who works overtime shall be entitled to a rest break of fifteen (15) minutes for each four (4) hours of overtime. A rest break may be taken after the first two (2) hours of the overtime period. However, no rest break is intended at the end of the overtime period, nor is it intended that a rest break shall replace or be added to the normal lunch break after a four (4) hour segment of overtime worked.

Section 18.07 - Assignment to Overtime Work. Management shall advise employees that those who desire to volunteer for overtime work may indicate their preference by signing up on a volunteer overtime list which shall be made readily available for inspection. Management shall first consider the assignment of overtime to volunteers who perform work of the same nature during the time falling within their basic workweek, as well as to other qualified employees having the requisite skills and personal qualifications for the work to be performed. Assignments of overtime shall be made on a fair and equitable basis in accordance with Management's need to accomplish the work.

Section 18.08 - Overtime Work. The parties explicitly recognize Management's right to order or approve overtime work by any employee on any work schedule/tour of duty.

Section 18.09 - Excusal. Upon request and a reasonable showing that a requirement to work overtime will work a hardship⁸ on an employee, Management shall excuse the employee from overtime work provided that a comparably qualified employee is available for the assignment.

Section 18.10 - Election. The election of an employee to request either compensatory time or overtime pay shall not be a factor in the assignment of overtime work.

Section 18.11 - Notice. Management shall, to the extent practicable, provide employees with two (2) days' advance notice of overtime assignments.

Section 18.12 - Call-Back Time. Employees called back to work outside of and unconnected with their regular hours of work shall be paid for at least two (2) hours of work. Management, to the extent practicable, shall schedule such work for at least four (4) hours on said occasions, if appropriate work is available.

Section 18.13 - Official Vehicle Breakdown. When a vehicle used on official Government business breaks down or is otherwise inoperable, the employee shall, within an hour of the breakdown (or as soon thereafter as practical), provide the supervisor with an estimate of the situation and request instructions. The supervisor shall approve a reasonable amount of official time for the purpose of obtaining emergency repairs. Anytime beyond the end of the employee's tour of duty which constitutes overtime may be approved by the appropriate official, provided the employee presents a brief written explanation and, where available, documentation relating to the emergency.

⁸ The parties understand that approved leave may be a reasonable grounds for excusal.

ARTICLE 19
EQUAL EMPLOYMENT OPPORTUNITY

Section 19.01 - Policy. Management and the Union agree that pursuant to Federal Statutes and EEOC regulations in all matters concerning employment, no discrimination shall be tolerated on the basis of age, sex, race, religion, qualified disabling condition, color, national origin, marital status or political affiliation in any matter relating to employment. Management shall provide Affirmative Employment Programs where there is a manifest imbalance or conspicuous absence in protected EEO groups and promote full realization of equal employment opportunity through a positive and continuing effort. Such efforts shall include the eradication of offensive remarks in the workplace relating to age, sex, race, religion, qualified disabling condition, color, and/or national origin.

Employees who allege discrimination or anyone who participates in the presentation of such complaints, such as the collateral duty EEO Counselor, shall be free from restraint, interference, coercion, discrimination, or reprisal.

Although not covered by Federal statute or EEOC regulation, Management and Union agree that no discrimination will be tolerated on the basis of sexual preference and/or orientation.

Section 19.02 - Affirmative Action. Management shall conduct a continuing campaign to eliminate discrimination and/or prejudice from its personnel practices and policies, and employment conditions consistent with this Agreement. Programs shall include, but not be limited to, implementation of the following objectives and goals:

- (1) Overcoming manifest imbalances and conspicuous absences of minority groups and women in grade levels, occupations, and organizational units within the work force;
- (2) Providing upward mobility opportunities for clerical and other support personnel into occupations with greater potential for career advancement; and
- (3) Where applicable, providing reasonable accommodations for qualified disabled employees pursuant to Article 32 of this Agreement.

Management shall develop an Affirmative Employment Plan in accordance with law and regulation. A complete copy of the adopted plan shall be provided to affected Locals.

Section 19.03 - Local Equal Employment Opportunity Committees.

- (1) An Equal Employment Opportunity (EEO) Advisory Committee shall be established at every office with total employment of fifty (50) or more. In offices with employment of less than fifty (50), the Labor-Management Relations Committee shall also perform EEO Committee functions.
- (2) Membership shall consist of one (1) Union representative and two (2) Management representatives who are knowledgeable about Affirmative Employment and EEO Programs. The local parties may, through mutual agreement, expand the number of members: for every Union representative added, there shall be a Management representative added. However, the Committee shall be no larger than seven (7) members. Any Committee member shall be afforded the opportunity to provide a dissenting statement to be included in any issuances from the Committee.
- (3) Equal Employment Opportunity Committees shall meet quarterly at the local level. Each local Committee shall prepare written reports containing recommendations as necessary.

Section 19.04 - Purpose of Committees. The EEO Committees shall provide advice and recommendations in the following areas:

- (1) **Affirmative Employment Plan.** The development of the plan, review of progress in achieving the goals of the plan, and make recommendations for assuring that plan goals are achieved. The local EEO Committees shall review and comment on the Affirmative Employment Plan prior to its transmittal to the EEO Officer for approval. This review process shall not exceed fourteen (14) days.
- (2) **Equal Employment Opportunity Counselors.** Review nominations for EEO Counselors and make recommendations to the appropriate EEO Officer(s) regarding the selection and appointment of EEO Counselors.
- (3) **Discrimination Complaints.** Review status reports of discrimination complaints and make recommendations to improve the timeliness and effectiveness of the complaint resolution processes, and ensure effective remedial processes exist where merit has been found.
- (4) **Special Awards.** The Committee may recommend to Management, EEO Counselors or others for monetary Special Achievement Awards for special acts or services contributing to the goals of affirmative employment and equal opportunity.

Section 19.05 - Collateral Duty Equal Employment Opportunity Counselors. The statutory appeals system for resolving EEO complaints involves the use of EEO Counselors prior to the filing of a complaint. Therefore, EEO Counselors are an important and integral part of the program. Management shall assure that an adequate number of trained EEO Counselors shall be available and accessible to all employees. In the appointment of collateral duty EEO Counselors, the following procedures shall apply:

- (1) All bargaining unit and nonbargaining unit employees shall be given the opportunity once a year to self-nominate themselves for consideration to be collateral duty EEO Counselors.
- (2) Management shall formally solicit nominations from the Union at least once a year or as Counselor vacancies occur. The parties may submit collateral duty EEO Counselor nominations for appointment consideration at any time.
- (3) The EEO Committees shall review the nominations and make recommendations to the appropriate EEO Officer regarding appointment of EEO Counselors.
- (4) One (1) Union representative from each Geographic Area shall be invited to attend EEO Counselor training in their respective Areas.
- (5) EEO Counselors may have their position descriptions amended to include these collateral duties.

Section 19.06 - Conflict of Interest. Collateral Duty Equal Employment Opportunity Counselors shall not be appointed where such appointment would be, or appear to be, an actual or apparent conflict of interest.

- (1) It is understood that where Union officials are nominated to serve as Collateral Duty EEO Counselors, the Committee shall first determine whether such officials are willing to set aside Union representational duties for the duration of their appointment as EEO Counselors.
- (2) It is also understood that no person shall serve as a Collateral Duty EEO Counselor who has been found to be a discriminating official.

Section 19.07 - Third Party Settlements. Section 7106 of the Civil Service Reform Act excludes substantial personnel decisions from participation on the part of the Union; particularly in the areas of hiring, training, selections, promotions, and similar matters. The settlement of discrimination complaints may, therefore, require modification to certain personnel policies and

practices contained in this Agreement. Where the Department is a respondent in EEOC or judicial appeals, Management agrees to provide the Union with an opportunity to negotiate on any proposed waivers or changes to this Agreement prior to entering into a voluntary settlement. As appropriate, Management shall continue to consult the Union during the resolution process. In accordance with Statute and regulation, the Union shall be offered the opportunity to negotiate, under the provisions of the "Mid-Term Bargaining" Article of this Agreement, changes in the Agreement brought about by the settlement of a discrimination complaint.

Section 19.08 - Equal Employment Opportunity Reports.

- (1) Management shall furnish, semiannually to the Council President, two (2) copies of EEO/AE statistical reports.
- (2) Management shall furnish to the Union an annual summary of the number and types of discrimination complaints received and their disposition and/or status.
- (3) Upon request, copies of the current Affirmative Employment Plan shall be made available to the EEO Committee.
- (4) Upon request, Management shall brief the Union on the status of EEO programs at labor-management relations meetings.
- (5) As appropriate, additional EEO data shall be made available to the Union or the EEO Committee upon request and where available.

Section 19.09 - Discrimination Complaint Procedures. The parties acknowledge that employees who have discrimination complaints can opt to have their complaints resolved by either of the following, but not both, procedures:

- (1) The negotiated grievance procedure as provided in this Agreement; or
- (2) The statutory appeal process which begins with the engaging of an EEO Counselor and, if the matter is not resolved, the filing of a formal EEO complaint with the Director of EEO for investigation and disposition.

An employee shall be deemed to have made an election to raise a complaint under either the statutory procedure or the grievance procedure at such time as he/she timely files a grievance in writing or files a formal written complaint under the statutory EEO complaint procedure, whichever comes first. Discussions with an EEO Counselor in no way preclude the filing of a grievance that is otherwise timely.

If the employee has contacted the Equal Employment Opportunity Counselor about his/her complaint, the Equal Employment Opportunity Counselor shall be responsible for informing the employee of his/her options in relation to alternative procedures. The inadvertent failure of the Counselor to inform the employee of his/her options, in no way diminishes the employee's responsibility to make an election of procedures or extends the time limits for filing a grievance or a complaint.

ARTICLE 20
DISCIPLINE

Section 20.01 - General.

- (1) At times, it is necessary to take disciplinary action against an employee for misconduct.
- (2) The grievance procedure for disciplinary actions is set forth in this Article and is in lieu of the procedures identified in Article 22 (Grievance Procedure) except as provided in Section 20.03.
- (3) The objective of discipline is to correct and improve employee behavior so as to promote the efficiency of the service. The parties agree to the concept of private, progressive discipline designed primarily to correct and improve employee behavior. However, major offenses may be cause for severe action, including removal, regardless of whether previous discipline has been taken against the offending employee. Bargaining unit employees shall be the subject of disciplinary action only for just and sufficient cause.
- (4) Actions shall be fair and equitable, i.e., Management shall consider the relevant factors given the circumstances of each individual case and similar cases, if any, to make a fair decision.
- (5) Investigations and disciplinary actions shall be timely. Timeliness shall be based upon the circumstances and complexity of each case.
- (6) The term "days" as used in this Article shall mean calendar days.
- (7) For purposes of this Article, disciplinary action definitions are as follows:
 - (a) **Oral Admonishments Confirmed in Writing.** Oral admonishments which have been recorded and issued to an employee.
 - (b) **Reprimand.** An official written notice which sets forth specific actions of misconduct of such a serious nature that routine discussions and/or counseling sessions are not sufficient.
 - (c) **Suspension.** The temporary placement of an employee in nonduty, nonpay status for disciplinary reasons.

- (d) **Reduction in Grade.** The involuntary assignment of an employee to a position at a lower classification or job grading level.
- (e) **Removal.** The involuntary separation of an employee from employment with the Department for misconduct reasons.

(8) This Article applies to:

- (a) An action based solely on misconduct reasons; or
- (b) An action that involves both performance and misconduct related reasons.

(9) This Article does not apply to:

- (a) Actions based solely on unacceptable performance; or
- (b) Termination of employees serving on temporary or probationary appointments; or
- (c) Nonpreference eligibles in the excepted service who are suspended for more than fourteen (14) days, reduced in grade, or removed.

Section 20.02 - Oral Admonishments. Oral admonishments which are not recorded are counseling sessions, and are not considered disciplinary actions. Where practicable, they must be conducted in private. They can neither be grieved by the employee nor be relied upon by Management in any disciplinary action subsequently taken against the employee.

Section 20.03 - Disciplinary Actions Other than Suspensions.

- (1) Oral Admonishments, warnings, counselings, and cautions which are recorded must be given to the employee if they are to be relied upon by Management in any subsequent disciplinary or adverse action against the employee.
- (2) Letters of reprimand shall be placed in an employee's Official Personnel Folder for a period specified in the letter, but not to exceed two (2) years.
- (3) If an employee is dissatisfied with one of the above disciplinary actions, he/she may file a grievance pursuant to Article 22 of this Agreement.

Section 20.04 - Suspensions of Fourteen (14) Days or Less for Misconduct Reasons.

- (1) Management shall provide the employee with at least fifteen (15) days advance written notice, stating the specific reasons for the proposed action with sufficient specificity so as to enable the employee to prepare a response (response time may result in delays beyond thirty (30) days). Management shall provide the employee one (1) copy of the documentation relied upon to support the proposed action, which may include the names of witnesses involved in supporting the charges.
- (2) Upon receipt of the official notice of proposed suspension action, an employee shall have fourteen (14) days to respond to the proposed action. The response may be made orally or in writing, or both. The employee's response may include any statements or material the employee believes is relevant to defending against the proposed action. Upon a reasonable written demonstration of need, the employee may be granted sufficient additional time to respond. This request must be made within the fourteen (14) day period.
- (3) Management shall issue a final written decision within twenty-five (25) days of the receipt of the employee's response, or after the expiration of the fourteen (14) day response period if the employee does not respond, stating the specific reasons, including a statement of the employee's appeal rights.

If Management determines that further investigation is necessary, the time limit for issuance of the decision shall be extended. The employee shall be notified of such an extension and shall continue to be notified at thirty (30) day intervals thereafter. Such a decision shall be made by a higher level official in the Department than the official who proposed the action. For example, the deciding official is at the Division Director level if a Branch Chief proposed the action.

- (4) The employee may be represented by an attorney or other representative which includes the right to Union representation.
- (5) If arbitration is not invoked by the Union, the matter is closed for purpose of the grievance/arbitration procedure.
- (6) Management may, at its discretion, stay implementation of suspensions of fourteen (14) days or less pending final decision by an arbitrator. Such a stay shall last no longer than sixty (60) days from the date of issuance, and can be withdrawn at any time, for good cause.

When a suspension of fourteen (14) days or less is stayed, management will document the decision to suspend by placing a copy of the decision in the employee's Official Personnel Folder along with a notation that the effective date of the suspension is delayed pending final decision by an arbitrator.

Disciplinary actions which have been stayed may be considered in any subsequent disciplinary action or proceeding.

Section 20.05 - Suspensions of Fifteen (15) Days or More, Reduction in Grade or Pay, Removals, or Furloughs for 30 Days or Less. An employee shall be entitled to:

- (1) At least thirty (30) days advance written notice of the proposed action with sufficient specificity so as to enable the employee to prepare a response. Upon the employee's request, Management shall provide one (1) copy of the documentation relied upon to support the proposed action, which may include the names of witnesses involved in supporting the charges.
- (2) Upon receipt of the official notice of proposed disciplinary action, an employee shall have twenty-one (21) days to respond to the proposed action. The response may be made orally or in writing, or both. The employee's response may include any statements or material the employee believes is relevant to defending against the proposed action. Upon a reasonable written demonstration of need, the employee may be granted sufficient additional time to respond. This request for additional time must be made within the twenty-one (21) day period.
- (3) Be represented by an attorney or other representative, which includes the right to Union representation.
- (4) Sixteen (16) hours of official time, if needed, within the period in (1) above, for preparing the oral and/or written response(s). The deciding official may grant additional official time needed to prepare oral and/or written response(s). The supervisor authorizes when the time may be used. Disputes between the supervisor and employee regarding the scheduling of use of official time will be resolved by the deciding official.
- (5) A written decision, which includes the specific reasons at the earliest practicable date. Such a decision, including a statement of the employee's appeal rights, shall be made within thirty-five (35) days of the receipt of the employee's response, or after the expiration of the twenty-one (21) day response period if the employee does not

respond, and shall be issued by a higher level official in the Department than the official who proposed the action. For example, the deciding official is at the Division Director level if a Branch Chief proposed the action. If Management determines that further investigation is necessary, the time limit for issuance of the decision shall be extended. The employee shall be notified of such an extension and shall continue to be notified at thirty (30) day intervals thereafter.

- (6) Within thirty (30) days of the effective date of the action, the employee may appeal the matter to the Merit Systems Protection Board (MSPB).
- (7) If the employee elects not to appeal the matter to the Merit Systems Protection Board, then the Union may invoke arbitration within thirty (30) days of the effective date of the action.
- (8) If an employee believes the action to be based in whole or in part on prohibited discrimination (race, age, sex, national origin, etc.), he/she may utilize the EEO complaint procedure in lieu of paragraphs 6 and 7 above by either filing a grievance at Step 1 of the grievance procedure (see Section 22.12) or by filing an EEO complaint in accordance with the statutory appeals process (see Section 19.09(2)).

(Note: Indefinite suspensions are covered under OPM regulations at 5 CFR 752.404(d))

Section 20.06 - Union Notification. When Management issues a notice of proposal and/or decision to suspend, reduce-in-grade, or remove an employee in the unit, Management shall provide to the Union a general statement of the charges, proposed action, and subsequent decision.

Section 20.07 - Evidence. Management shall produce all evidence, including the names of witnesses that were relied upon to support the proposed action, to facilitate preparation of the oral and/or written reply.

ARTICLE 21
UNACCEPTABLE PERFORMANCE ACTIONS

Section 21.01 - General.

- (1) **Applicability.** If, after being given the opportunity to demonstrate acceptable performance and assistance to improve, the employee's performance continues to be unacceptable on one (1) or more critical elements, Management must initiate reduction in grade or removal, subject to the provisions of 5 CFR 432, and 5 U.S.C. 4303 and 4305. Management may consider reassignment in lieu of initiating action under 5 CFR 432, and 5 U.S.C. 4303 and 4305.

This Article does not apply to those exclusions of 5 CFR 432.102(b), which are partially stated below:

- (a) Actions based in whole or in part on misconduct;
 - (b) A reduction in the grade of a supervisor or manager who has not completed a probationary period;
 - (c) A reduction in grade or removal of an employee who is serving a probationary period or trial period under an initial appointment; or
 - (d) Discharge of employees serving on temporary appointments.
- (2) **Applicable Grievance Procedure.** The grievance procedure for employees who have been reduced in grade or removed for unacceptable performance under Chapter 43 is set forth in this Article and is in lieu of the procedures identified in Article 22 (Grievance Procedure).
- (3) **Definitions.**

- (a) **Critical Element.** A component of an employee's job that is of sufficient importance that performance below the minimum standard established by Management requires remedial action and may be the basis for removing or reducing the grade level of that employee. Such action may be taken without regard to performance on other components of the job.

- (b) **Opportunity to Demonstrate Acceptable Performance.** A reasonable chance for an employee whose performance has been determined to be unacceptable in one or more critical elements to demonstrate acceptable performance in the critical element(s) at issue.
- (c) **Performance Standards.** The expressed measure of the level of achievement established by Management for the duties and responsibilities of a position or group of positions. Performance standards may include, but are not limited to, elements such as quantity, quality, and timeliness.
- (d) **Acceptable Performance.** An employee's accomplishment of assigned work at a level of performance above "unacceptable" in the critical elements(s) at issue.
- (e) **Reasonable Time To Demonstrate Acceptable Performance.** An amount of time commensurate with the duties and responsibilities of the employee's job which is sufficient to allow the employee to show whether he/she can meet minimum performance standards.
- (f) **Reduction in Grade.** The involuntary assignment of an employee to a position at a lower classification or grade level.
- (g) **Removal.** The involuntary separation of an employee from employment with an agency except when taken as a reduction-in-force action.
- (h) **Unacceptable Performance.** Performance of an employee which fails to meet established minimum performance standards in one (1) or more critical elements of such employee's position.

Section 21.02 - Procedures.

- (1) **Failure To Meet Minimum Performance Standards.** If at anytime during the performance appraisal cycle an employee fails to meet the minimum performance standards, Management shall identify in writing for the employee the critical element(s) for which performance is unacceptable and specific work examples illustrating the performance which is deficient. The employee shall be provided a reasonable time and opportunity to demonstrate acceptable performance. Management shall assist the employee to the maximum extent feasible to improve the performance to the required level.

(2) **Proposed Notice of an Unacceptable Performance Action.**

Normally, the notice of proposed action must be consistent with the most recent performance rating or progress review. An employee for whom reduction in grade or removal for unacceptable performance is proposed is entitled to: Advance, written, thirty (30) day notice which identifies the specific instances of unsatisfactory performance within the last twelve (12) months and the critical element or elements of the employee's position involved in each instance. Management shall provide to the employee one (1) copy of the documentation relied upon to support the proposed action. Only instances of unacceptable performance which occurred during the one (1) year period ending on the date of the proposed notice may be used as the basis for an action.

(3) **Response.** The employee has the right to reply to the proposal orally and/or in writing within twenty-one (21) days.

(4) **Decision.** The deciding official shall issue a final written decision, including a statement of the employee's appeal rights, within thirty-five (35) days of the receipt of the employee's response, or after the expiration of time limits for the employee to respond if the employee fails to respond.

If Management determines that further investigation is necessary, the time limit for issuance of the decision shall be extended. The employee shall be notified of such an extension and shall continue to be notified at thirty (30) day intervals thereafter.

Any improvement in the employee's performance during the notice period shall be considered when the final decision is made.

(5) **Representation.** The employee has the right to be represented by an attorney or other representative, which includes the right to Union representation.

(6) **Appeals.**

(a) **Merit Systems Protection Board (MSPB).** Within thirty (30) calendar days of the effective date of the action, the employee may appeal the matter to MSPB.

(b) **Arbitration.** If the employee elects not to appeal the matter to the Merit Systems Protection Board, then the Union may invoke arbitration within thirty (30) calendar days of the effective date of the action.

(c) **Equal Employment Opportunity (EEO).** If an employee believes the action to be based in whole or in part on prohibited discrimination (race, age, sex, national origin, etc.), he/she may file an EEO complaint in accordance with the statutory and regulatory appeals process.

Section 21.03 - Union Notification. When Management issues a notice of proposal and/or a decision to reduce in grade or remove an employee in the unit for unacceptable performance, Management shall provide to the Union a general statement of the unacceptable performance, proposed action, and subsequent decision.

Section 21.04 - Standard of Evidence. The standard of evidence required for unacceptable performance action is "substantial evidence."

Section 21.05 - Evidence. Management shall timely produce all evidence, including the names of witnesses that were relied upon to support the proposed action, to facilitate preparation of the oral and/or written reply.

**ARTICLE 22
GRIEVANCE PROCEDURES**

Section 22.01 - Definition and Scope. This Article constitutes the sole and exclusive procedure for the resolution of grievances by employees of the bargaining unit and between the parties. This grievance procedure replaces Management's administrative procedure for employees in the bargaining unit only to the extent of those matters which are grievable and arbitrable under this negotiated Agreement. A grievance means any complaint by:

- (1) Any employee concerning any matter relating to his/her employment; or
- (2) The Union concerning any matter relating to the employment of any employee; or
- (3) Any employee, the Union, or Management concerning:
 - (a) The effects or interpretation, or claim of breach, of this collective bargaining agreement; or
 - (b) Any claimed violation, misinterpretation, or misapplication of any law, rule, or regulation affecting conditions of employment.

Section 22.02 - Statutory Appeals. Adverse actions consist of:

- (1) Reduction in grade or removal for unacceptable performance;
- (2) Removals for misconduct;
- (3) Suspensions for more than fourteen (14) days; and
- (4) Furloughs for thirty (30) days or less.

Adverse actions may, in the discretion of the aggrieved employee, be raised under either:

- (1) The appropriate statutory procedures; or
- (2) Under the negotiated grievance procedure, but not both.

Specific procedures for grieving adverse actions are found in Article 20, Discipline, and Article 21, Unacceptable Performance.

Section 22.03 - Prohibited Personnel Practices. Prohibited personnel practices include the discrimination for or against any employee on the basis of:

- (1) race
- (2) color
- (3) religion
- (4) sex
- (5) national origin
- (6) age
- (7) handicapping condition
- (8) marital status
- (9) political affiliation.

For a more complete definition of prohibited personnel practices, see Article 4, Employee Rights/Standards of Conduct.

In accordance with Section 7121(d) of the Statute, an aggrieved employee affected by a prohibited personnel practice under Section 2302(d) (1) of the Civil Service Reform Act may raise the matter under a statutory procedure or the grievance procedure, but not both.

Section 22.04 - Choosing an Appeals Procedure. Nothing in this procedure shall prejudice the right of the employee to appeal to the Merit Systems Protection Board or the Equal Employment Opportunity Commission pursuant to Section 7121 of the Statute, or file an unfair labor practice or other appeal under the rules of the Federal Labor Relations Authority. Any employee shall have exercised his/her choice to raise a matter under an applicable statutory procedure or the negotiated procedure when the employee:

- (1) timely files a notice of appeal under the applicable statutory procedure or elects to use the statutory Equal Employment Opportunity complaint process (see Section 19.09 (2)); or
- (2) timely files a grievance in writing (see Section 22.12), whichever occurs first.

An employee shall have exercised his/her option concerning EEO discrimination matters at such time as he/she timely files a grievance in writing or files a formal written complaint under the statutory EEO complaint procedure, whichever occurs first. Discussions with an EEO Counselor in no way precludes the filing of a grievance that is otherwise timely.

Section 22.05 - Exclusions. Excepted from these negotiated procedures coverage are the following:

- (1) Any claimed violation of Subchapter III of Chapter 73 of Title 5 (relating to prohibited political activities);
- (2) Retirement, life insurance, or health insurance;

- (3) A suspension or removal under Section 7532 of Title 5 (relating to national security matters);
- (4) Any pre-employment examination, certification, or appointment;
- (5) The classification of any position which does not result in the reduction in grade or pay of an employee;
- (6) Matters already filed with the Merit Systems Protection Board as an adverse action which are, therefore, statutorily precluded from duplicate filing under this procedure;
- (7) Matters already filed with the Equal Employment Opportunity Commission concerning discrimination complaints which are, therefore, statutorily precluded from duplicate filing under this procedure or raised under the Department's statutory Equal Employment Opportunity complaint resolution process;
- (8) Mere nonselection for a promotion from a group of properly ranked and certified candidates where there is no allegation of a violation of this Agreement, law, or regulation;
- (9) The separation of a probationary employee;
- (10) The mere termination of a temporary promotion where there is no allegation of a violation of this Agreement, law, or regulation;
- (11) The mere termination of a temporary appointment where the Standard Form-50 states that the termination was based on a lack of work or lack of funds;
- (12) Complaints by employees with temporary appointments not to exceed six (6) months;
- (13) Oral admonishments which were not recorded (see Section 20.02);
- (14) Progress reports on employee performance including opportunity to improve notices/performance improvement plans;
- (15) The filling of any position outside the bargaining unit;
- (16) The removal, suspension of more than fourteen (14) days, reduction in grade or furlough of nonpreference eligible employee in the excepted service, who has not completed 2 years of current continuous service in the same or similar positions in an Executive agency under other than a temporary appointment limited to two (2) years or less;

- (17) The filing of a parties grievance which involves the same individual and factual situation as contained in an individual grievance;
- (18) Awards under the IDEAS Program;
- (19) Financial disclosure; and
- (20) The mere granting of or failure to grant a spot award.

Section 22.06 - Time Limits.

- (1) Time limits for the filing of a grievance under this procedure, unless mutually waived by the parties, shall begin to run from the next workday after the grievant became aware or should have become aware of the matter being grieved. The date of expiration of a time limit shall be close of business hours the last day of the stated period, unless that day falls on a Saturday, Sunday, or nonworkday, in which case the following full workday shall be considered the last day.
- (2) Where a grievant fails to meet a time limit, unless extended by mutual consent, the matter shall be considered resolved according to the last Management response.
- (3) Where Management fails to meet a time limit, unless extended by mutual consent, the grievance shall be advanced to the next step of the grievance procedure.
- (4) Management shall serve all grievance responses, and all other communications concerning the grievance, upon the Union representative and to the employee. No time limit for responding or appealing shall begin to run until the grievant has received his/her copy of the Management response or communication.
- (5) Management shall send all grievance responses to the grievant and the appropriate Union representative. The Union's copy of the grievance responses shall be delivered to the Union office. If there is no Union office, the grievance response shall be delivered to the designated Union mailing address. Where the response is mailed, receipt shall be confirmed by using certified mail, return receipt requested.
- (6) In the case of nonpersonal delivery, if Management disputes the date of actual receipt by the employee or the representative, Management shall bear the burden of proving

the date of actual receipt. The burden of proof shall be deemed to have been met by production of a signed receipt, a witnessed statement indicating the date and time of delivery or deposit, or any other evidence indicating delivery.

- (7) For purposes of timeliness, the grievance shall be considered filed when it is personally delivered to the office which is to receive it or when it is postmarked, if the grievant is not in the same location as the grievance control officer or the designated deciding officials.
- (8) Minor errors or omissions in completing the grievance form shall not be used as a basis to reject any grievance.

Section 22.07 - Self-Representation. Nothing shall preclude an employee from presenting a grievance to Management without representation by the Union; however, such an employee may not receive any better or worse treatment than other employees who elect Union representation, and any resolution must be consistent with the terms of this Agreement. Employees who elect to represent themselves shall receive a reasonable amount of official time to prepare and present their grievances. Only the Union can invoke arbitration.

If any employee represents himself/herself in a grievance filed under the grievance procedure contained in this Agreement, the Union shall be notified no less than twenty-four (24) hours in advance of any meetings between Management and the grievant concerning the grievance. The Union may attend any such meetings. The Union shall be provided a copy of any grievance decisions that are issued.

Section 22.08 - Right to Representation.

- (1) The Union shall have the right to represent employees at any stage of this procedure. Management shall pay reasonable travel and per diem for the grievant and shall consider the payment of travel and per diem for the grievant's Union representative to attend any meetings with deciding officials required by this Article. To be eligible for travel and per diem, the Union representative must be a designated Union representative under Article 7 of this Agreement.
- (2) Once an employee has designated a Union representative, Management shall not discuss the grievance with the grievant unless the Union is given an opportunity to attend.
- (3) Only the Union, or a person designated in writing by the Union to act for the Union, may represent an employee under this negotiated procedure.

Section 22.09 - Protection of Grievants. The filing of a grievance shall not be construed as reflecting unfavorably on an employee's good standing, performance, loyalty, or desirability to the Department.

Section 22.10 - Official Time for Grievants. Management shall grant reasonable official time for an employee to prepare and present a grievance or appeal, including group grievances and arbitration.

Section 22.11 - Informal Resolution. Many grievances arise from misunderstandings or disputes which can be settled promptly and satisfactorily on an informal basis at the immediate supervisory level. Employees are encouraged to discuss issues of concern to them, informally, with their supervisors at any time. Every appropriate effort shall be made by the parties to settle grievances at the lowest possible level.

Section 22.12 - Employee Grievances. All informal attempts to resolve an issue shall be assumed to have been completed before the filing of a grievance in writing. Time limits may be extended by mutual written consent of the parties.

STEP 1

- (1) If informal attempts do not resolve the grievance, on or before forty-five (45) days from the date when the employee became aware of or should have become aware of the matter being grieved, the concerned employee shall advise the immediate supervisor of the matter in writing on the Employee Grievance Form (Appendix C).⁹ Performance appraisal grievances will be filed with the reviewing official.
- (2) The supervisor shall respond in writing to the grievant and the representative within ten (10) days.
- (3) If the matter is not satisfactorily settled following the supervisor's response, the employee and representative (if any) may, within seven (7) days of the response, submit the grievance form to the Management representative who is designated grievance officer, who shall identify the Step 2 Management representative and forward the grievance to that official.

⁹ In filing a grievance, employees should adequately explain the issue being grieved.

- (4) The Step 2 Management representative shall have the full authority to resolve the grievance. The grievance officer is responsible for monitoring the timely and proper processing of the grievances. In those instances where the Management official who initiated the action which resulted in the grievance is other than the employee's immediate supervisor, the concerned employee shall submit the matter in writing on the grievance form to the grievance officer.

STEP 2

The Management representative designated to handle the grievance shall meet the aggrieved employee and representative, if any, within ten (10) days after receipt of the grievance by the grievance officer. Deciding officials outside of the area where the grievant is located may conduct the meeting by telephone or video conferencing, whichever is practical. Management shall send the employee(s) and Union representative a written reply within fifteen (15) days of the meeting or telephone or video conference call. The reply shall state the grievance findings and action taken, if any, to settle the matter, and the designated official in Step 3 to whom the employee may escalate the grievance.¹⁰

STEP 3

If the grievance is not settled at Step 2, the employee or Union representative, if any, may, within seven (7) days of receipt of the written reply, forward the grievance to the Headquarters official designated in the Step 2 Decision. In the Field, the Step 3 Deciding Official shall be the Secretary's Representative, State, or Area Coordinator. That person shall review and take appropriate action to attempt to settle the grievance and issue a final written decision within twenty-five (25) days after receipt of the matter from Step 2. By mutual agreement of the parties, a meeting may be held at Step 3.

The Step 3 Deciding Official shall designate the Management Representative to be notified for the purpose of invoking arbitration and participation in the selection of an arbitrator.

Section 22.13 - Arbitration. Any matter not resolved in the prior steps of this procedure may be referred to arbitration by the grieving party (Union or Management only) in accordance with Article 23. Where the parties mutually agree, mediation may be requested from the Federal Mediation Conciliation Service, prior to invoking arbitration.

¹⁰ In the Step 2 decision, managers should adequately explain the basis upon which the decision was reached.

Section 22.14 - Questions of Arbitrability. Either party having objection to the appropriateness of a matter for consideration under the grievance procedure shall make the matter known prior to or at Step 3 of this procedure. Grievability/arbitrability determinations shall be contained in one (1) or more of the decisions issued at the various steps of the grievance procedure. Such determinations shall not be issued by the grievance control officer. Such objection shall state the specific grounds as to why the matter is not grievable. Failure to raise the question at this point shall preclude raising the issue at a later time, unless:

- (1) The question of arbitrability is generated by an occurrence happening after Step 3; or
- (2) The failure to give notification is due to an act or omission on the part of the other party.

Any unresolved question shall be considered as a threshold issue should the grievance go to arbitration. Questions of arbitrability shall be submitted to the arbitrator in writing and be decided prior to any hearing unless mutually agreed otherwise. The moving party shall have the affirmative in going forward with the demonstration that the matter is not grievable.

Section 22.15 - Grievance of the Parties.

- (1) Should either party have a grievance over any matter covered by this procedure, it shall inform the designated representative of the other party of the specific nature of the complaint in writing within forty-five (45) days of the date or when the party became aware or should have become aware of the matter being grieved. Either party may grieve a continuing condition at any time.
- (2) Upon request, the parties shall meet within twenty (20) days to discuss informal resolution of the grievance after notice is given.
- (3) Within thirty (30) days after receipt of the written grievance, the receiving party shall send a written response stating its position regarding the grievance. If the response is not satisfactory, the grieving party may refer the matter to arbitration.

Section 22.16 - Group Grievances. Either party may propose to the other party the combining of grievances which are before the same deciding official and which concern issues so similar that they can be efficiently and effectively treated as a group grievance. If the representatives handling the grievances do not agree as to whether the grievances should be combined, the

grievances shall be treated individually through the grievance procedure to arbitration. If arbitration is invoked and either party seeks to combine the grievances, the arbitrator shall be asked to determine, as a threshold issue, whether they can be efficiently and effectively treated as a group grievance.

**ARTICLE 23
ARBITRATION**

Section 23.01 - General. If a grievance remains unresolved despite efforts to resolve the matter under the negotiated grievance procedure, arbitration may be invoked by the grieving party, i.e., the Union or Management. A disciplinary action or unacceptable performance action may be referred directly to arbitration.

Section 23.02 - Notice. Either the Union or Management shall notify the other party of its submission of a matter to arbitration by giving written notice within twenty (20) days of a final rejection at the last step of the grievance procedure, or Management's final notice of decision in a disciplinary action or performance based action. Such notice shall identify the specific grievance or disciplinary action involved and the designated representative who shall handle the case. The party(s) requesting arbitration shall submit the request for arbitrators to FMCS or another source of arbitrators simultaneously with the Notice of Invocation of Arbitration.

Section 23.03 - Selection. When an established panel is used as the source of arbitrators, the parties shall meet to select an arbitrator within seven (7) days from the date of the notice of arbitration.

When an established panel is not utilized, the parties shall meet to select an arbitrator within fifteen (15) days from receipt of the list of arbitrators.

The source of arbitrators shall be the FMCS unless the parties at the local level negotiate an agreement to use a comparable source, such as the New York State mediation and conciliation service. If the parties cannot mutually agree upon one (1) of the listed arbitrators, Management and the Union shall each strike one (1) arbitrator's name from the list, and then repeat this procedure until one (1) person remains who shall be the duly selected arbitrator. The party making the first strike shall be determined by the flip of a coin.

Section 23.04 - Arbitration Fees and Expenses. The losing party shall pay the arbitrator's fees and expenses. The arbitrator should indicate which party is the losing party. If, in the arbitrator's judgment, neither party is the clear losing party, costs shall be shared equally.

The party cancelling arbitration after the arbitrator is engaged shall pay the attendant expenses, if any.

Section 23.05 - Arrangements. Upon selection of an arbitrator in a particular case, the respective representatives shall communicate with the arbitrator and each other in order to finalize arrangements. No ex parte communications shall be permitted on the merits of the case, but both parties may discuss procedural arrangements as necessary. Any disputes on procedures shall be settled by the arbitrator consistent with this Agreement.

Section 23.06 - Direct Designation. Upon request of the grieving party (i.e., Management or the Union), the Federal Mediation Conciliation Service (FMCS) or other service shall be empowered to make a direct designation of an arbitrator to hear the case in the event:

- (1) Either party refuses to participate in the selection of an arbitrator; or
- (2) Upon inaction or undue delay on the part of either party.

Section 23.07 - Location. Normally, the arbitration hearings shall be held at Management's premises at the grievant's duty station; however, an alternate, mutually acceptable site may be used. National level hearings shall normally be held in Washington, D.C. Management shall pay reasonable travel and per diem for one (1) Union representative for National level hearings.

Section 23.08 - Stipulations. If the parties fail to agree on a joint submission of the issue for arbitration, each shall submit a separate statement and the arbitrator shall determine the issue to be heard.

The parties shall endeavor, whenever possible, to stipulate the facts involved in a case prior to the opening of the arbitration hearing.

Section 23.09 - Witnesses.

- (1) The parties shall exchange witness lists, if known, no less than seven (7) days in advance of the hearing, which includes a summary statement of the expected testimony of each witness.
- (2) If witnesses are reasonably available, the witnesses must be produced at the hearing.
- (3) All employees who are called as witnesses shall receive official time, to the extent necessary or reasonable, to participate in the arbitration hearing. Reasonable travel

and per diem shall be paid for one (1) witness if the incident giving rise to the grievance occurs at a location other than the location of the hearing.

- (4) Either party may request the sequestration of any witness or witnesses during the testimony of other witnesses.
- (5) Either party may purchase a stenographic record. If such transcript is agreed by the parties to be, or in appropriate cases determined by the arbitrator to be, the official record of the proceeding, it must be made available to the arbitrator. The total cost of such a record shall be shared equally by those parties that order copies. If only one (1) party orders and purchases a copy of the transcript, it shall be provided to the arbitrator. However, the transcript shall be made available to the other party for inspection for accuracy following the submission of post-hearing briefs.

Section 23.10 - Authority of the Arbitrator.

- (1) The parties agree that the jurisdiction and authority of the arbitrator shall be confined to the issue(s) presented in the grievance.
- (2) The arbitrator shall not have authority to add to, subtract from, or modify any of the terms of this Agreement, or any supplement thereto. In the case of a back-pay award based on an employee having been affected by an unjustified or unwarranted personnel action, the arbitrator may authorize reasonable attorney's fees in accordance with standards contained in the Back-Pay Act, as amended by the Civil Service Reform Act of 1978, and as interpreted by the Merit Systems Protection Board (MSPB).
- (3) Except for decisions to discipline, an arbitrator shall lack authority to determine the appropriateness of a Management decision to exercise any of the rights set forth in Article 3, Section 3.07, which do not amount to a violation of applicable law, regulation, or this Agreement.
- (4) An arbitrator shall lack authority to determine the legality or regulatory correctness of any Management decision not impacting personnel policies, practices or matters affecting general conditions of employment.
- (5) The arbitrator shall resolve any arbitrability disputes consistent with this Agreement.

Section 23.11 - Exceptions. Where exception is taken to an arbitration award and the Federal Labor Relations Authority (FLRA) sets aside all or a portion of the award, the arbitrator shall have the jurisdiction to provide alternate relief, consistent with the FLRA decision. The arbitrator shall specifically retain jurisdiction where exceptions are taken and shall retain such jurisdiction until the exception is disposed.

Section 23.12 - Refusal to Participate. Should either party refuse to participate in an arbitration, the arbitrator shall continue to hear the case and base his/her decision solely on the record.

Section 23.13 - Merit. Where a grievance is taken to arbitration and is found to be patently without merit and/or frivolous, and without any reasonable basis, the arbitrator, notwithstanding any other provision of this Agreement, shall charge all arbitrator's fees and representation fees to the losing party. In all other cases, fees shall be assessed in accordance with Section 23.04.

Section 23.14 - National HUD-AFGE Arbitration Panel. The previously established Washington, D.C., area National Arbitration Panel shall continue to hear grievances between the National Council of HUD Locals and the Department at the Headquarters level.

Section 23.15 - Use of the Panel. When notice is given to arbitrate a grievance with potential unit-wide impact (for example, the interpretation of this Agreement), the parties at the National level shall confer as to whether the grievance is properly a National grievance. If it is agreed that it is a National level grievance, an arbitrator shall be selected within ten (10) workdays. Failing mutual agreement on a particular arbitrator, the arbitrator shall be selected by rotation. If the parties agree that it is a National grievance, the hearing normally shall be held in Washington, D.C., and the arbitrator shall be selected from the standing panel. In extenuating circumstances, the parties may agree to hold a National grievance hearing at the site of the incident which caused the grievance (for example, where there are several witnesses). Should a panelist be unavailable to fulfill his or her obligations under this Agreement, his or her name shall go to the bottom of the list. New arbitrators shall be added to the bottom of the list. The term of appointment to the panel shall coincide with the term of the Agreement, including extensions.

Section 23.16 - Removal of Panelists. Any arbitrator may be removed from the list unilaterally by either party during the life of this Agreement, without cause. The party removing the arbitrator shall give notice to the other party and to the arbitrator. Upon receipt of written notice, the parties shall

meet to select a replacement arbitrator. No further case shall be assigned to that arbitrator after a replacement has been effected, but the former arbitrator on the panel shall hear and decide any cases already assigned to him/her.

Section 23.17 - Conditions. To be considered for selection to the panels, arbitrators must agree to hear a case within forty-five (45) days of referral and render their decision within thirty (30) days of the closing of the record. Where post-hearing briefs are submitted, the record shall not be closed until briefs are timely submitted.

Section 23.18 - Forty-Five (45)-Day Requirement. Where, due to circumstances beyond the control of the arbitrator and the parties, a panel arbitrator cannot hear a case within forty-five (45) days, the parties shall select another arbitrator.

Section 23.19 - Expedited Arbitration. The parties agree that certain arbitrations are properly handled more expeditiously. To that end, the parties agree to Expedited Arbitration. Expedited Arbitration procedures shall be used for the following issues unless the grievance/appeal alleges discrimination based on race, color, sex, sexual preference/orientation, national origin, religion, age, marital status, political affiliation, or physical or mental disability:

- (1) Oral reprimands, of which a record is maintained and a copy furnished to the employee;
- (2) Dues withholding;
- (3) Bulletin board postings;
- (4) Union distributed material; and
- (5) Flexitime related disputes.

The parties may agree, on a case-by-case basis, to use expedited arbitration for other kinds of issues. When arbitration is invoked in accordance with Section 23.02, a request may be made in writing by the party invoking arbitration that the expedited procedure be used.

Section 23.20 - Expedited Arbitration Procedures. Procedures contained in this Section supplement the arbitration procedures covered elsewhere in this Agreement, and, when in conflict with other procedures, supersede them:

- (1) There shall be no post-hearing briefs. All documents to be considered by this arbitrator shall be filed at the hearing.

- (2) There shall be no verbatim transcript except upon mutual agreement.
- (3) The hearing must be held within fifteen (15) days of the notification to the arbitrator.
- (4) If the parties mutually conclude at the hearing that the issues are of such complexity or significance as to warrant further consideration, the hearing procedures may be appropriately modified, or the hearing may be cancelled and the matter referred to regular arbitration.
- (5) The arbitrator may issue a bench decision at the hearing, but, in any event, the arbitrator shall render a decision within forty-eight (48) hours after the conclusion of the hearing. The decision shall be made on the record developed by the parties before and at the hearing and shall include a brief written explanation of the basis for the conclusion.

Section 23.21 - Extension of Time Limits. Time limits in this Article may be modified by mutual written consent of the parties.

ARTICLE 24
LEAVE

Section 24.01 - General. All requests, except for unscheduled leave, must be made in writing using Standard Form-71. The request and any changes must be approved (signed) by the supervisor. The employee is responsible for obtaining approval for unscheduled leave within one (1) hour after the core hours begin. If the employee is unable to call personally, any responsible person may request the leave on behalf of the employee. If the supervisor or his/her designee is not available to receive the call, the person requesting the leave shall provide the employee's telephone number and address where the supervisor or his/her designee may contact the employee. If the employee requesting the unscheduled leave provides an estimate of the date when he/she shall return to work and the leave is approved by the supervisor, the employee need not be required to call in again unless the date of his/her return changes. Requests for unscheduled emergency annual leave may be approved by the supervisor unless the employee's services are required to meet an operational requirement.

Section 24.02 - Annual Leave. Annual leave is a right of the employee and not a privilege. Annual leave requested in advance shall be acted on promptly. Annual leave must be requested in advance, and its approval by a supervisor shall be governed by the following:

- (1) The number of employees granted leave during any given period shall be governed by workload requirements and the number of employees required for necessary coverage.
- (2) Employees shall be responsible for making timely requests for their annual leave in accordance with their personal desire and the provisions stated below.
- (3) Supervisors shall schedule annual leave, to the extent practicable, in a manner which permits each employee to take at least two (2) consecutive weeks in each year.

Section 24.03 - Annual Leave Schedules. Except for requests for annual leave of five (5) days or fewer falling within an administrative workweek, all requests for annual leave shall be subject to the following:

- (1) Employees shall request leave sufficiently in advance of peak use periods (i.e., June, July, August, November, and December) to permit scheduling. Leave schedules shall be established and posted in a conspicuous place.

- (2) When it is impracticable to grant all requests for annual leave for a given period, including, in this case, leave for five (5) days or fewer, the supervisor shall provide the affected employees with the opportunity to work out leave conflicts prior to resolving the conflict. If it is necessary for the supervisor to resolve a conflict, the relative importance of each of the following criteria shall be considered:
 - (a) Employees with "use or lose" leave, especially in the cases where the period involved is at the end of the leave year.
 - (b) The amount of leave to employee's credit.
 - (c) Relative need of employees for taking leave at a specific time.
 - (d) Whether the employee had to reschedule or forego leave in a similar scheduling conflict.
- (3) If an employee wishes to change scheduled leave, his/her leave shall be approved if workload permits. If workload does not permit, he/she shall be provided an opportunity to interchange leave periods with an employee who has similar skills and duties, with the concurrence of the supervisor.

Section 24.04 - Annual Leave Disapproval or Cancellation.

- (1) When requests for annual leave are disapproved or cancelled, the supervisor shall document the reasons on Standard Form-71 and shall notify the employee promptly of the action.
- (2) It is the responsibility of the supervisor, in consultation with the employee, to reschedule annual leave which is cancelled or denied due to workload considerations and to ensure that the leave shall not be forfeited.
- (3) In no case shall any particular time of the year or season, such as Christmas or the New Year, be excluded from consideration for the granting of annual leave for reasons other than workload requirements or office coverage.

Section 24.05 - Advanced Annual Leave. Advanced annual leave may be approved at the discretion of the approving official. The amount of annual leave advanced may not exceed the number of hours that the employee would accrue during the remainder of the leave year.

Section 24.06 - Sick Leave. For sick leave periods of not more than three (3) consecutive workdays, the employee shall be required to submit Standard Form-71, but not with medical certification unless the employee is on leave restriction. Sick leave in excess of three (3) consecutive workdays shall be reported on Standard Form-71, including a medical certificate or a statement from the employee of the nature of the illness and why a medical certificate is not furnished.

- (1) Requests for approval of sick leave for non-emergency medical, dental, or optical examinations or treatments shall be submitted, where practicable, at least one (1) workday in advance to the supervisor on Standard Form-71.
- (2) In cases where it is not practicable to submit a written request in advance, the employee shall, upon return to duty, submit a written request on Standard Form-71 (and medical certification as appropriate) to document the sick leave previously requested and approved.
- (3) Sick leave may be used for the following purposes:
 - (a) Receiving medical, dental, optical examination, or other health-related treatment;
 - (b) Incapacitation for the performance of duties by sickness, injury, or pregnancy and confinement;
 - (c) Providing care and attendance to a member of his/her immediate family who is afflicted with a contagious disease;
 - (d) Providing care for a family member as a result of physical or mental illness; injury; pregnancy; childbirth; or medical, dental, or optical examination or treatment;
 - (e) When the employee's exposure to a contagious disease would jeopardize the health of others by his/her presence at the post of duty;
 - (f) When an employee is disabled and depends on an aid or device, e.g., wheel chair, seeing-eye dog or a prosthetic device, to perform his or her duties, and the employee is without that aid or device; or
 - (g) Leave is needed for occupational rehabilitation training or therapy.
 - (h) Leave is needed to make arrangements necessitated by the death of a family member or to attend the funeral of a family member.

- (i) Leave is needed for purposes relating to the adoption of a child.

(4) Family Care or Bereavement.

- (a) Advance approval must be received for sick leave for family care or bereavement on the Standard Form-71. The form must indicate the name and relationship of the family member; the appropriate notation(s) on the back of the SF-71; and administratively acceptable certification/statement for an absence of more than three (3) consecutive days.
- (b) Eligible employees may use sick leave to provide care for a family member as a result of physical or mental illness; injury; pregnancy; childbirth; or medical, dental or optical examination or treatment; and for bereavement purposes, i.e., to make arrangements necessitated by the death of a family member or to attend the funeral of a family member.
- (c) All covered full-time employees may use a total of up to forty (40) hours of sick leave each year to provide care for these purposes. A covered full-time employee who maintains a balance of at least eighty (80) hours of sick leave may use an additional sixty-four (64) hours of sick leave per leave year for these purposes.
- (d) A part-time employee is entitled to an amount equal to the average number of hours of work in each week of the employee's scheduled tour of duty. A part-time employee who maintains a sick leave balance equal to at least twice the average number of hours of work in each week of the scheduled tour of duty may use an amount equal to the number of hours of sick leave normally accrued by the employee during a leave year for these purposes.

(5) Leave Restriction. In those cases where the supervisor has sound reasons to support his/her belief that an employee is abusing his/her leave, that employee shall be counseled concerning such abuse.

- (a) Abuse of sick leave shall not be determined solely on the basis of leave balance.
- (b) If such counseling is unsuccessful and the employee continues to abuse his/her sick leave, the supervisor shall issue a written notice to the employee that all subsequent sick leave absences must be supported by a medical certificate which states the period of medical

care and certifies, from a medical standpoint, that the employee's condition during the absence was such that the doctor or authorized practitioner considered the employee incapacitated for work.

- (c) All written notices of leave restrictions shall describe the frequency, pattern, and circumstances which led to its issuance.
 - (d) The notice shall be reviewed by the supervisor at the end of the notice period, not to exceed six (6) months, and shall be cancelled if there is not just and sufficient cause to continue the leave restriction.
- (6) If an employee suffers from a chronic condition which does not necessarily require medical treatment, although absence from work is necessary, the employee shall not be required to furnish a medical certificate for each absence if a medical certificate of the chronic condition has been previously furnished. However, the supervisor may periodically require that such documentation be updated.
- (7) Employees who, because of illness, are released from duty shall not be required to furnish a medical certificate to substantiate sick leave for the day released from duty. Subsequent days of absence may be subject to medical certification or a written statement from the employee of the nature of the illness.
- (8) An approved absence which would otherwise be chargeable to sick leave may be charged to earned annual leave if requested by the employee and approved by Management. However, this does not apply to leave which has been approved and used as sick leave, nor may this provision be applied retroactively to avoid forfeiture of annual leave.

Section 24.07 - Advanced Sick Leave.

- (1) An employee who is ill or injured without sick leave to his/her credit may be advanced a maximum of thirty (30) days (two hundred forty (240) hours) sick leave. Application for advance sick leave must be supported by a medical certificate and signed by a physician or authorized practitioner. The advance sick leave provision does not apply to employees with probationary appointments. If intermittent use of advanced sick leave immediately following an absence due to injury or illness is anticipated, it must be requested when the advance sick leave is requested and approved in writing. The request must specify the frequency of use, otherwise, the remaining advanced sick leave is forfeited when the employee returns to work.

- (2) Requests for advanced sick leave may be approved at the discretion of the approving official, based on the above, except in the following situations:
- (a) It is known or reasonably expected that the employee shall apply for disability retirement or voluntarily retire for health reasons;
 - (b) The employee is absent for maternity reasons after the delivery of her child and the request is for a period of adjustment and/or to make arrangements for the care of the child;
 - (c) The remaining time on a limited appointment would be insufficient to liquidate the advance by future accruals;
 - (d) The employee is terminally ill;
 - (e) Chronic ailments have necessitated the employee's absences in the past, and are expected to continue; or
 - (f) The total illness lasts less than two (2) consecutive days sixteen (16) hours).

Section 24.08 - Continuation of Pay (COP). Pursuant to Department of Labor regulations, an employee who sustains a disabling job related injury or other condition of the body caused by external forces, including physical stress or strain, is entitled to a period of not to exceed forty-five (45) calendar days of continuation of pay (COP).

Section 24.09 - Excused Absences. An excused absence is an absence from duty, administratively authorized, without loss of pay and without charge to leave.

- (1) **Voting.** An employee may be excused to vote as follows:
- (a) If the polls are not open at least three (3) hours, either before or after an employee's hours of duty, he/she may be allowed to report for work three (3) hours after the polls open or to leave work three (3) hours before the polls close, whichever is the lesser (see also Section 17.04(4)).
 - (b) If an employee's voting place is beyond normal commuting distance and voting by absentee ballot is not permitted, sufficient time off may be granted to enable

the employee to make the trip to the voting place. Where more than one (1) day is required, a liberal leave policy shall be observed, and time off in excess of one (1) day shall be charged to annual leave, if available, or to leave without pay.

- (c) An employee may be excused for such additional time as may be needed by him/her to vote depending upon the particular circumstance in his/her individual case, but not to exceed one (1) full day.
- (2) **Registration.** A reasonable amount of administrative leave, normally not to exceed up to two (2) hours, shall be granted for voter registration, except when it can be done by mail or on a nonworkday.
- (3) **Blood Donation.** Upon advance request to his/her supervisor, employees may be granted four (4) hours of excused absence to donate blood.

Such absences shall include the amount of time necessary to travel to the donation site, donate blood, recuperate at the donation site, if needed, and return to work if the employee's tour of duty is not over.

- (4) **Tardiness and Brief Absences.** Brief absences from duty of less than one (1) hour and occasional tardiness up to one (1) hour may be excused when reasons are justifiable to the supervisor.
- (5) **Bone Marrow/Organ Donations.** Within established limits, employees may be granted excused absence for bone marrow or organ donation. An employee may be granted up to seven (7) days of excused absence per calendar year to serve as a bone marrow or organ donor. The employee must obtain advance written approval for any period of excused absence related to the bone marrow/organ donation process, using the Application for Leave, SF-71, along with administratively acceptable evidence that the absence is related to a bone marrow or organ donation.

Section 24.10 - Court Leave. Employees shall be granted necessary time off without charge to leave or loss of pay to serve as a juror or as a witness when one of the parties is the United States, a State, a municipality, or the District of Columbia. If the employee receives compensation and the court defines the compensation as "expense," the employee may retain the monies. If the court defines the compensation as "fee," the employee must remit the monies to the Department.

Section 24.11 - Familial Leave. Management recognizes that employees may need leave to aid, assist, or care for family members (defined in Article 2), as a result of an incapacitation. In such instances, Management may grant annual leave, or if annual leave is exhausted, advance annual leave or leave without pay if workload permits.

Section 24.12 - Leave and Administrative Leave Dismissals During Emergency Situations. Where practicable, the designated Union representative shall be notified when a decision is made regarding any emergency administrative leave under this Section. The following policies shall be followed unless superseded by directives of the Office of Personnel Management:

- (1) **Emergency Occurs During Working Hours.** Leave shall be charged as follows based on the employee's duty or leave status as of the time set for dismissal:
 - (a) **On Duty.** The remainder of the day shall be charged to excused absence.
 - (b) **Departed Before Time Set for Dismissal, but after Notification.** The appropriate type of approved leave shall be charged from the time of departure to the time set for the dismissal. Excused absence shall be charged from the time set for the dismissal to the end of the day.
 - (c) **On Approved Leave, but Scheduled to Report to Work Later.** The appropriate type of approved leave as scheduled is charged up to the time set for dismissal. Excused absence shall be charged from the time set for the dismissal to the end of the day.
 - (d) **Approved Leave for the Entire Day.** The appropriate type of leave shall be charged up to the time of dismissal and the remainder of the day shall be charged to excused absence.
- (2) **Emergency Occurs During Nonwork Hours.** Subject to the criteria in (c) below, excused tardiness may be granted as follows:
 - (a) **Tardiness - Up to Two (2) Hours.** Upon request, the first line supervisor may excuse up to two (2) hours of tardiness without a charge to the employees leave. The absence shall be charged to excused absence.

- (b) **Tardiness - More than Two (2) Hours.** The office head has the authority to excuse in excess of two (2) hours, upon receiving a request with appropriate justification from the employee through his/her supervisor.
- (c) **Criteria for Granting Excused Tardiness.**
1. Distance between employee's residence and place of work;
 2. Normal mode of transportation;
 3. Efforts of employees to get to work; and
 4. Success of other employees getting to work under similar conditions.
- (3) **Liberal Leave Policy.** A liberal leave policy is instituted when the Office of Personnel Management, for Headquarters, or a Federal Executive Board, or its equivalent, for Field Offices, has determined that the emergency conditions do not warrant closing Government offices in the area. In such instances, employees may use annual leave or LWOP without advance approval or providing detailed justification.
- (4) **Federal Agency Closed by Administrative Order.**
- (a) **Pay Status.** Full-time and part-time employees who were in a pay status the last day immediately before the closing, including employees who were scheduled to be on approved, paid leave on the day(s) of the closing, shall not be charged leave or lose pay for the day(s) that the office did not open due to an emergency arising during the nonworking hours. The absence shall be charged to excused absence.
 - (b) **Nonpay Status.** Full-time and part-time employees who are in a nonpay status immediately before and after the day that the office is closed are not entitled to excused absence for the day(s) that the office is closed. The employee shall be charged leave without pay.

Section 24.13 - Military Leave. Any employee who is a member of the National Guard or a Reserve component of the Armed Forces shall be entitled to military leave as provided for in 5 U.S.C. 6323, as amended, and implementing regulations. Approval of the military leave provided in the foregoing shall be based on the copy of the orders directing the employee to active duty and certification of attendance by an appropriate military authority.

In addition, employees who are called for a period of training or a period of active duty beyond those provided for in the above may be granted annual leave or LWOP, pursuant to appropriate regulations.

Any employee contemplating the use of military leave shall advise the employer as soon as possible of the anticipated dates of such leave.

Section 24.14 - Leave Without Pay (LWOP). Leave without pay is normally approved leave, and it may be approved at the discretion of the approving official in a fair and equitable manner. Extended LWOP may be approved in the following situations:

- (1) **Educational Leave.** For individual educational purposes, when the completion of the course shall contribute to the Department's best interest or to the employee's individual/self development goals, if they can be accommodated;
- (2) **Disability Retirement.** Upon request, and pending final action by the Office of Personnel Management on a claim for disability retirement, the employee shall be placed in a LWOP status.

Section 24.15 - Leave Entitlements Under the Family and Medical Leave Act of 1993. Within established limits, employees have an entitlement of up to twelve (12) administrative workweeks of unpaid leave during any (twelve) 12-month period for:

- (1) birth of a child and care of a newborn (within one (1) year after birth);
- (2) placement of a child with an employee for adoption or foster care (within one (1) year after placement);
- (3) care of a spouse, child, or parent of an employee, if such spouse, child, or parent has a serious health condition; or
- (4) serious health condition of the employee that makes the employee unable to perform the duties of his/her position.

Section 24.16 - Unauthorized Absences. An employee who fails to report for duty and has not received supervisory approval for leave shall be carried in an absent without leave (AWOL) status for timekeeping purposes and may be subject to disciplinary action.

Section 24.17 - Compensatory Time for Religious Observances. An employee may elect to work compensatory overtime for the purpose of taking time off without charge to leave or loss of pay when personal religious beliefs require that the employee abstain from work during certain periods of the workday or workweek. Any employee who elects to work compensatory overtime for this purpose may be granted (in lieu of overtime pay) an equal amount of compensatory time off (hour for hour) from his or her scheduled tour of duty. The compensatory overtime may be worked before or after the compensatory time off. A grant of advanced compensatory time off should be repaid by the appropriate amount of compensatory overtime, worked within a reasonable time, (i.e., normally four (4) pay periods). The employee's election to work compensatory overtime or take compensatory time off to meet religious obligations may be disapproved if such modifications in work schedules interfere with the efficient accomplishment of the Department's mission.

Section 24.18 - Voluntary Leave Transfer Program. This program permits employees to donate accrued annual leave to other employees who have insufficient leave available to cover absences from work because of a medical emergency involving the employee or a family member, in accordance with applicable law, regulations, and HUD Handbook 600.3, Voluntary Leave Transfer Program:

- (1) **Information to the Union.** The Council President shall be sent a quarterly statistical summary and any staff bulletins on the Voluntary Leave Transfer Program. Local Union Presidents shall be sent copies of the acceptance and rejection letters for unit employees in their jurisdiction.
- (2) **Inter-agency Transfers.** The Department shall accept leave donations from employees in other Federal agencies.
- (3) **Donor Criteria.** Employees are prohibited from donating leave to either their first or second-line supervisor.
- (4) **Certification.** The Department requires a certification from a physician, or other appropriate expert, with respect to the medical emergency. The employee may use the certification statement on the back of the SF-71, Application for Leave, to meet this requirement. If the Department requests the applicant to provide medical documentation of the emergency from more than one (1) source, the Department shall pay for the second certification.
- (5) **Information to Employees.** The names of approved leave recipients shall be maintained by the servicing human resources office coordinators. These names shall be

provided to any potential leave donor upon request. The quarterly staff bulletin which includes information about the leave transfer program shall include the names of all current approved leave recipients and shall inform employees that the most current listing of names of approved leave recipients may be obtained from the coordinators. If the employee objects in writing, his/her name shall not be included in the staff bulletins or provided to potential donors by the coordinators. The names and telephone numbers of the coordinators shall be contained in the staff bulletin. A statement regarding the leave transfer program shall also be included in the reminder to all employees concerning the scheduling of use-or-lose leave. A copy of the most recent notice to all employees regarding the leave transfer program shall be included in the new employee orientation package.

- (6) **Restoration.** Restoration of donated leave is considered to be administratively infeasible when the donor is no longer employed by the Department and attempts to locate him or her at a last known address have failed or when the amount of leave to be restored is less than one (1) hour. At the time a leave donor becomes eligible for restoration of annual leave, the donor shall be informed in writing of the donor's right to donate such restored leave to another leave recipient or retrieve the leave.
- (7) **Crediting.** Donated leave shall normally be processed and credited to the recipient's account within two (2) pay periods.
- (8) **Grievance Rights.** The employee rejection letter shall include notification of his/her right to grieve the matter.

ARTICLE 25
TRAVEL AND PER DIEM

Section 25.01 - General. Management and the Union recognize that the nature of the mission of the Department requires bargaining unit employees to travel away from their official duty station. This travel is performed in accordance with the HUD Travel Manual, available in each administrative office. Employees designated by and acting in behalf of the Union are governed by Article 7.

Section 25.02 - Scheduling Travel.

- (1) Management shall, to the maximum extent practicable, schedule administratively controllable travel to occur within each employee's standard weekly working hours.
- (2) Management shall give as much notice as practicable to employees selected for assignments involving travel which requires advance planning.
- (3) If circumstances require an employee to depart his or her permanent duty station prior to official business hours on Monday, the employee may receive appropriate travel and per diem not to exceed one and three-fourths (1¾) days by leaving during regular duty hours on Friday. (For example, except under unusual circumstances, per diem for an employee who departs on Friday for a Monday meeting is limited to that amount which would have been payable had he/she departed on Sunday.)
- (4) If circumstances require an employee to return to his or her permanent duty station after official business hours on Friday, the employee must return no later than the next regularly scheduled workday. The employee shall receive appropriate travel and per diem, not to exceed one and three-fourths (1¾) days, by leaving during regular duty hours on the next regularly scheduled workday. (For example, except under unusual circumstances, per diem for an employee who departs on Monday after a Friday afternoon meeting, is limited to that amount which would have been payable had he/she departed on Friday night or Saturday.)
- (5) **Training.** Employees assigned to training courses shall not be required to share rooms.

Section 25.03 - Overtime Pay in Travel Status.

- (1) For FLSA exempt employees to receive overtime while in a travel status, the assignment must meet both of the following conditions:
 - (a) Hours of work officially ordered and approved in excess of forty (40) hours in an administrative workweek or in excess of eight (8) hours in one (1) day; and
 - (b) The hours of work result from an event that could not be scheduled or controlled administratively.
- (2) FLSA nonexempt employees must either:
 - (a) Perform work while traveling;
 - (b) Travel as a passenger to a temporary duty station and return during the same day; or
 - (c) Travel as a passenger on non-workdays during hours that correspond to his/her regular working hours.

Section 25.04 - Travel Advances.

- (1) Travel advances requested on a timely basis shall be made available prior to departure. Travel advances which require issuance of a Treasury check must be requested ten (10) days before the travel period begins to ensure that the employee shall receive the check prior to departure. A cash advance from an imprest fund may be available prior to the trip when requested on a timely basis.
- (2) Management shall establish reasonable procedures designed to maintain and replenish the imprest fund as often as is necessary to accommodate the usual and emergency travel advance needs of employees.
- (3) The amount of travel advance shall equal eighty (80) percent of the minimum estimated expenses that the employee is expected to incur prior to reimbursement. When the Government charge card program is implemented, the eighty (80) percent travel advance shall be applied against the out-of-pocket expenses that cannot be charged to the card. The travel advance may be based on the full estimated cost of the trip when travel circumstances are expected to prevent the use of the charge card to charge transportation, lodging, car rental, or other travel expenses.
- (4) Employees utilizing a revolving travel advance shall be entitled to one and one-half (1 1/2) times their average monthly travel expenses for the preceding quarter. When the

estimated cost for travel exceeds the advance by more than seventy-five dollars (\$75), the employee may obtain an additional travel advance.

- (5) Travel advances may be repaid using the employee's personal credit card provided the card is on the Treasury Department's list of approved cards.

Section 25.05 - Mode of Transportation.

- (1) Official travel shall be accomplished by the method of transportation that is considered most advantageous to the Government, cost, and other factors considered and is authorized on the travel order or approved on the travel voucher.
- (2) If an employee elects to travel by a means of transportation other than the means determined most advantageous to the Government, and the change is approved by Management either on the HUD-25 or the voucher, the traveler may be required to travel during some non-duty hours; or may be subject to reduced mileage reimbursement, as prescribed in GSA travel regulations.

Section 25.06 - Reimbursement of Official Travel.

- (1) **Rate.** Employees who are ordered by Management to perform official travel shall be reimbursed for all authorized expenses at the maximum standard rate allowed by law, rule, or Governmentwide regulation.
- (2) **Effective Date.** Increased travel allowances shall go into effect on the first day allowed by the revised GSA regulations. This provision in no way diminishes the Union's right to bargain on the impact and implementation of the revised regulations, and Management shall communicate their proposed implementation of GSA regulations to the Union in a timely manner.
- (3) **Reimbursement.** When the use of a privately-owned conveyance or Government-owned vehicle is authorized, reimbursement shall be in accordance with the Federal Travel regulations and the HUD Travel Manual.
- (4) **Taxis.** Reimbursement shall be authorized and approved for the usual taxi cab fares, plus tip, to and from an employee's home and office/motor pool when official travel involves per diem/subsistence.

Section 25.07 - Use of Privately-Owned Vehicles and Government-Furnished Vehicles.

- (1) Employees who are required to use their privately-owned vehicle (POV) or a GSA car for official business shall be reimbursed in accordance with the Federal Travel regulations and the HUD Travel Manual.
- (2) No employee shall be required to use their privately-owned vehicle (POV) in the course of business unless such use was made a formal condition of employment when they accepted their current position.
- (3) Biennially Management shall solicit from high mileage drivers an indication in writing whether he/she shall use a Government-furnished vehicle (GFV) or a privately-owned conveyance for official travel. These commitments shall be used by Management to plan for the number of GFVs needed for the next biennium. If the employee is assigned to use a GFV and the vehicle is unavailable, an alternate mode of transportation shall be authorized in accordance with the Federal Travel regulations and the HUD Travel Manual.

Section 25.08 - Vehicle Safety.

- (1) No employee shall be required or expected to travel in or operate a Government-furnished vehicle under conditions that are determined unsafe in accordance with Article 26.
- (2) A traffic citation for a moving violation in a GSA car does not necessarily constitute misconduct. The nature of the offense and the totality of the circumstances must be taken into consideration.

Section 25.09 - Periodic Return to Duty Station. If a temporary duty assignment requires a traveler to be away for thirty (30) or more calendar days, weekend return travel to the permanent duty station shall be authorized in accordance with the HUD Travel Manual, Chapter 1, Part 7, paragraph 1-7.5.c. Approval shall be on a case-by-case basis.

Section 25.10 - Constructive Cost. When employees deviate from the travel authorized on the travel order, a cost comparison shall be computed in accordance with the HUD Travel Manual.

Employees may take advantage of discount fares with the understanding that reimbursements for non-emergency cash payments for transportation must be approved by GSA before the voucher is processed.

Further, Management shall not be responsible for any penalties imposed on deep discount fares if the trip is canceled for personal reasons.

Section 25.11 - Illness. Employees who become ill or have personal emergency situations may be allowed transportation and subsistence to the extent provided in the Federal Travel regulations and the HUD Travel Manual.

Section 25.12 - Use of Government Telephone Systems During Official Travel. An employee traveling overnight on official business may make a personal call each day.

- (1) The calls must be to the employee's permanent duty station or within the vicinity of the residence from which the employee reports for duty each day. All exceptions must be approved on a case-by-case basis.
- (2) Employees are encouraged to use the FTS. However, where an employee on official business is not able to utilize the FTS, e.g., work schedule, due to privacy consideration, or location does not provide adequate access to the FTS, use of a commercial telephone is authorized.
- (3) FTS telephones within the Department are to be made available to employees on official travel.
- (4) Payment for calls shall only be authorized when the travel period is more than one (1) night and within the U.S. or other places where the employee is authorized to travel on official Government business. The total number of calls claimed for each trip may not exceed an average of one (1) call per day.
- (5) The total cost of the call averaged over the duration of the trip is limited to seven dollars (\$7.00) per day. The travel voucher must show the points between which service was rendered, the cost, and the date of each call.
- (6) Charges for official commercial telephone calls, telegrams, cablegrams, or radiograms on official business may be allowed by showing the points between which service was rendered, the date, and amount paid.

Section 25.13 - Travel Bonuses. Frequent Flyer Bonuses, awards, and promotional materials received by employees as a result of official travel belong to the Government. However, employees may keep items that are of no value to the Government, such as membership in a "Captain's Club", certificates entitling the employee to a free upgrade to first class, check cashing privileges, etc.

Section 25.14 - Denied Boarding Compensation. Employees are not entitled to payment offered for involuntarily denied boarding. Employees may retain compensation received for voluntarily vacating an airline seat provided the employee incurs no additional travel expenses beyond those which he or she would have normally incurred and vacating his/her seat does not interfere with the performance of official duties. To the extent an employee's travel is delayed during official duty hours by voluntarily vacating an airline seat, the employee shall be charged annual leave for the additional hours.

ARTICLE 26
SAFETY AND HEALTH

Section 26.01 - General. Management shall be responsible for furnishing and maintaining conditions of employment that are free of hazards that are causing, or are likely to cause, accident, injury, or illness to employees. The Department's Occupational Safety and Health Program shall comply with requirements of Executive Order 12196 and the basic program elements of the Department of Labor regulations (29 CFR 1960).

Section 26.02 - Employee Training. Management agrees to provide timely appropriate training to employees who are required to perform duties which involve potential hazards to safety and health.

Section 26.03 - Safety Equipment. Employees shall be provided with necessary personal protective equipment and other devices and procedures with which to protect themselves from hazards on the job.

Section 26.04 - Freedom from Reprisal. Employees shall be guaranteed protection from any restraint, interference, coercion, discrimination, or reprisal for filing a report of an unsafe or unhealthful condition; or for any other participation in the safety program.

Section 26.05 - Management Safety and Health Representatives. Management agrees to notify the Local Union representative of the name and location of Management's local safety and health representative. The safety and health representative shall provide counseling and general information to employees upon request.

Section 26.06 - Committees.

- (1) The parties agree to continue Health and Safety Committees with equal numbers of Management and Union representatives in Headquarters and each local office with more than fifty (50) employees. In offices with fewer than fifty (50) employees, safety and health matters shall be addressed at Labor-Management Relations Committee meetings.
- (2) Duties of the Safety and Health Committee shall include, but not be limited to the following:
 - (a) Monitoring and assisting in the operation of the local safety and health program and making recommendations to the official in charge for improvement.

- (b) Monitoring findings and reports of workplace inspections to confirm that appropriate corrective measures are implemented.
- (c) Participating in inspections of the office when, in the judgment of the Committee, such activity is necessary for monitoring office inspections procedures.
- (d) Reviewing Management's plans for abating hazards.
- (e) Reviewing responses to reports concerned with allegations of hazardous conditions, alleged safety and health program deficiencies, and allegations of related discrimination. If half (½) of the members of record on the Committee are not substantially satisfied with a response, they may request an appropriate investigation to be conducted by the Occupational Safety and Health Administration (OSHA).
- (f) Reviewing procedures for handling safety and health suggestions and recommendations from employees.
- (g) Reviewing reports of unsafe and unhealthful conditions where the hazard has been disputed.

Section 26.07 - Training for Union Representatives on Safety and Health Committees. Consistent with Executive Order 12196, Management shall provide specialized training as necessary for Union-designated representatives on Safety and Health Committees.

Section 26.08 - Reporting and Abatement of Unsafe and Unhealthful Working Conditions.

- (1) Management agrees to make a timely response to employee reports of unsafe and/or unhealthful working conditions.
- (2) Where the designated Management safety representative determines that an unsafe or unhealthful condition exists, Management shall post notices prominently at or near the location until the cited condition has been corrected and shall make reasonable efforts toward prompt abatement.
- (3) Whenever Management cannot abate such conditions within thirty (30) calendar days, Management shall develop an abatement plan with a timetable of abatement and a summary of interim corrective steps. When abatement is dependent upon the General Services Administration (GSA), the abatement plan shall be prepared in conjunction with them. Employees exposed to such conditions shall be informed of the abatement plan and advised as to measures which should be taken to safeguard their health.

- (4) Whenever and as soon as it is concluded on the basis of an inspection that a danger exists which could reasonably be expected to cause death or serious physical harm, the Management safety representative shall immediately inform the affected employees and official in charge of the workplace of the danger. The official in charge of the workplace, or a person empowered to act for that official, shall undertake immediate abatement and the withdrawal of employees who are not necessary for abatement of the dangerous conditions.

Section 26.09 - Imminent Danger Situations. In the case of imminent danger situations, the persons reporting such situations shall make the reports in the most expeditious manner available. The employee has a right to decline to perform his or her assigned tasks because of a reasonable belief that, under the circumstances, the task poses an imminent risk of death or serious bodily harm, and that there is insufficient time to effectively seek corrective action through normal hazard reporting and abatement procedures. Management agrees to make every effort to ensure an appropriate response to imminent danger situations.

Section 26.10 - Health Programs. Immunization, where available through existing Public Health Service facilities, shall be provided free to employees. Employee health examinations shall be offered to unit employees within the allocation, if any, made available by Management within that office. Selections for examinations shall be made from among the unit employees at a given office on a fair and equitable basis. Employee participation shall be voluntary. Management shall advise the Union in advance of such examinations so that eligible employees may apply. Management shall propose to the Union the criteria to be used for selection and such criteria may be the subject of supplemental bargaining.

Section 26.11 - First-Aid. Unless there is a health unit on the premises, Management shall ensure that there is reasonable access to adequate first-aid kit(s) for each local office. The kit(s) shall be maintained in designated locations.

Section 26.12 - Safety and Health Records. Management shall provide the Union access to records maintained under the Occupational Safety and Health Act, consistent with the Privacy Act. Management shall give the Union President, or designee, a copy of the Department's annual reports to OSHA.

Section 26.13 - Inspections. When a workplace inspection is conducted by a Management safety representative, a Department of Labor Inspector, Fire Marshall, or GSA, a Union representative from the Safety and Health Committee, or if no representative is

available from the Committee, any other Union representative shall be allowed to accompany the inspector and participate in the inspection. During the course of any inspection, any employee may bring to the attention of the inspector or the participating representative any unsafe working conditions.

Section 26.14 - Union Notification. Management shall notify the Union of the report of any job-related injury or illness by forwarding a copy of the form 795 to the Union within three (3) days receipt by Management.

Section 26.15 - General Conditions. Management recognizes that matters of discomfort to employees which do not necessarily rise to the level of serious health or safety hazards are still important. Management shall make a reasonable effort to remedy matters such as uncomfortable temperatures, smells and similar negative environmental factors in the workplace. Remedies may include relocation of work station(s), reassignment of work and/or in extreme circumstances, temporary excuse from duty.

Section 26.16 - Information on Chemicals. Upon request, Management shall provide to the Union any and all available appropriate safety data regarding any specific chemical which Management provides to be used at the work site.

Section 26.17 - Environment. The Department's policy is to provide its employees a safe and healthful working environment. Therefore, the Department shall fully participate in the General Service Administration's (GSA) Indoor Air Quality Program, designed to protect Federal workers and visitors from unnecessary health risks.

Section 26.18 - Smoking Cessation Programs. The Department supports and encourages all efforts by employees to quit smoking. In this regard, the Department shall sponsor the American Cancer Society (ACS) Smoking Cessation Program (or comparable program in offices where this program is not available) and provide appropriate time and bear the cost for such HUD-sponsored programs. If Management is trained by ACS (or comparable program) to conduct future smoking cessation programs, the Union shall also be trained and joint training by Management and the Union shall be conducted. Participation in the smoking cessation program shall be voluntary. Smoking cessation programs shall not be negotiated at the local level.

ARTICLE 27
HEALTH BENEFITS WHEN IN A NONPAY STATUS

Section 27.01 - General. Employees may be in a nonpay status for a period of three hundred sixty-five (365) calendar days and remain enrolled in the Federal Employee Health Benefits (FEHB) Program with the Government continuing to pay its share, provided the employee pays his/ her share of the premium costs. The requirement to pay the employee's share of premiums while in a nonpay status shall be announced once a year to all employees. Employees may seek the advice of their Local Union representative.

Section 27.02 - Counseling of Employee. Prior to entering a nonpay status, the employee shall have the right to request and receive counseling from his/her servicing personnel office. The counseling shall include:

- (1) An explanation of the regulations;
- (2) An outline of the payment options available to the employee;
- (3) Estimation of the amount owed; and
- (4) An opportunity for the employee to continue or cancel health benefits coverage.

Section 27.03 - Notice. Employees who enter a nonpay status without having had a counseling session (i.e., following a period of annual or sick leave) shall be notified by certified mail, returned receipt requested, immediately following the pay period in which there is insufficient salary to cover FEHB premiums.

Section 27.04 - Notice Requirements. The notice to employees regarding FEHB entitlements while in an LWOP status shall contain the following:

- (1) The individual employee's name and the date of the notice;
- (2) An explanation of the purpose of the notice, including actions required by the Office of Personnel Management;
- (3) A clear statement of the employee's responsibility to choose to continue or cancel FEHB coverage;
- (4) If coverage is to be continued, a statement that the premiums may be paid while the employee is in a leave without pay status or through payroll withholdings after the employee returns to a pay status;

- (5) If coverage is to be continued, a clear statement that, upon return to a pay status, the employee shall contact the payroll office to establish a biweekly withholding amount. If no contact is made, an amount not to exceed twenty-five (25) percent of the employee's net pay shall be deducted;
- (6) If coverage is to be canceled, an explanation of the consequences of cancellation of benefits;
- (7) A statement that the employee may request and receive more information before making an election to continue or cancel benefits; and
- (8) A designated space for the employee to make an election to continue or cancel FEHB benefits and a space for the employee's signature and date of decision.

Section 27.05 - Cancellation. Cancellations are effective the end of the pay period following the pay period in which the notice of cancellation is received by Management.

ARTICLE 28
INJURY COMPENSATION

Section 28.01 - Reporting. When employees or their representatives report that an illness or injury has occurred in the performance of official duties, the involved employee shall be counseled by their servicing personnel office or supervisor as to their right to file for compensation benefits. The employee also shall be advised that compensation benefits can be used in lieu of sick or annual leave. Management shall also give appropriate assistance to the employee in filing a compensation claim.

Section 28.02 - Leave. Injury compensation cannot be paid for any period when an employee is on paid leave. If, at the time disability begins, the injured employee has sick or annual leave to his/her credit, the employee may decide whether to use all or part of it before applying for injury compensation. If the employee decides to be charged for sick or annual leave (or if so charged because the employee was not informed of the possibility of injury compensation benefits), the employee may repay the Government, in a lump sum or by any other plan acceptable to the servicing personnel office, the amount paid to the employee while on annual or sick leave.

Once the Department of Labor has approved his/her claim for compensation, an employee has the right to request a recredit of leave used during recovery from a job-related injury. Such a request for recredit of leave must be approved by the Department of Labor before the recredit of leave can be made. Before the Department can recredit the leave to an employee, the employee must repay the Department for the leave that has been used. The Department shall process the change into the payroll system within two (2) full pay periods from the receipt of the repayment.

Section 28.03 - Review of Documents. Employees shall be permitted to review documents relating to their claim which the Office of Workers' Compensation has authorized to be made available. Employees may be accompanied by their designated representative, if they so desire.

ARTICLE 29
EMPLOYEE ASSISTANCE PROGRAM

Section 29.01 - General. Management agrees to continue the Department's Employee Assistance Program for troubled individuals whose job performance is affected by alcoholism, drug abuse, emotional illness or other personal problems.

Section 29.02 - Relationship to Disciplinary Action. The parties recognize that the program is designed to be carried out as a nondisciplinary procedure aimed at rehabilitation of persons who suffer from a health or personal problem. If an employee requests assistance under the program and participates in the program, the responsible supervisory official shall give consideration to this fact in determining any appropriate disciplinary and adverse action based upon the employee's performance or conduct on the job.

Section 29.03 - Training. Upon request, Management shall include Local Union representatives in employee briefing sessions or training and orientation programs so that there shall be mutual understanding of policy, referral procedures, and other elements of the program.

Section 29.04 - Annual Reminder. Management shall advertise the program once a year. The notification shall include a statement of the purpose of the program and telephone numbers of the program Coordinators and Counselors. Although the existence and functions of counseling and referral programs shall be publicized to employees, no employee shall be required to participate or be penalized for merely declining referral to an available counseling service.

Section 29.05 - Leave. Should any counseling appointment or treatment require an absence from duty, the employee must obtain the appropriate leave approval in writing or make other appropriate arrangements with the supervisor.

Section 29.06 - Confidentiality. In every case when an employee is either referred to the program by a supervisor or when the employee voluntarily participates in the programs, the employee's problem shall be treated as confidential by the program staff and Management officials involved, notwithstanding whatever knowledge others may already have.

Section 29.07 - EAP Counselors. A qualified EAP Counselor shall be made available to all Departmental employees.

ARTICLE 30
MEDICAL QUALIFICATION DETERMINATIONS

Section 30.01 - Medical Examinations. Consistent with 5 CFR 339, Management may only direct an employee to undergo a medical examination under the following conditions:

- (1) A pre-appointment examination is appropriate only for a position which has specific medical standards, physical requirements, or is covered by a medical evaluation program established under OPM regulations.
- (2) An applicant has applied for or occupies a position which has medical standards or physical requirements or which is part of an established medical evaluation program.
- (3) An employee who has applied for or is receiving continuation of pay or compensation as a result of an on-the-job injury or disease to report for an examination to determine medical limitations that may affect placement decisions.
- (4) An employee who is released from his/her competitive level in a reduction-in-force to undergo a relevant medical evaluation if the position to which the employee has reassignment rights has medical standards or specific physical requirements which are different from those required in the employee's current position.
- (5) Psychiatric examination (including a psychological assessment) may be ordered only when:
 - (a) The result of a current general medical examination which the Department has the authority to order under this Article indicates no physical explanation for behavior or actions which may affect the safe and efficient performance of the individual or others; or
 - (b) A psychiatric examination is specifically called for in a position having medical standards or subject to a medical evaluation program.

A psychiatric examination or psychological assessment authorized under (a) or (b) above must be conducted in accordance with accepted professional standards, by a licensed practitioner or physician authorized to conduct such examinations, and may only be used to make legitimate inquiry into a person's mental fitness to successfully perform the duties of his/her position without undue hazard to the individual or others.

- (6) Management may, at its option, offer a medical examination (including psychiatric evaluation) in any situation where the agency needs additional medical documentation to make an informed Management decision. This may include situations where an employee requests, for medical reasons, a change in duty status, assignment, working conditions, or any other benefit or special treatment (including reasonable accommodation or reemployment on the basis of full or partial recovery from a medical condition), or where the individual has a performance or conduct problem which may require agency action. Reasons for offering an examination must be documented. An offer of an examination shall be carried out and used in accordance with 29 CFR.

Section 30.02 - Representation. Employees shall be advised that they have a right to have a representative present during proceedings for medical determination examination.

Section 30.03 - Examination Procedures. When Management orders or offers a medical examination under this Article it shall inform the employee in writing of its reasons for doing so and the consequences of failure to cooperate. (A single notification is sufficient to cover a series of regularly recurring or periodic examinations ordered under this Article.)

Management shall designate the examining physician or other appropriate practitioner, but shall offer the employee an opportunity to submit medical documentation from his or her personal physician or practitioner. The agency must review and consider all such documentation supplied by the employee's personal physician or practitioner.

Section 30.04 - Payment for Examination. Management shall pay for all examinations ordered or offered under this Article, whether conducted by Management's physician or the employee's physician. The employee must pay for a medical examination conducted by a private physician (or practitioner) where the purpose of the examination is to secure a benefit sought by the employee.

Section 30.05 - Records, Reports, and Release of Information. Management shall receive and maintain all medical documentation and records of examination obtained under this Article and release to the employee or their representatives in accordance with OPM regulation 5 CFR 297.

Section 30.06 - Disability Retirement. Management shall assist an employee in filing an application for disability retirement if the employee requests such assistance while employed with the Department.

Section 30.07 - Management Initiated Medical Retirement.

Management shall initiate an application for disability retirement for the affected employee if the following conditions are met:

- (1) Employee has five (5) years of civilian Federal service under the following conditions:
 - (a) Management has issued a decision to remove the employee;
 - (b) Management concludes, after its review of medical documentation, that cause for the unacceptable performance, conduct, or attendance is due to disease or injury;
 - (c) The employee is institutionalized, or based on the Department's review of medical or other information, it concludes that the employee is incapable of making a decision to file an application for disability retirement;
 - (d) The employee has no personal representative or guardian; and
 - (e) The employee has no immediate family member who is willing to file an application on his or her behalf.
- (2) When Management issues a decision to remove and the conditions described in paragraph (a) of this Section have not been satisfied but the removal is based on reasons apparently caused by a medical condition, the Department shall advise the employee in writing of his or her possible eligibility for disability retirement.

ARTICLE 31
VIDEO DISPLAY TERMINALS

Section 31.01 - General. "Video Display Terminal" (VDT) refers to a word processor or computer terminal which displays information on a television-like screen (cathode ray tube or "CRT").

Section 31.02 - Service of Machines. Management agrees to have each VDT properly serviced at least yearly by authorized technicians. A record of maintenance on each machine shall be maintained and made available to the Union upon request.

Section 31.03 - Vision and Health Safeguards. If an employee is assigned to work on a VDT which he or she believes has an out-of-focus display screen, the employee shall report it to the supervisor. If the supervisor agrees that it is out of focus, the employee shall not be required to continue work on the machine. Management shall arrange for service personnel to evaluate the machine as soon as possible.

Upon request and subject to availability of funds, Management shall provide filters for personal computers used by employees. In selecting the filters to be used, Management shall consider the extent to which the filters reduce glare, x-radiation, ultraviolet radiation, and static electricity. Where two (2) or more employees use the same machine, filters shall only be installed by mutual consent.

Upon presentation of appropriate medical documentation, Management shall consider a change in duty status, assignment, working conditions, or any other benefit or special treatment for employees adversely affected by working on VDTs.

Section 31.04 - Noise. Management shall ensure that noise levels shall not exceed OSHA standards by the use of noise meters during inspections by the Safety and Health Committee when there are complaints that said levels exceed those standards. Noise levels determined to exceed OSHA standards shall be abated by the use of muffling devices such as equipment covers, carpet, acoustic partitions, and barriers or other means.

Section 31.05 - VDT Problems. Should a concern arise over the safety and health of VDT operators, including physical discomfort and physical or psychological stress, the matter may be referred to the parties' Safety and Health Committee. The Safety and Health Committee shall notify the parties of its findings, analysis, and recommendations for corrective action.

Environment/ergonomic factors such as glare controls, illumination, arrangement of the work station, insufficient work space, and potential radiation exposure are appropriate matters for review and recommendations by the Committee.

Section 31.06 - Breaks From VDTs. Employees shall not normally be required to work on a VDT continuously without interruption for more than two (2) hours without a break from the machine. Where practical, the employee and the supervisor should schedule the rest break authorized in Article 17 so as to break periods of continuous machine use which would extend past two (2) hours. Where the authorized break cannot serve this purpose, alternative work assignments or additional brief breaks shall be authorized.

Section 31.07 - Hardware Acquisition. In selecting future replacement or acquisitions of VDTs, Management shall consider available safety and health information related to VDTs. The Department shall develop and use procurement specifications for VDTs which conform to the provisions of this Section.

Section 31.08 - Visual Examinations. Employees assigned to work at VDTs for an average of four (4) hours or more per day may request and receive an initial and annual visual examination through the local Public Health Service facilities, where available.

Section 31.09 - Workers' Compensation Claims. Employees may request and receive treatment of on-the-job illness or injury they believe resulted from or during their assigned work on VDTs, printers, or other computer accessories by filing the appropriate CA-1 or CA-2 form.

Section 31.10 - Information and Education. Employees shall be instructed on health hazards associated with the use of VDTs; on work practices recommended for VDT operators; and assisted in the proper adjustment of their chairs and equipment in relationship to their posture and work surfaces.

ARTICLE 32
REASONABLE ACCOMMODATION

Section 32.01 - General. Management agrees to make reasonable accommodation to known physical or mental limitations of qualified disabled employees unless Management demonstrates that the accommodation would impose an undue hardship on its operations as defined in Section 1614.203 of Title 29, Code of Federal Regulations. Reasonable accommodation may include, but is not necessarily limited to:

- (1) Making facilities accessible to and usable by disabled persons;
- (2) Job restructuring;
- (3) Part-time or modified work schedules;
- (4) Acquisition or modification of equipment or devices;
- (5) Appropriate adjustment or modification of examinations; and
- (6) The provision of readers and interpreters.

Section 32.02 - Needs Determination. Where Management determines the need, specialized job-related training and career development shall be provided or Management shall refer qualified disabled employees to organizations which provide these services. The need determination process for qualified disabled employees shall be the same as for other employees.

Section 32.03 - Readers and Interpreters. Where offices employ persons who are able to make use of these services, Management shall maintain lists of qualified readers and interpreters who may be selected by a disabled employee and assigned by Management to interpret for hearing-impaired employees or to read or record job-related documents for vision-impaired employees. Bargaining unit employees who are qualified shall be considered for such work and, if assigned, shall be on official duty. When appropriate, Management shall consider workload adjustment for employees selected as readers and/or interpreters. Management shall include nominees submitted by the Union on these lists.

Section 32.04 - Equipment. Management agrees to provide equipment for qualified disabled employees based on need, job relatedness and cost.

Section 32.05 - Recording the Agreement. Management agrees to provide the use of equipment and tapes for the recording of this Agreement and the parties agree to solicit volunteers for the reading of this Agreement into tape.

**ARTICLE 33
DUES WITHHOLDING**

Section 33.01 - Definitions.

- (1) **Dues** - means dues, fees, and assessments as determined by the Union.
- (2) **SF-1187** - is a Request and Authorization for Voluntary Allotment of Compensation for Payment of Employee Organization Dues.
- (3) **SF-1188** - is a Cancellation of Voluntary Allotment of Compensation for Payment of Employee Organization Dues.

Section 33.02 - Eligible Employees. To be eligible to make a voluntary allotment for the payment of Union dues, an employee must:

- (1) Be in one (1) of the units (see Appendix A) covered by this Agreement;
- (2) Be a member in good standing with the Union;
- (3) Have a regular net salary, after other legal and required deductions, sufficient to cover the amount of the authorized allotment for dues; and
- (4) Request the allotment on the prescribed form (SF-1187) which has been certified by the authorized Union official.

Section 33.03 - Responsibilities of the Union. The Union shall:

- (1) Inform and educate its members on the voluntary nature of the dues allotment program, including conditions governing institution of allotments;
- (2) Provide the SF-1187 form to employees;
- (3) State on the SF-1187 form the amount of dues to be withheld each biweekly pay period;
- (4) Promptly forward completed SF-1187 forms to the appropriate servicing human resources office;
- (5) Furnish written notification to the servicing human resources office concerning the names and titles of Local Union officials authorized to certify the SF-1187 form; and

- (6) Provide the appropriate servicing human resources office with written notification concerning:
 - (a) Changes in the amount of Union dues;
 - (b) The name of any employee who has been expelled or ceases to be a member in good standing in the Union within ten (10) days after the date of such determination; and
 - (c) The name of any employee on check-off who transfers from one Local to another; the new Local to receive the dues deducted; and any change in the amount of dues to be deducted resulting from the transfer to a new Local.

Section 33.04 - Responsibilities of Management. Management shall effect the following:

- (1) **Accuracy.** The servicing human resources office shall honor and expeditiously implement each SF-1187 to ensure that only eligible employees are on the dues withholding listing. The servicing human resources office shall also screen each promotion and reassignment action to remove employees who are promoted or transferred out of the unit.
- (2) **Promptness.** Upon receipt in the appropriate servicing human resources office of the SF-1187 form from the Union, certify on the SF-1187 form that the employee is in the unit covered by this Agreement and promptly forward the SF-1187 form to the payroll office for processing.
- (3) **Privacy.** Copies of the SF-1187 shall not be filed in the employee's Official Personnel Folder.

Section 33.05 - Responsibility of the Employee. Management does not assume responsibility for the maintenance in good standing in the Union of the employee.

Section 33.06 - Procedures.

- (1) **Initiating the Withholding of Dues.**
 - (a) Upon receipt of a properly completed SF-1187 form from the servicing human resources office, the payroll office shall arrange to withhold the Union dues in accordance with existing pay periods (twenty-six (26) biweekly periods) and procedures under which employees are regularly compensated.

- (b) The dues deduction shall be effective as soon as possible, but in no case shall it be later than one (1) pay period following receipt of the SF-1187 form by the payroll office.
- (c) Employees who meet the eligibility requirements for dues withholding and who have a current dues withholding agreement in effect on the date this Agreement is approved, need not execute a new SF-1187 form to come under the provision of this Agreement.
- (d) Any SF-1187 submitted to the servicing human resources office that Management does not process shall be returned to the Union with the reason why it was not accepted. The Union reserves the right to discuss the exclusions with Management.

(2) Changes in Dues.

- (a) The amount of dues certified on the original allotment form (SF-1187) shall remain unchanged until the authorized Union official provides written certification to the servicing human resources office that the amount of dues has changed. New SF-1187 forms shall not be required.
- (b) No more than four (4) levels of dues shall be withheld in any one (1) local office. Changes in the amount of the allotment by reason of changes in the Union dues structure may be made only twice a year by any one (1) local.
- (c) Change in the amount deducted for Union dues shall be effective as soon as possible but in no case shall it be later than one (1) pay period following receipt by the payroll office of the Union's certification of changes in its dues.

(3) Termination of Dues Allotments.

(a) Automatically.

Upon loss of exclusive recognition by the Union, effective at the beginning of the first full pay period after such loss of recognition;

When the dues withholding agreement is by mutual agreement terminated;

When an employee ceases to be eligible for inclusion in the unit covered by this Agreement for which the Union is the exclusive representative; and

When an employee is expelled or ceases to be member of the Union in good standing, effective with the first complete pay period after receipt of the payroll office of written notice from the authorized Union official.

(b) **Voluntarily.**

An employee may submit a written request, SF-1188, for the revocation of an allotment no more than two (2) weeks before, and no later than two (2) weeks after the anniversary date of the date on the form SF-1187. The request may be submitted, in duplicate, to the servicing human resources office. Revocations shall be effective the first full pay period following a timely filing of the form SF-1188, if the request is received in the servicing human resources office during the acceptance period.

Revocations by employees shall be in duplicate, preferably on the SF-1188 form, and shall be forwarded by the employee to the servicing human resources office. The human resources office shall forward a duplicate immediately to the designated Union official.

Requests for revocation of dues allotments which are not filed in a timely manner shall be returned to the employee with an explanation of the reason for the rejection. A copy of both the employee the SF-1188 and the explanatory letter shall be furnished to the Union.

The anniversary date of the authorization for dues withholding, SF-1187, shall be one (1) year from the first day (Sunday) of the first pay period that dues are initially withheld.

Section 33.07 - Remittance of Dues. Management shall remit, by check, the dues withheld after each pay period for which deductions are made within two (2) weeks. Checks in payment of dues shall be made payable to and forwarded to the American Federation of Government Employees (AFGE) National Secretary--Treasurer's Office.

Section 33.08 - Detailed Listings. The remittance checks shall be accompanied by listings of the following information:

- (1) Identification of the payroll office reporting the data and the Union Local to receive the dues;

- (2) Pay period ending date;
- (3) The name of each member whose dues were forwarded to the Union and the amount of dues withheld; and
- (4) The amount remitted to the Union.

Section 33.09 - No Cost for Withholding. The service of withholding the Union dues shall be provided by Management at no charge to the Union.

Section 33.10 - Details Outside the Unit. Employees temporarily dropped from dues withholding due to a detail outside the bargaining unit shall be automatically reinstated upon the conclusion of the detail.

Section 33.11 - Funds Transfer. Funds due the Council shall be electronically transferred on a current, biweekly basis if NFC has the capability and shall make this electronic transfer for the Department.

**ARTICLE 34
LOCAL SUPPLEMENTS**

Section 34.01 - Scope. The parties agree that any supplements to this Agreement shall not delete, modify, or otherwise nullify any provision, policy, or procedure in this Agreement; nor shall any provision in a supplement be in conflict with or duplicate any provision of this Agreement, Statute or regulation of the Department, Governmentwide regulation, or outside authority binding on Management. All supplements shall be a part of and subject to the terms and control of this Agreement and shall simultaneously terminate with this Agreement.

Section 34.02 - Ground Rules. The ground rules for negotiations contained in Article 5 apply.

Section 34.03 - Resolution of Bargaining Disputes. If the parties at the Local level disagree as to whether a subject is to be included in the supplement, or if they fail to reach agreement on a local issue, the parties shall refer the matter to the National level. When a dispute has been submitted to the parties at the National level, local level negotiations on that issue shall be suspended pending final resolution of the dispute. If the parties at the National level cannot resolve the dispute, the parties may submit the dispute to an arbitrator, or to the Federal Labor Relations Authority, in the case of a negotiability dispute.

Section 34.04 - Approval. Prior to implementation, local supplements must be forwarded to the parties at the National level for their approval. Within fifteen (15) days of receipt, Management, at the National level, shall inform the National Council President of any portions which are approved or disapproved as being inappropriate for inclusion in a supplement. Disputes may be submitted to arbitration (or to the Federal Labor Relations Authority, in the case of a negotiability dispute).

Section 34.05 - Enforcement. An alleged violation of the terms of a supplement to this Agreement shall be subject to resolution under the appropriate contractual, regulatory, or statutory procedures.

**ARTICLE 35
CONTRACTING OUT**

Section 35.01. When Management proposes to contract out a function performed by bargaining unit employees, it shall notify the affected local union president(s). The Council President shall receive a copy of the notification if more than one (1) local is affected.

Management shall advise the Union when a decision is made to perform a cost comparison study.

Section 35.02. Management shall provide to the Local President annually, a list of contractors who are occupying space in HUD buildings.

Section 35.03. Management agrees, wherever practicable, to minimize adverse actions and reduce separations of employees affected by a contracting out decision. Management shall, in consultation with the Union, consider attrition patterns and restricting new hires. Management shall use the approved Career Transition Assistance Plan (CTAP) and negotiated supplements/MOUs for placing employees who are adversely affected by contracting out.

Section 35.04. Management recognizes that applicable regulations prohibit bargaining unit employees from being supervised by consultants and contractor personnel.

Section 35.05. Management recognizes that all decisions with respect to contracting out are subject to the provisions of OMB Circular A-76 and other relevant authorities and regulations.

Section 35.06.¹¹ Space and equipment provided to contract employees will not conflict with HUD policy and GSA guidelines for government employees. Management will give HUD employees preference over contract employees in allocating space.

Section 35.07. Prior to contracting out work, Management shall consider, based upon cost and workload, if the affected function/work can be completed using other local employees or at other geographic office location(s). A determination to contract out shall be provided to the Union.

¹¹ Contract employees shall not displace HUD employees in prime space. The most advanced equipment will be provided to HUD employees with a need over contract employees.

ARTICLE 36
PROFESSIONAL EMPLOYEES

Section 36.01 - General. The parties recognize the special requirements and contributions of employees in the professional bargaining unit.

Section 36.02 - Membership Dues. Where membership in a professional organization is required by Management, Management agrees to pay membership dues related to that requirement. The employee must be instructed in writing by an authorized Management official to participate in an organization on behalf of, and in the name of, the Department. This does not include membership in any bar associations.

Section 36.03 - Attendance at Meetings. If an employee is directed to attend a meeting of a professional society or organization, whether during normal duty hours or not, such direction must be in writing from an authorized Management official, and, therefore, shall be considered official authorization to participate and shall be reimbursed accordingly. This written condition must be stated to the employee in advance of any commitment of funds.

Section 36.04 - Attorney Training. To the extent training funds are available and such training is consistent with the Government Employees Training Act, 5 U.S.C. Chapter 41, and OGC training needs, Management shall permit attorneys, upon request and approval, the opportunity to attend job related, continuing legal education courses, or job related portions thereof. A course, or portion of a course, shall be considered job related, within the meaning of 5 U.S.C. Section 4101(4), when it is directly related to the employee's performance of his/her official duties for the Government, i.e., it shall increase the knowledge, proficiency, ability, skill, and qualifications of the employee in the performance of the official duties of the employee's current position. Funds for this purpose, including tuition, supplies and, in Management's discretion, travel, must be requested, approved, and obligated before the training begins.

ARTICLE 37
PERFORMANCE APPRAISAL

Section 37.01 - Performance Appraisal System. The Department shall implement a performance appraisal system which conforms to Chapter 43 of Title 5, United States Code and Part 430 of the Code of Federal Regulations.

Section 37.02 - Definitions.

- (1) **Critical Element:** "Critical element" means a component of a position consisting of one (1) or more duties and responsibilities which contributes toward accomplishing organizational goals and objectives and which is of such importance that unacceptable performance on the element would result in unacceptable performance in the position.
- (2) **Performance Standard:** "Performance standard" means a statement of the expectations or requirements established by Management for a critical element at a particular rating level. A performance standard may include, but is not limited to, factors such as quality, quantity, timeliness, and manner of performance.
- (3) **Rating Official:** The Rating Official is usually the immediate supervisor.
- (4) **Reviewing Official:** The Reviewing Official is normally one (1) level above the Rating Official.

Section 37.03 - Function of the Appraisal System. The appraisal system shall:

- (1) Provide for periodic appraisals of job performance of employees;
- (2) Encourage employee participation in establishing performance standards; and
- (3) Use the results of performance appraisals as a basis for training, rewarding, reassigning, promoting, reducing in grade, retaining, and removing employees.

Section 37.04 - Components of the Appraisal System. The performance appraisal system shall provide for:

- (1) Establishing performance standards which shall, to the maximum extent feasible, permit the accurate evaluation of job performance on the basis of objective criteria (which

may include the extent of courtesy demonstrated to the public) related to the job in question for each employee or position under the system;

- (2) Communicating critical elements and performance standards to each employee at the beginning of the appraisal period;
- (3) Evaluating each employee during the appraisal period on such standards;
- (4) Recognizing and rewarding employees whose performance so warrants;
- (5) Assisting employees in improving unacceptable performance;
- (6) Reassigning, reducing in grade, or removing employees who continue to have unacceptable performance, but only after an opportunity to demonstrate acceptable performance; and
- (7) Written justification of all elements rated other than fully successful on the annual rating of record.

Section 37.05 - Specific Provisions.

- (1) Management reserves the right to change the dates of the appraisal period. Any change shall maintain uniform appraisal periods Department-wide.
- (2) Employees shall have one (1) progress review meeting in the middle of the appraisal period. Employees shall be informed of the level of their performance on each critical element by comparison of their performance with the performance standards. If the employee's performance is less than fully successful, a written performance improvement plan must be prepared. There is no requirement for written documentation of progress review feedback.
- (3) Upon request, employees may receive informal feedback on their performance at other times during the performance period. Supervisors are encouraged to provide informal feedback at any time during the performance period.
- (4) If an employee is put on a performance improvement plan, supervisors shall identify the critical elements that are less than fully successful and ways the employee may improve performance. Supervisors also are encouraged to provide continuous feedback, technical assistance or training to assist the employee in improving performance.

- (5) All tentative ratings shall be reviewed and approved by the appropriate Management official before being communicated to employees. This process shall be held in the last month of the appraisal period.
- (6) All HUD General Schedule and prevailing rate ratings issued during the official rating period shall be combined to arrive at the annual rating of record, regardless of bargaining unit status.
- (7) Employees have three (3) workdays to comment on their performance ratings.
- (8) There shall be six (6) reasons for delay of performance ratings:
 - (a) The employee has not worked under elements and standards for at least ninety (90) days.
 - (b) Sufficient performance information does not exist for a new supervisor who has not supervised an employee for at least ninety (90) days or for a Reviewing Official to make a rating. In this case, the rating is delayed for ninety (90) days.
 - (c) The employee has an unacceptable performance action pending. The rating is given after a decision is made on the unacceptable performance action. However, the rating is not necessary if the employee is removed.
 - (d) The employee's overall performance is temporarily unacceptable because of illness, alcoholism, drug abuse, or another similar reason when the supervisor expects the performance to become fully successful in the near future because the employee is expected to recover, has entered a rehabilitation program, or gives other indications of resolving the problem which led to the unacceptable performance.
 - (e) The employee has been demoted for unacceptable performance. If the employee's rating for the year would be unacceptable because he/she cannot be rated in the position to which demoted, he/she shall receive a delayed rating in the position to which demoted. This delayed rating shall be combined with the rating from the previous position(s) to arrive at the annual rating of record.
 - (f) The employee has been performing at the marginally successful or unacceptable level and has been reassigned to another position. If the employee's performance rating for the year would be marginally

successful or unacceptable because the only performance that can be rated is in the previous position(s), then he/she shall receive a delayed rating in his/her current position. This delayed rating shall be combined with the rating from the previous position(s) to arrive at the annual rating of record.

- (9) An interim rating is a rating given when an employee has a permanent position change in HUD. The interim rating is not a rating of record. However, if an interim rating is the only rating given during the appraisal period, it shall become the rating of record at rating time. Interim ratings shall be communicated in writing to the employee within thirty (30) workdays from the effective date of the permanent position change (promotion, demotion, reassignment, etc.) Such ratings shall be clearly identified as interim ratings. Interim ratings are grievable. However, when an interim rating is used to derive the rating of record, neither the interim rating nor its effect on the rating of record is grievable.
- (10) The minimum appraisal period is ninety (90) days. This applies to both permanent assignments and temporary assignments such as details and temporary promotions.
- (11) The rating is considered official when it is:
 - (a) Signed by the Rating Official and/or the Reviewing Official;
 - (b) Signed by the employee or held by the employee for the three (3) day comment period without being signed (the employee shall have the right to request additional time); and
 - (c) Received by the personnel office that maintains the Employee Performance File. For purposes of this Article, the personnel office that maintains the Employee Performance File is the Office of Human Resources, Employee Service Center.

Section 37.06 - Matters Excluded from the Grievance Procedure.

- (1) Employees cannot grieve the results of progress review meetings.
- (2) Employees cannot grieve the substance of their critical elements and performance standards. They may grieve their application.

- (3) If an unacceptable rating results in a demotion, the employee cannot grieve the rating. The unacceptable rating cannot be grieved since it would be dealt with in any appeal or grievance involving the demotion decision.
- (4) Employees cannot grieve an opportunity to improve notice or a performance improvement plan.

Section 37.07 - Constructed Performance Ratings for Reduction-In-Force Purposes. In the event of a reduction-in-force (RIF), employees in the competitive area affected by the RIF who received ratings of "satisfactory" under the bargaining unit three (3)-level appraisal system shall have their ratings evaluated for retention credit purposes. If the employee received a "satisfactory" rating, and that rating shall be used to compute overall retention standing for RIF purposes, the servicing personnel office shall determine if the rating is eligible to be changed to a constructed "highly successful" rating for RIF retention purposes. If the cover page of the rating indicates that a "satisfactory" rating would have been "highly successful" had "highly successful" been a rating level in the bargaining unit appraisal system, the employee shall receive retention credit for "highly successful" performance in the computation of retention standing. A constructed "highly successful" rating shall be determined using the same conversion formula for an overall rating as used for the nonbargaining unit GS performance appraisal system that was used in the year of the subject appraisal. Under no circumstances shall the constructed "highly successful" ratings be used for anything but RIF retention purposes; they shall not be considered ratings of record.

Section 37.08 - Summary Rating Data. The Union shall be provided with summary rating data for each appraisal period.

ARTICLE 38
PERSONNEL RESEARCH PROGRAMS AND
DEMONSTRATION PROJECTS

Section 38.01 - Purpose. The parties agree that personnel research programs, including quality circles and demonstration projects in the personnel management area, may result in more efficient operations, and thereby contribute to more effective accomplishment of the Department's missions.

Section 38.02 - Definitions.

- (1) **Research Program** - means a planned study of public personnel management policies and systems, the effects of these policies and systems, the possibilities for change, and comparisons among those policies and systems.
- (2) **Demonstration Projects** - means a project conducted by the Office of Personnel Management, or under its supervision, to determine whether a specified change in personnel management policies or procedures would result in improved Federal personnel management.
- (3) **Quality Circle** - is a participative process which directly involves employees, at the work level, in the identification, analysis, and solution of product quality and work process problems. It is a joint effort between Management and employees to develop ideas for improving the product of the organizational unit and implementing these improvements once approved by Management and concurred by the Union.

Section 38.03 - Employee Participation. Employees participating in any activity covered by this Article shall have a memorandum placed in their Official Personnel File recognizing their participation.

Section 38.04 - Obligation to Bargain. In accordance with statutory provisions, Management shall not enter into any personnel research or demonstration projects which affect the working conditions of unit employees without first affording the Union an opportunity to request appropriate negotiations.

If recommendations resulting from the above activities require a modification of this Agreement, they shall be subject to the provisions of the Mid-Term Bargaining Article of this Agreement.

Section 38.05 - Quality Circles.

- (1) Once Management determines to start a quality circle, the program shall be presented to the Union and potential circle members. The Union shall designate employees to participate on any quality circle planning committees that are established. Participation on individual quality circles shall be voluntary on the part of the employee.
- (2) Quality circles shall meet during regular duty hours and on official time. Additional topics or problems for study can be suggested by the Union or employees in the quality circles. Management shall consider these suggestions in determining whether additional efforts should be undertaken.
- (3) When feasible, a portion of any documentable savings which results from the implementation of quality circle recommendations may be utilized for special cash awards to all employee participants of the contributing circle.
- (4) Quality circles may be terminated at any time by Management, when the circle activity continuation is found to be completed, nonproductive or disruptive to the work of the unit.
- (5) Quality circles, by their nature, create an environment where supervisors or managers interact with their employees on improvements in work processes, organization, and procedures that shall hopefully improve the efficiency and effectiveness of the work unit. The Union may monitor the activities of these circles by keeping in touch with bargaining unit employees participating in the circles and/or by attending quality circle meetings where appropriate.
- (6) When changes in personnel policies, practices, working conditions, or general conditions of employment result from the quality circle activity, the Union shall be given an opportunity to review the results and request impact bargaining where appropriate.

ARTICLE 39
REST BREAK AREAS

Section 39.01. Management shall provide rest break areas for employees which are safe, clean and well lighted, if similar facilities are not conveniently available as part of the overall facility. They shall be maintained in a sanitary condition and shall be kept free of vermin infestations.

Section 39.02. When vending machines are provided in rest break areas in accordance with this Article, Management shall take appropriate steps within its authority to assure that the machines are maintained in good working condition, regularly resupplied, and that an adequate means of reimbursement for money lost in malfunctioning machines is provided. Each machine shall have posted on it in a conspicuous place a twenty-four (24) hour telephone number, where available, for reporting malfunctions.

Section 39.03. Where Management contracts for such services, Management shall have these requirements as a condition of this contract until such time as Management, at its sole discretion, determines to change or cancel a contract.

ARTICLE 40
DAY CARE

Section 40.01 - General. Management and the Union recognize that HUD employees need to have reliable and adequate day care services available to them so that they are free to devote their full energy and attention to their duties and responsibilities at the work site.

Section 40.02 - Parties' Commitment. The parties commitment to established day care facilities in Headquarters and at several multi-agency field day care centers shall continue subject to the availability of sufficient funds to support these facilities. Nothing in this Article shall be interpreted to mean that the Department is obligated to provide additional funds and/or space for the establishment and/or maintenance of new day care facilities. Future decisions concerning the Department's participation in new day care facilities shall be made solely by the Department based upon funds available, other competing demands for those funds, and in accordance with applicable laws, rules, and regulations in effect at that time.

Section 40.03 - Notification. Should the Department decide to participate in a new or existing multi-agency day care center, the Department shall promptly notify the appropriate designated Local Union representative and the Union Council President of that intention. The employees of the Department shall also be given proper and timely notice of such participation by the Department so that they can place themselves on registration and/or waiting lists for the day care slots available.

ARTICLE 41
DURATION AND DISTRIBUTION OF THE AGREEMENT

Section 41.01 - Duration. The terms of this Agreement shall remain in effect for three (3) years from the effective date. The provisions of this Agreement shall continue in full force and effect until a new Agreement goes into effect. This Agreement supersedes the previous Contract (1990) and all Supplements to it (except those listed in Appendix A), both National and local, and all other written Agreements or memoranda of understanding and conflicting past practices, between the parties.

Section 41.02 - Severability. If any provision of this Contract is invalidated by existing or subsequent laws, decisions of the FLRA, the Comptroller General or Courts of competent jurisdiction, such provision shall be renegotiated for the purpose of an adequate replacement. All other provisions of the Agreement shall remain in full force and effect.

Section 41.03 - Renegotiations.

- (1) If either party subsequently desires to renegotiate this Contract, it shall furnish written notice to the other party not less than sixty (60) days but not more than ninety (90) days prior to the expiration date of this Agreement.
- (2) In the event notice (under Section 41.03(1) above) is given by either party, the parties shall begin ground rules negotiations within thirty (30) days from receipt of that notice.

Section 41.04 - Renewal. If neither party desires to renegotiate this Agreement, the parties shall execute new signatures, dates, and any changes required by law or Governmentwide regulation, and the Agreement shall be renewed for a one (1) year period.

Section 41.05 - Amendments. Any amendments to this Agreement shall become a part of this Agreement and subject to expiration on the same date as this Agreement.

Section 41.06 - Distribution.

- (1) **Employees.** Management shall distribute a copy of this Agreement and all supplements to each employee by the effective date.
- (2) **Union.** Management shall provide the Union with sufficient copies of the Agreement and all supplements, to meet its needs, e.g., orientation.

Section 41.07 - Publication. The expenses for publishing and distributing this Agreement shall be paid by the Department. The parties shall jointly edit and format the document, making such changes as shall promote clarity. Within fifteen (15) days of the conclusion of negotiations, the Union shall be provided with a copy of the Agreement using the latest Departmental standard technology and software.

Section 41.08 - Review and Training. Reasonable official time shall be authorized for review and training on this Agreement in conjunction with the National LMR meetings.

ARTICLE 42
WITHIN-GRADE PAY INCREASES

Section 42.01 - Criteria. Within-grade increases are granted in accordance with applicable law and regulations which provide that the employee's supervisor determines that the employee's work is of an "acceptable level of competence," as demonstrated by an overall performance rating of fully successful or better and the employee has completed the required waiting period.

Section 42.02 - Appraisal. If the decision to grant, deny, or delay a within-grade increase is not supported by the most recent rating of record, the employee shall receive a new rating. If the last rating of record was given prior to the previous appraisal period, a new rating shall be issued.

Section 42.03 - Notification of Intent. At least sixty (60) days prior to the date that an employee is eligible to receive a within-grade increase, the employee's supervisor shall notify the employee as to his/her performance. If the employee's performance has not been at an acceptable level of competence, the agency shall notify the employee, (opportunity to improve notice or performance improvement plan), in writing, as to the following:

- (1) Those critical elements and standards of the employee's performance in which the employee is deficient and the measurable and demonstrable extent of the deficiency;
- (2) Any instances, specifically described, which support the alleged deficiencies;
- (3) Assistance which shall be offered so as to enable the employee to improve his/her performance so as to meet the requirements specified for the position; and
- (4) That the employee's within-grade increase may be denied unless sustained improvement to an acceptable level of competence is shown within sixty (60) days.

If the agency does not give sixty (60) days advance notice and the within-grade increase is denied, the agency shall make a redetermination of the employee's acceptable level of competence not later than sixty (60) days after the date on which the employee completed the required waiting period. If the redetermination indicates that the employee's performance is at an acceptable level of competence or higher, the within-grade increase is granted effective the first day of the first pay period beginning on or after the redetermination.

Section 42.04 - Delay of Within-Grade Increase. When a within-grade increase determination is delayed, the employee must be informed in writing of:

- (1) The fact that the decision of his/her within-grade increase is being postponed;
- (2) The reason for the postponement;
- (3) The date the determination will be made;
- (4) The fact that when the decision is made, it will be retroactive to the date it was originally due;
- (5) The specific requirements for performance at an acceptable level of competence. If the employee does not have a performance plan, he/she shall be given a plan; and
- (6) Advise that if a subsequent determination is made that the employee's performance is at an acceptable level of competence, the within-grade increase shall be granted retroactively to the beginning of the pay period following completion of the applicable waiting period.

If the employee does not have a performance plan, he/she must be given one immediately.

Section 42.05 - Denial of Within-Grade (Negative Determination).

If at the end of the sixty (60) day period provided in Section 42.03, the employee's performance is not at an acceptable level of competence for the purpose of approving the within-grade increase, the employee shall be given a written notice which contains the following:

- (1) Statement of denial of within-grade increase;
- (2) Reasons for the negative determination;
- (3) Employee's right to request, in writing, a reconsideration of the negative determination within fifteen (15) days of receipt of the negative determination;
- (4) Name of reconsideration official to whom the request should be sent;
- (5) Employee's right to contest the basis for the negative determination in person and in writing;
- (6) Employee's right to a representative in presenting the request to the reconsideration official; and

- (7) Statement that the employee has the right to a reasonable amount of official time in preparing and presenting the request.

Section 42.06 - Reconsideration File. The reconsideration official shall establish a reconsideration file to include copies of:

- (1) Written negative determination and supporting documents;
- (2) Employee's request for reconsideration;
- (3) Report of investigation if one was made;
- (4) Written summary or transcript of any personal presentation; and
- (5) Decision of the reconsideration official.

The file shall be retained and made available to the employee and his/her representative.

Section 42.07 - Reconsideration Decision Official's Notice. On or before close of business on the tenth (10th) workday after the date of receipt of the employee's written presentation, or the date of the completion of a personal presentation, whichever is later, the reconsideration official shall issue a notice of decision to the employee.

Section 42.08 - Acceptable Level of Competence Waiver. The acceptable level of competence determination shall be waived when employees meet the criteria contained in 5 CFR 531.

Section 42.09 - Effective Dates.

- (1) When a determination is made that the employee's work is not of an acceptable level of competence and the determination is final (i.e., the determination has not been reversed on reconsideration or arbitration), the determining official shall make a redetermination within thirty-nine (39) calendar weeks following the original due date for the within-grade increase. Nothing, however, shall preclude the official from making such a redetermination at an earlier date.
- (2) When an acceptable level of competence is achieved after a negative determination, the effective date of the within-grade increase shall be the first pay period after an acceptable level of competence has been achieved.

- (3) A within-grade increase reconsideration favorable to the employee shall be made retroactive to the date it was originally due and all records relating to the negative determination shall be destroyed.
- (4) If a within-grade increase is delayed due to insufficient information, e.g., has not had standards and elements for ninety (90) days and the employee subsequently achieves an acceptable level of competence or better, the within-grade increase shall be granted retroactively to the date it was originally due.

Section 42.10 - Application of Articles 21 and 22. The negative reconsideration procedure as set forth in this Article substitutes for and, is in lieu of, the grievance procedure, (Article 22). However, if a denial of a within-grade increase is coupled with any performance based action, the provisions of Article 21 are applicable.

Section 42.11 - Arbitration. If an employee's negative determination is sustained after reconsideration, the Union may invoke arbitration within twenty (20) calendar days of the employee's receipt of the reconsideration decision.

ARTICLE 43
SMOKE FREE ENVIRONMENT

Section 43.01 - Purpose. This Article establishes the Department's smoke free environment policy, designed to protect the health and safety of employees and visitors.

Section 43.02 - Definition. Smoking is defined as a lighted cigar, cigarette, pipe, or any other lit tobacco product.

Section 43.03 - Scope. This policy shall apply to all HUD-occupied space and facilities with AFGE bargaining unit employees.

Section 43.04 - Policy. Smoking is prohibited in HUD-occupied space.

Section 43.05 - Smoke Free Environment. For public and employee recognition, Management shall designate that HUD is a smoke-free environment through posting of appropriate no-smoking signs.

Section 43.06 - Smoking While on Government Travel. Smoking shall be prohibited in GSA owned or leased vehicles. In such situations, periodic smoke breaks outside the vehicle shall be authorized as necessary.

Section 43.07 - Non-Smoking Transition. A transition period is established for employees who are no longer allowed to smoke in HUD occupied space. The transition period is a thirty (30) calendar day period from the time smoking is prohibited. During this transition period, supervisors shall be sensitive to difficulties experienced by smokers in changing their habits.

Section 43.08 - Vacancy Announcements. All vacancy announcements shall include a statement that smoking is not allowed in HUD occupied space.

Section 43.09 - Compliance. Employees may courteously remind others of HUD's smoke-free environment. Failure to abide by the Department's non-smoking policy shall be dealt with in the same manner as any other violation of an administrative directive or rule.

ARTICLE 44
PERSONAL PROPERTY MANAGEMENT

Section 44.01 - Credit Cards Issued for Small Purchases by HUD.

- (1) **Nonapproved Purchases.** Small purchase credit cardholders shall not be held responsible for nonapproved purchases unless such purchases are made knowingly and willfully in violation of the regulations.
- (2) **False, Fictitious and Fraudulent Purchases.** The cardholder is prohibited through use of the card, from making any purchase knowing such purchase to be false, fictitious or fraudulent. If the cardholder knowingly and willfully makes a false, fictitious or fraudulent claim, he or she shall be subject to a fine of not more than ten thousand dollars (\$10,000) or imprisonment of not more than five (5) years, or both.
- (3) **Lost or Stolen Cards.** The cardholder may be responsible to the Federal Government for charges on a lost or stolen card only if the cardholder knowingly and willfully fails to report it in accordance with procedures outlined in Instructions For Use of U.S. Government Bankcard for the Cardholder. These procedures shall be explained to all new bankcard holders prior to the issuance of the card. The cardholder shall not be held financially responsible for charges on a lost or stolen card unless the Government is held accountable by the card issuer.
- (4) **Agency Review.** Any determination as to financial liability based upon these provisions shall be made jointly by the proposing official and OAMS/HQ or Field Office Administrative Resources Division, as appropriate.
- (5) **Card Security.** Upon request, cardholder shall be provided a secure place to safeguard the card.
- (6) **Card Destruct Notice.** Cardholders no longer authorized to use the card shall cut the card in half and shall return the card with the completed card destruct notice to his/her approving official.

Section 44.02 - Employee Responsibilities for Government Property Issued for Official Use.

- (1) Employees who are issued Government-owned property that requires a custody receipt shall be instructed as to that property's proper use, maintenance, security, and

disposition. At the time such property is issued, the receiving employee shall be advised how to obtain maintenance services for such items.

- (2) Employees responsible for sensitive property shall be afforded appropriate security arrangements for such property. They shall also have access to such property in order to perform the duties of their assigned position in conjunction with taking appropriate measures to insure that property is secured.
- (3) Membership in the bargaining unit shall not be a factor in determining the priority of property issuance, repair, or replacement.
- (4) The HUD acquisition, repair and/or replacement process for remedial equipment and devices for qualified handicapped employees shall be accomplished without undue delay.
- (5) Employees shall promptly notify their supervisors of the need to replace, repair, or acquire personal property. They shall also notify their supervisors of possible hazards (fire, theft, deterioration, improper or insecure storage) to expendable items.
- (6) Forms for reporting loss, theft, or damage to Government-owned personal property, as well as forms needed to identify and remove privately-owned personal property from the premises, shall be readily available to employees through administrative officers.
- (7) In accordance with GSA regulations, surplus property may not be purchased directly from the Department. Employees interested in acquiring surplus Government property may purchase such property at public GSA auctions.
- (8) Management shall annually notify the Union of the month and location for all scheduled property inventories.
- (9) Unit employees who are subject to inquiry before the Property Board of Survey shall be allowed to be represented by the Union. Any request to a unit employee to appear before the Property Board of Survey shall include the following:
 - (a) Statement of the Property Board of Survey functions;
 - (b) Alleged incident(s) which gives rise to Property Board of Survey meeting;
 - (c) The time and place of the meeting;

- (d) Notification that the employee is allowed Union representation, if he or she so chooses; and
 - (e) The right to a copy of all documents relating to the inquiry to the extent such disclosure is not inconsistent with law and existing Governmentwide regulations.
- (10) Management agrees to issue an annual staff bulletin to all employees similar to Staff Bulletin #84-95, Employees Personal Property Management Responsibilities. This bulletin shall enumerate Management and employee responsibilities for complying with this supplement and Handbook 2235.7 REV, Personal Property Management.
- (11) When the reviewing official determines that an employee is liable for loss of, or damage to, Government property, he or she shall send a notice to the employee stating the following:
- (a) The date upon which the loss of, or damage to, Government property was discovered;
 - (b) The estimated cost of repair or replacement with substantially equivalent or identical Government property;
 - (c) The specific reasons why the employee is believed to be liable for the loss of, or damage to, Government property; and
 - (d) A statement that the employee shall have the right to appeal any decision made for loss of, or damage to, Government property by both internal review and the negotiated arbitration procedure.
- (12) In order for the Department to find an employee monetarily liable for any loss of, or damage to, Government property, it must prove the loss of, or damage to, Government property was caused by the employee's negligence in safeguarding the property or equipment.
- (13) If the employee is determined to be monetarily liable, the indebtedness must be paid within thirty (30) days to avoid interest. If the employee does not or cannot liquidate the debt, the amount of indebtedness may be collected in monthly installments, or at officially established pay intervals, by deduction from the employee's salary. The amount deducted shall not exceed fifteen (15) percent of disposable pay except with the affected employee's written consent.

- (14) Any collections under this Article shall be done under the procedures set forth in the Delinquent Debt Collection Handbook, 1900.25 Rev, and 4 CFR 102.2, 102.3, and 102.11.
- (15) Use of privately-owned personal property that requires the use of utility services must be approved by Management.

ARTICLE 45
SPACE MANAGEMENT

Section 45.01 - Coverage. The provisions of this Article are supplemental to the HUD Space Management Handbook, and applicable Federal Property Management regulations in effect on the effective date of this Agreement.

Section 45.02 - Provisions for Handicapped Employees. Management agrees to abide by the provisions of HUD Handbook 2216.1, dated November 30, 1983, which provides compliance with the standards for handicapped employees as defined in the Architectural Barriers Act of 1968, Sections 501 and 504 of the Rehabilitation Act of 1973, including OPM's Guidelines on Reasonable Accommodation to Disabled Employees and Applicants and HHS regulations on Accessibility to the Handicapped.

Section 45.03 - Office Space.

- (1) **Allocation of Space.** Space shall be provided for all allocated positions (one hundred (100) percent) to include Field and Headquarters positions outstationed in the Field, and part-time and temporary positions, requiring a work station.

Part-time employees requiring a work station shall be counted the same as full-time when computing allowable office space.

- (2) **Adequate Space.** Management agrees that the allocation of space and furnishings for the space, such as file cabinets, desks, bookcases, etc., shall be adequate to maintain an efficient work environment and for performance of assigned duties.
- (3) **Space for Employee Fitness Activities.** Upon written request, Management may provide available space, such as conference rooms, training rooms, etc., for use by employees for exercise classes, aerobics, and other organized physical fitness activities. This space may be made available during normal operating hours for use by employees during their lunch hours or nonworking hours, to the extent that the employee activities do not cause a disruption to the office. Where convenient facilities exist in other buildings, and upon written request by the Union, the parties shall explore a joint use program provided there is no cost incurred by the Department.

(4) **Consideration of Public Access and Confidentiality.** Consideration of potential problems caused by public access to open work areas shall be given when office layouts are being planned. The workflow of employees performing work which is confidential in nature shall be considered before space plans are developed.

(5) **Moveable Partitions.** Positions located in open space areas shall have free-standing acoustic screens (area dividers) used to:

- (a) Partition large areas;
- (b) Provide a degree of visual privacy;
- (c) Reduce noise levels; and
- (d) Regulate traffic.

The number, type, and location of screens shall be determined by the parties at the local level. Where job related requirements are a factor, workflow and work assignments shall be the major criteria in determining the placement of acoustic screens.

(6) **Existing Walls.** Full consideration shall be given to the costs of renovations and the adverse impact on working conditions, in determining the prospective benefits of eliminating or moving existing floor to ceiling partitions.

(7) **Decorations.** Employees have the right to decorate their working areas with plants, prints, photographs, awards, posters and artistic or symbolic representations appropriate to the working environment. The display of these items must not be inconsistent with GSA governing regulations. Both the employee and Management have a responsibility not to deface Government property or impair its function.

(8) **Conference Rooms.** Changes in workload and in the mission of the Department may create a need for adjustments in space allocations. This may require the conversion of conference rooms to office space. The conversion of conference rooms to office space shall be consistent with the needs and requirements of the organization.

Section 45.04 - Parking.

(1) **Requests for Space.** The cost, availability, and convenience of parking shall be included in any HUD request for space. The Department shall request GSA to use this criterion as a major consideration in the analysis of bids.

- (2) **Existing Carpool Parking.** Existing carpool spaces under the Department's control shall be retained as long as there are qualified and interested employees, and the HUD office remains at its present location.
- (3) **Release of Carpool Parking.** If the work force at a given office decreases, the Department may release excess parking spaces. If the spaces have not been determined to be excess and there are qualified and interested employees, the Department shall attempt to maintain the spaces for these employees.
- (4) **Two (2)-Wheeled Vehicles.** Subject to the availability of satisfactory and secure space and facilities, and where there is an expressed need, the Department shall request GSA to reserve areas for the parking of bicycles and other two (2)-wheeled vehicles. Bicycles shall not be transported on stairs or elevators, or parked in offices.

Section 45.05 - Facilities.

- (1) **Heating, Ventilation, and Air Conditioning (HVAC).** The Department shall coordinate with GSA in providing an adequate HVAC system and in maintaining HVAC at an acceptable working environment within the temperature and ventilation range outlined in GSA regulations and specifications in offices under GSA's jurisdiction.
- (2) **Rest Rooms and Water Fountains.** The Department shall coordinate with GSA to ensure that rest rooms are adequate in size and number and properly equipped in accordance with Government specifications as they may be amended. The Department shall coordinate with GSA to assure that water fountains operate and are located in accordance with Government specifications as they may be amended. The Department shall request that GSA check water quality when a complaint is received on the taste, color, or smell of the water. In addition, the Department shall request GSA to spot check the water for contaminants prior to the initial occupancy of new space and to spot check all space biennially.
- (3) **Compliance with Building Codes.** The Department shall coordinate with GSA to assure that: (1) office space, whether leased or Government-owned, complies with the Government's fire and building codes, as determined by GSA; (2) lease agreements require compliance with applicable Government building and fire codes, as determined by GSA; and (3) the lessor is to comply with State and local codes and ordinances, as appropriate, applicable to the ownership and operation of the building in which the leased space is situated.

- (4) **Lighting.** Lighting shall be adequate for its intended purpose in accordance with Government regulations.
- (5) **Routine Maintenance.** Management shall request from GSA a copy of its routine and scheduled maintenance schedule and upon receipt, a copy of the schedule shall be posted on Management's bulletin board. Management shall carefully monitor the landlords compliance with the provision of routine and scheduled maintenance services in accordance with the GSA schedule. If scheduled services are not provided, Management shall bring it to GSA's attention and request that GSA take corrective action.
- (6) **Local Negotiations.** The parties shall negotiate at the local level on the operation and placement of vending machines and concessions, subject to provisions of the Randolph-Shephard Act.

Section 45.06 - Safety.

- (1) **Existence of Hazards.** The Department shall coordinate with GSA to assure that the Department does not knowingly move employees into areas which have been assessed by the Department and GSA to be hazardous to health in accordance with OSHA standards and EPA guidelines, where applicable. If a hazard is determined, based upon the assessment, the Department shall coordinate with GSA to develop a comprehensive abatement plan.
- (2) **Correcting Hazards.** When safety or health hazards are identified within space occupied by the Department, the Department shall request corrective action by GSA in accordance with Section 26.08 of the HUD/AFGE National Agreement. If GSA does not take action to correct the safety or health hazard within an appropriate time, the Department shall correct the hazard using alternative means.
- (3) **Responsibility for Safety and Health of Employees.** The parties recognize that the cooperation of GSA, lessors, contractors, and others are necessary in order to assure a safe, healthful working environment. Nevertheless, the ultimate responsibility for safety and health rests with Management.
- (4) **Security.** The Department shall coordinate with GSA to assure that Government-owned or leased buildings are provided appropriate security.

- (5) **Floor Loading Certifications.** Floor loading certifications shall be executed by a properly licensed engineer and shall, upon request, be requested from GSA and furnished to the Union.

Section 45.07 - Moving of Employee Work Stations.

- (1) **Minimizing Disruptions.** Space adjustments shall be implemented in such a way as to minimize disruption to employees.

Section 45.08 - Notification to Union of Space Change. When Management makes a decision to implement a space change, it shall notify the Union in accordance with Article 5 of the HUD/AFGE Agreement. The Union shall be advised of the implementation schedule and, upon request, shall be informed of Management's plans for the implementation of the move. The Union shall be advised throughout the planning and implementation process after a decision has been made. Local mid-term bargaining relating to space changes shall not conflict with the Statute or the provisions of this Agreement.

Section 45.09 - Information Requested by the Union. Upon written request, management shall provide the Union with:

- (1) Copies of the Building Lease Agreement and all Addenda, if available.
- (2) HUD's Request for Space, SF-81, and floor plans with detailed specifications.
- (3) A copy of the Quarterly Building Services Report and the Consolidated Geographic Area Report on Building Services. These reports shall be provided to the Union's Principal Geographic Area representatives, rather than to each Local.
- (4) Any other material necessary to provide the Union with complete information on a space move.

ARTICLE 46
PAY ADMINISTRATION AND SAVINGS BONDS

Section 46.01 - Timely and Proper Compensation. Employees are entitled to timely receipt of all wages earned by them for the applicable pay period.

Section 46.02 - Delivery of Pay Checks. Employees must utilize direct deposit/electronic transfer or have their pay checks mailed to a nonwork address.

Section 46.03 - Annual Statement of Benefits. Employees shall receive an annual statement of benefits, including information on retirement benefits at the time of issuance, by NFC.

Section 46.04 - Withholding. Management shall continue the current practice of communicating Federal tax changes to employees.

Section 46.05 - Debt Collection. Collection of salary overpayments by offset shall require no less than thirty (30) days notice. Disputes shall continue to be handled through existing appeals procedures. No action to collect alleged overpayments shall be taken pending the exhaustion of the appeal procedures. Notice to employees of overpayment shall include a statement of the collection policy and the policy for waiver of salary overpayments. Management shall cooperate in the collection of indebtedness to the Union in the same manner as it does with other commercial debts due by employees in accordance with applicable laws and regulations. If the Union asks a servicing human resources office whether a bargaining unit employee received a pay check during a particular pay period and whether a replacement check has been requested or received, the Union shall be provided that information, if it is available.

Section 46.06 - Probationary or Trial Period Report. A blank copy of the revised Probationary or Trial Period Report form shall be provided to new employees as part of their orientation package.

Section 46.07 - Information to the Union.

- (1) A code for bargaining/nonbargaining unit employee shall be included in the NFC data base. In accordance with law, upon request, but not to exceed twice a year, the following information on bargaining unit employees shall be provided to the Union, if it is available from NFC, in either hard copy or, if available on disk:

- (a) Name
- (b) Title, series, grade, and step
- (c) Date of last promotion
- (d) Service computation date
- (e) Beginning date of dues deduction
- (f) Amount of Union dues deduction
- (g) Organization code
- (h) Organization title

The data shall be provided on a disk, shall be in a format that shall allow loading into D-Base III; and shall include information on where the fields are.

- (2) Upon request, servicing human resources offices and Headquarters shall provide Locals, subject to the limitations and capabilities of the NFC system, the following information:

- (a) Employee name
- (b) Working title
- (c) Position title
- (d) Pay plan, series, and grade
- (e) Salary
- (f) Work schedule
- (g) Organizational code and position number
- (h) Promotions, QSIs, reassignments, details, resignations, removals, appointments, and similar personnel actions and the effective date, if readily available.

Section 46.08 - Delayed Pay.

- (1) **Check Not Issued.**

As soon as the National Finance Center (NFC) identifies an employee who shall not be receiving a check on time, the employee shall be notified immediately of the expected receipt date. Imprest funds shall be made available to partially cover missing checks when applicable procedures apply.

- (2) **Check Missing or Lost.**

If the employee has not received his/her check on payday, the employee shall certify this to Management. As expeditiously as possible, Management shall notify NFC and order a replacement check. This check shall normally be received by the employee within seven (7) days of the date NFC is notified.

Upon request from an employee, Management shall issue a written statement to banks, creditors, and payees in regards to nonreceipt of salary check.

Section 46.09 - Savings Bonds. Savings Bonds must be mailed to a nonwork address.

ARTICLE 47
TEMPORARY EMPLOYEES

Section 47.01 - Applicability. This Article applies only to employees with temporary appointments of more than six (6) months. These employees are in the bargaining unit and have all the rights under the Contract afforded to other bargaining unit employees, unless specifically excluded by law, regulation, or this Agreement.

Section 47.02 - Terminations.

- (1) Whenever possible, a temporary employee shall be given a two (2) week notice of the termination of his/her appointment. Some conditions that may require the release of an employee earlier than two (2) weeks include, but are not limited to:
 - (a) The protection of the health and safety of other employees;
 - (b) The disruption of the office environment or the work processes;
 - (c) The possibility of sabotage by the employee being dismissed;
 - (d) The impact of the employee's performance on the general public's perception of the Department, or the service provided to the public; or
 - (e) A financial emergency which requires an immediate reduction of staff.
- (2) The Union shall be notified when a temporary employee is terminated.

Section 47.03 - Use of the Grievance Procedure. Employees with temporary appointments may not grieve the mere termination of a temporary appointment where the Standard Form-50 states that the termination was based upon a lack of work or funds.

**ARTICLE 48
DRUG-FREE WORKPLACE PROGRAM**

Section 48.01 - General. Management agrees that the establishment and administration of its Drug-Free Workplace Program will be done in accordance with Executive Order (E.O.) 12564, the Department of Health and Human Services (HHS) Mandatory Guidelines for Federal Workplace Drug Testing Programs, and any Governmentwide regulations. For the purposes of this agreement, the term "rules or regulations" shall mean those rules or regulations of authorities outside the agency, such as the Office of Personnel Management, HHS, and other Governmentwide regulations. Any subsequent proposed changes to these procedures that impact bargaining unit employees will be implemented subject to the procedures contained in applicable law and this agreement. The parties recognize that the Union is not authorized to waive and does not waive any legal challenge, or Constitutional or legal rights employees may have regarding any facet of drug testing.

Section 48.02 - Employees Subject to Testing. Testing will be conducted in accordance with laws, rules and regulations. E.O. 12564 provides for the following types of drug testing:

- (1) Testing for the use of illegal drugs by employees in sensitive positions;
- (2) Voluntary employee drug testing;
- (3) Reasonable Suspicion testing;
- (4) Accident and unsafe practice testing;
- (5) Follow-up to counseling or rehabilitation or illegal drug use through the Employee Assistance Program (EAP);
- (6) Applicant testing; and
- (7) An employee who voluntarily identifies himself as a user of illegal drugs.

Section 48.03 - Positions Designated as Sensitive

- (1) The designation of sensitive positions will be done in accordance with applicable laws, rules and regulations. Executive Order 12564 states that "the head of each Executive agency shall establish a program to test for the use of illegal drugs by employees in sensitive positions. The extent to which such employees are tested and the criteria for such testing shall be determined by the head of

each agency, based upon the nature of the agency's mission and its employees' duties, the efficient use of agency resources, and the danger to the public health and safety or national security that could result from the failure of an employee adequately to discharge his or her position.

- (2) Management will provide the appropriate local Union President with any amendments to Appendix A of the Plan (Positions Subject to Random Testing). The Amendments contain the justification in support of the position designation. The appropriate local Union President will also be provided a copy of the position description. If the position is encumbered at the time of notification, the appropriate local Union President will be provided the name and duty station of the employee in the position. This information will be sent to the appropriate local Union President at the same time it is sent to the employee. The Union will provide Management with the names and addresses of all local Union Presidents.

Section 48.04 - Reasonable Suspicion.

- (1) Reasonable suspicion is an articulable belief that an employee uses illegal drugs drawn from specific and particularized facts and reasonable inferences from those facts.
- (2) Reasonable suspicion testing will be conducted in accordance with applicable laws, rules and regulations.

Section 48.05 - Accident or Unsafe Practice Testing. Management is committed to providing a work environment that is safe and secure. Employees involved in accidents while operating agency motor vehicles, or who engage in unsafe practices that are job-related and that pose a danger to staff, the public, or to the overall operation of the organization may be subject to drug testing under the provisions of E.O. 12564. This testing will be conducted in accordance with applicable laws, rules, or regulations.

Section 48.06 - Volunteer Testing. Management will not coerce or require employees to participate in voluntary programs established under Section 3(b) of E.O. 12564, or any other voluntary program. Participation or non-participation in these programs will neither advantage nor disadvantage employees in any aspect of their employment. To the extent that random testing may be conducted on volunteers, it must be conducted in accordance with applicable laws, rules and regulations.

Section 48.07 - Notification to Employees.

- (1) Management agrees to make every effort to fully inform employees about the goals, objectives, policies and procedures of any drug testing plan.
- (2) An annual staff bulletin will be issued to provide updates to employees on the status of the Drug-Free Workplace Program.
- (3) On the day of drug testing, the employee to be tested shall receive in writing the information set forth below. If the testing is to take place at a location other than the employee's duty station, the information shall be given prior to leaving the duty station. Otherwise, the information shall be given to the employee prior to the scheduled collection time. Inadvertent failure to provide this information will not invalidate the results of an employee's drug test.
 - (a) Whether the test is voluntary or mandatory;
 - (b) The reasons for ordering the drug test;
 - (c) How the employee was selected for the test;
 - (d) The consequences of a positive result or refusal to cooperate, including adverse action;
 - (e) What drug(s) or class of drugs they are being tested for;
 - (f) The Medical Review Officer (MRO) process as set forth by the HHS guidelines, including the procedures relating to the submission of information to justify a positive result caused by prescription medication, non-prescription medication or other substance;
 - (g) The location of drug abuse counseling and referral services available through the EAP to which he/she can submit prior to testing. (However, the test will not be delayed to allow the employee to seek assistance);
 - (h) The fact that the employee has a right to Union representation only as provided in this Agreement or the Federal Labor-Management Relations Statute;
 - (i) The right to a split sample and a test of the split sample;

- (j) That employees may contact their Health Plan Representative to obtain information about possible cost reimbursement associated with a split sample test; and
- (k) The consequences should they refuse counseling or rehabilitation.

Section 48.08 - Methods and Procedures for Testing.

- (1) The parties agree that methods and equipment used to test for abuse of drugs yield the best results when the most reliable are used. Therefore, Management agrees to review the Federal Register to ensure that its contractor remains an HHS certified laboratory. In the event that the contractor is decertified in accordance with HHS guidelines, Management shall order the cessation of any further testing at the decertified laboratory. Management agrees to cease further collections until an HHS certified laboratory is available to accept Agency specimens.
- (2) Management agrees that the following procedures will be utilized subject to applicable laws, rules or regulations, to assure drug testing is reliable and employee concerns are recognized:
 - (a) The collection, handling, and transportation of all specimens will be strictly in accordance with HHS Chain of Custody Procedures, other HHS requirements, and any other pertinent laboratory requirements.
 - (b) The individual may provide his/her specimen in the privacy of a stall or otherwise partitioned area that allows for individual privacy in accordance with the Department of Health and Human Services' Mandatory Guidelines for Federal Workplace Drug Testing Programs (Mandatory Guidelines). Management will make every reasonable effort to ensure that the specimen will be provided in a sanitary area.
 - (c) At the employee's request, the urine sample will be split at the time of collection in accordance with procedures set forth in the Mandatory Guidelines. Upon notification of a positive confirmatory test, the MRO will notify the employee and he/she will have the opportunity to provide any/all relevant information that will assist the MRO in determining whether the positive test result is justified. At the employee's request, the MRO will notify the Laboratory to conduct

a confirmatory test on the retained split sample. The cost of the confirmatory test will be billed to the employee by the agency if the results do not refute the results of the original test.

Should the employee-requested confirmatory test refute the original test conducted, the original test result will be negated and the Agency will assume the cost of the laboratory test in addition to the other aforementioned costs.

- (d) If sufficient volume of urine is not able to be provided within a reasonable period of time in accordance with the Mandatory Guidelines, the collection site person will contact an appropriate Management official. Normally, "a reasonable period of time" should not extend beyond the employee's scheduled work day. Consideration will be given to re-scheduling the employee for testing at a later date.
- (e) The authorized collection agent will collect all drug testing specimens. Management agrees to monitor the collection contract to assure compliance with the Mandatory Guidelines.
- (f) Employees will not be required to reveal legitimate use of legal or prescription drugs at the time of collection. Employees may, however, provide this information if they so desire. This information is confidential and will only be released to the MRO.
- (g) Any employee who tests positive will be afforded an opportunity to justify the test results in accordance with HHS guidelines, including the opportunity to present evidence of the legitimate use of prescription medication, non-prescription medication, or other substance.
- (h) If the test is positive and the employee provides evidence that Management concludes demonstrates a disabling drug dependency, Management may provide any appropriate reasonable accommodations in accordance with applicable laws, rules and regulations. Follow up testing conducted on employees who successfully complete a rehabilitation program will comply with applicable laws, rules and regulations.
- (i) Upon receipt of a positive test result resulting from the Gas Chromatography/Mass Spectrometry (GC/MS, i.e., confirmatory test) conducted by the HHS certified laboratory, the MRO, in accordance with HHS guidelines,

will examine alternate medical explanations for the test results. If the MRO concludes that the employee's medical documentation does not provide a legitimate medical explanation for the positive test result, the MRO must explain the basis for his/her rejection of the documentation in writing for the benefit of the employee. If the MRO determines there is a legitimate medical explanation for the positive test result, he/she shall determine that the result is consistent with legal drug use and will take no further action. The test result reported back to the agency would be "negative."

- (j) When requesting that collection times be scheduled for drug testing under Reasonable Suspicion testing and Accident or Unsafe Practices testing, where appropriate, the Authorizing Management Official will take into consideration leave and travel plans which have been scheduled and approved by the employee's supervisor. Management retains the right to cancel leave or travel orders as the circumstances warrant.

Section 48.09 - Confidentiality and Safeguarding of Information.

The parties recognize the responsibility to protect the confidentiality of employees under any drug testing plan. This process shall include the following:

- (1) The collection, handling, and transportation of all specimens will be strictly in accordance with HHS Chain of Custody Procedures, and other HHS requirements. Confidentiality and safeguarding of information will be handled in accordance with Section 48.08 (2) (a).
- (2) Employees will be assured confidentiality in all matters relating to drug testing. Information will only be released in accordance with applicable laws, rules or regulations.
- (3) The agency shall destroy all agency records concerning non-confirmed or justified test results as required by applicable laws, rules, or regulations.
- (4) In accordance with applicable laws, rules, regulations, Mandatory Guidelines, and subsequent changes thereto, the employee who was subject to a drug test shall, upon written request, have copies of all records relating to his or her drug test within the control of the Agency.

Section 48.10 - Counseling and Rehabilitation.

- (1) Employees whose tests have been confirmed positive will be referred to an Employee Assistance Program Counselor for counseling and/or referral assistance for appropriate treatment and rehabilitation.
- (2) To the extent feasible and to the extent of available Management resources, counseling and rehabilitation services will be offered to employees and their family members with substance abuse problems, and also to employees who have family members with substance abuse problems.
- (3) After successful completion of rehabilitation, Management will seriously consider returning the employee to the same or similar position as the one occupied before the drug problem was identified.

Section 48.11 - Acknowledgement Forms. No employee shall be required to sign any document stating that he or she agrees with a drug testing program. Employees' signatures on any acknowledgement documents will merely signify notice of the terms of the document.

Section 48.12 - Employee Rights.

- (1) Employees may grieve disputes or conflicts as provided in this Agreement.
- (2) Any travel and/or per diem required in connection with drug testing will be provided in accordance with Federal Travel Regulations and Management's current travel policy.

Section 48.13 - Union Rights. Upon request, Management shall timely provide the Union copies of all statistical data pertaining to drug testing, sanitized copies of reasonable suspicion determination notices to employees, and pertinent parts of its annual report to Congress which pertain to the Drug-Free Workplace Program. The reasonable suspicion notice to the employee will be sanitized to guarantee total anonymity of the employee.

Section 48.14 - Savings Clause. To the extent that any of the provisions of the this article are inconsistent with the HHS Mandatory Guidelines, or any subsequent amendments thereto, such Mandatory Guidelines or amendments shall supersede this article.

ARTICLE 49
GOVERNMENT CHARGE CARD PROGRAM FOR OFFICIAL TRAVEL EXPENSES

Section 49.01 - Purpose. Implementation of the Government employee individual charge card program will improve service to HUD employees traveling on official Government business away from the permanent duty station. This will also limit the amount of cash advance for travel expenses.

Section 49.02 - Centrally billed. Charges for airline tickets will be centrally billed through Travel Management Centers (TMC). Other charges will be individually billed to individual cardholders. Management will give future consideration to central billing of lodging and rental car charges when GSA indicates that such billing is recommended.

Section 49.03 - Card Issuance. The Government Charge cards will be issued to permanent HUD employees who submit an application and (a) make two (2) or more trips a year, and (b) who request a card when authorized to travel. Employees who decline to apply for a card will not suffer any sort of reprisal or discriminatory treatment for nonparticipation in the credit card program. Also, employees will not be promised or receive any benefit, beyond that established by the program, as an inducement to apply for the Government Charge.

Section 49.04 - Late Payment. Management will not consider charge card payments past due if the late payment of the bill results from an untimely reimbursement by Management of an employee's properly completed claim which was submitted on time. In the event of an emergency and the employee is unable to file a claim for reimbursement within thirty (30) days after returning from the trip, she/he shall notify the Program Coordinator who may assist the employee in avoiding suspension/cancellation of the card.

Section 49.05 - Out-of-Pocket Expenses.

- (1) Out-of-pocket expenses are those which cannot be charged to the card and must be paid with cash. Meals and incidental expenses, and miscellaneous expenses for taxis, tolls, parking, etc. will be considered out-of-pocket expenses.
- (2) A travel advance will be issued to any employee for out-of-pocket expenses in accordance with HUD Notice, "Travel and Transportation Expense Payment System Government Charge Card Program for Official Travel Expenses." Should an employee decide not to use a Government charge card for official travel, an advance cannot exceed the out-of-pocket expenses shown on the travel authorization. Employees will be

provided a full travel advance when they meet the criteria contained in Section 17C, Parts 1 and 2 in the HUD Notice on "Travel and Transportation Expense Payment System Government Charge Card Program for Official Travel Expenses."

Section 49.06 - Telephone Charging. The Government Charge card may be used to pay for telephone calls made in connection with official travel while away from the employee's permanent duty station.

Section 49.07 - Emergency Charging. Emergency circumstances, such as an official vehicle breakdown, may justify the use of the charge card for food, lodging, and other expenses covered under the Federal Travel Regulations while the employee is away from his/her permanent duty station in an official travel status.

Section 49.08 - Credit Checks. The parties understand that a credit checks will only be made when an employee requests reinstatement of a cancelled government charge . The Department's involvement in the program will not be a basis for any cardholder's credit checks by Management.

Section 49.09 - Card Use Information. Monthly charge card reports are considered highly confidential material, subject to the Privacy Act, and must be secured from observation by any party other than persons who have a need to know.

Section 49.10 - Use of the Charge Card. Use of the charge card does not relieve Management or the employee from observance of rules and regulations governing official travel as set forth in the Federal Travel Regulations and HUD Handbook 2300.2 REV-3, Travel, or its successor.

Section 49.11 - Card Security. Each participant in the contractor-issued charge card program is responsible for safeguarding his/her card. This does not preclude the cardholder from securing the card at his/her work stations.

Section 49.12 - Blacking out Card Numbers. Blacking out the account number from a receipt is a suggested precaution every traveler should observe.

Section 49.13 - Cumulative Reports. Cumulative 9-month charge activity reports will be utilized for the purposes of determining whether or not employees meet program eligibility criteria, the level of participation, and for establishing budget estimates for travel.

Section 49.14 - Revolving Advance. Employees holding a revolving advance must liquidate the revolving advance within thirty (30) days of receipt of the charge card or obtain a written certification from the appropriate Assistant Secretary that a legitimate need exists for continuing a revolving travel advance.

Section 49.15 - Timely Reimbursement.

(1) In order to facilitate reimbursement of the travel voucher under the credit card program, all travel vouchers for temporary duty (not relocation) will be processed in the following manner:

- (a) Vouchers for temporary duty travel (not relocation) will normally be processed and submitted to Treasury for payment within eighteen (18) workdays or less, after receipt by the immediate supervisor. This eighteen (18) workday period allows three (3) workdays for the supervisor to approve the voucher and fifteen (15) workdays for the finance office to process the voucher to Treasury, after receipt of a proper voucher. In the event there is a delay in reimbursement, the accounting office will assist the employee to resolve the matter. Compliance with this provision will be monitored on a monthly basis and the Union will be provided with the results, office by office.

Section 49.16 - Delayed Reimbursement. In the event of unanticipated delays in HUD processing of the voucher for reimbursement, and upon notification by the employee, the Program Coordinator may assist the employee in avoiding suspension/cancellation of the card.

Section 49.17 - Union Information. HUD will provide the Union with a disk copy of the final version of the HUD Notice regarding the Government charge card program for official travel expenses. The HUD Council President will be provided a quarterly statistical analysis on the processing time for the travel voucher.

Section 49.18 - Obtaining Tickets. Travelers will be informed that charging tickets more than a week in advance may result in employee receipt of the bill prior to reimbursement by the Department.

Section 49.19 - Unused Transportation Tickets. Management will coordinate with Travel Management Centers (TMC) to develop a system which allows employees to attach unused tickets to the travel vouchers for forwarding to the Travel Management Centers for appropriate crediting

Section 49.20 - Lost/Stolen Tickets. When a ticket is lost/stolen through no fault of the traveler's, the refund application service charge shall be reimbursed. When a replacement ticket cannot be provided, the employee may, with supervisory concurrence, postpone or reschedule the trip.

Section 49.21 - Grievance Rights. Employees may grieve Management actions relative to the charge card program that fall within the scope of this Agreement.

Section 49.22 - Debt Collection. Management shall provide no more "assistance" with the collection of Government charge card debts than is currently available regarding the collection of privately owed debts. Unpaid card debts will be treated as any non-work related debt.

Section 49.23 - Accounting Office Procedures. Travelers who use a Government Charge Card must submit all vouchers for reimbursement that include Government Charge Card charges to the appropriate accounting office for payment.

ARTICLE 50
USE OF ADMINISTRATIVE LEAVE FOR
ADOPT-A-SCHOOL VOLUNTEERS

Section 50.01 - Scope. This article applies to volunteers participating in the Adopt-a-School Program.

Section 50.02 - Administrative Leave.

- (1) Up to eight (8) hours of administrative leave per month is allowed to participate in the Adopt-a-School Program. No leave may be granted where there would be an adverse impact on work operations or productivity. Supervisory approval is required for use of this leave. Any management decision which results in an Adopt-a-School volunteer receiving less than eight (8) hours of requested administrative leave per month for participation in the program is a grievable matter.
- (2) The appropriate amount of leave to be granted should be dependent on the time reasonable to complete the volunteer task. In unusual and extenuating circumstances, supervisors have discretion to allow up to fifteen (15) minutes of administrative leave.

Section 50.03 - Adverse Consequences. Employees shall not suffer any adverse consequences to their performance ratings or other working conditions (e.g., workload, eligibility for AWS, promotions, career ladder status) as a result of their approved, voluntary participation in the program through administrative leave.

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*Individuals may copy this form on office copiers as needed.

SUPPLEMENT 7

**NATIONAL SUPPLEMENT
Between
Department of Housing and Urban Development
and
American Federation of Government Employees Council #222**

SUBJECT: Background Investigation Forms

1. This supplement to the Master Agreement constitutes the results of negotiations between the Union and Management on the impact on bargaining unit employees of the use of the revised SF 85, SF 85P and the SF 86 forms.

2. Management will provide a guide sheet. The guide sheet will be attached to the security form at the time it is provided to the employee, which may be at the orientation session for new employees in accordance with local practice. The guide sheet will indicate that each bargaining unit employee is entitled to consult with a Union representative on official time prior to filling out the form; that the employee is required to fill out and submit the form normally within three days (however, in extenuating circumstances, an extension of time may be granted at the employee's request) because the position occupied by the employee requires the conduct of a personnel suitability and/or security investigation; that will counsel employees on the importance of carefully filling out the form and indicating that guidance is available if the employee has concerns; that the guide sheet will identify each security form in accordance with its use; that the employee is instructed not to respond to specified questions; and, that the employee should make a copy of the completed form and related documents prior to providing it to Management. The employee may use HUD copy machines and official time for such copying needs.

The Union will be given an opportunity to review the guide sheet prior to its publication and should the guide sheet be revised at a later date, the Union will again be given an opportunity to review the guide sheet prior to its publication. This opportunity to review the guide sheet does not constitute a waiver of the Union's right to appropriate bargaining over changes in the guide sheet.

3. Management agrees to incorporate in the guide sheet a list of examples entitling employees to correct or add to standard investigative forms.

4. The negotiated grievance procedure will be available with respect to any personnel action resulting from findings made in connection with the SF 85, SF 85P and SF 86 investigations except those matters excluded from the grievance procedure under law or the Master Agreement.

5. Management will continue its ongoing review of positions subject to background investigations with the objective of maintaining the minimum number of positions, where such investigations are required. Periodically, at least semiannually, Management will provide the Union an updated list of bargaining unit positions designated as sensitive.

6. In accordance with Section 12.10 of the Master Agreement, Management shall provide the Union with the opportunity to meet with new employees during the first week of their employment. Normally, this will provide the Union an opportunity to discuss the personnel suitability and/or security investigation forms with new employees prior to the date that the employees are required to submit the form.

7. Union representatives may meet with employees designated to fill out background investigation forms.

8. Upon request by local Union representatives, local Management will meet with the Union representatives at the local level to discuss and answer questions on the security forms, SF 85, SF 85P and SF 86. Once requested, the meeting will be held as soon as reasonably possible.

9. Management will place the following statement on all vacancy announcements:

Positions in the Federal service are subject to investigation. You may be asked to complete the necessary forms (SF 85, SF 85P or SF 86) to meet that requirement. Applicants having questions regarding a specific form to be used should contact the Personnel representative.

10. Management determinations regarding sensitive position designations, which subject employees to a background investigation, will be made in accordance with applicable law and regulation.

11. Management shall not require employees to report the use, possession, supply or manufacture of legal drugs.

12. This supplement will be distributed to all bargaining unit employees as soon as possible after completion of the negotiations. Management will make every effort to see that the supplement is properly distributed.

13. This supplement will be effective as soon as signed by the parties.

For Management:

For the Union:

Edwin Banks
Edwin Banks
Chief Negotiator

James K. Harrell
James K. Harrell
Chief Negotiator

Barbara Edwards
Barbara Edwards
Alternate Chief Negotiator

Carolyn V. Davis
Carolyn Davis

David B. Birt
David B. Birt

Joan Forman
Joan Forman

Patricia Gerhardt
Patricia Gerhardt

Deborah Harrison
Deborah Harrison

Approved: Norm Phelps
Norm Phelps
Director
Office of Personnel
and Training

Approved: Clifton Barnhill
Clifton Barnhill
President
National Council
of HUD Locals 222

March 6, 1992
Date

NATIONAL SUPPLEMENT 17
between the
Department of Housing and Urban Development
and the
American Federation of Government Employees Council 222

SUBJECT: Training of Multifamily Appraisers

SCOPE: The scope of this Supplement encompasses the impact and implementation of certification-related training offered to bargaining unit employees encumbering GS-1171, Multifamily Appraiser positions which fall within the intent of Section 202(e) of the National Housing Act, as amended by Section 142(e) of the HUD Reform Act of 1989, and for which the Department can legally use appropriated funds.

1. Management will designate which offices will send Multifamily Appraisers to training as well as the number of training slots for each office. Within those designated offices, employees will be selected for training in the following order:
 - (1) volunteers who are Multifamily Appraisers but not already State Certified General Appraisers;
 - (2) if there are more volunteers than available slots, selection will be made on the basis of seniority in the position;
 - (3) if an insufficient number of individuals volunteer from a particular office, Management will identify individuals to attend the training based on inverse seniority in the position. The parties recognize that circumstances may arise where no Multifamily Appraisers are available; in such cases, training may be offered to Single Family Appraisers.
2. Appraisers who currently hold any State certification which meets the Appraisal Foundation's Appraiser Qualification Board requirements for Certified General designation will not be required to undergo further certification training or testing. Appraisers are responsible for keeping their State certification current and shall verify that currency by submitting certification documentation to Management.
3. Promptly after the approval of this Supplement, the Office of Housing will request an opinion from the Office of General Counsel as to whether certification by any one State would be sufficient for the purposes of Section 142 of the HUD Reform Act of 1989 and preempts individual State requirements. A copy of this decision will be provided to the National Council of HUD Locals.
4. Prior to acceptance of volunteers for training, employees eligible for the training will be provided with:
 - (1) a copy of this Supplement,
 - (2) copies of the applicable statutes and regulations, and
 - (3) a copy of the General Services Administration (GSA) preemption opinion.The training orientation will include an opportunity for employees to ask questions regarding the training, the Supplement, the preemption issue, etc. Prior to taking the Maryland State test on September 2, 1993, employees will be given a copy of the Office of General Counsel's (OGC) opinion regarding the preemption issue.

5. Employees enrolled in certification-related training may use Department-owned equipment (e.g., HP12C calculators or personal computers) to facilitate their study efforts during their non-duty hours when the equipment is not otherwise in use.
6. Management will make every effort to give employees at least one week of advance notice of the training sessions.
7. In accordance with Article 4, Section 4.03 of the *Agreement*, the affected employees will be treated fairly and equitably regarding the administration of this training program.
8. Employees may apply to take State examination preparation courses and educational courses in any State through established procedures, provided that they demonstrate to Management that those educational courses lead to meeting the requirements set by the Appraisal Foundation's Appraiser Qualification Board for Certified General Appraisers. It shall be the employee's responsibility to satisfy Management that he/she meets the experience requirements of the State by which he/she wishes to become certified. If such application is approved, Management will approve official time for, and will bear the cost of, the State preparation and educational courses.
9. Management will approve administrative leave for employees to take a State examination to obtain a credential equivalent to that of the Appraisal Foundation's Appraiser Qualification Board Certified General Appraiser.
10. The service agreement shall begin on the last day of training for which an employee was scheduled. The terms of the service agreement shall be as follows:
 - (1) 1 year of continuous service for an employee who takes 2 or fewer educational courses;
 - (2) 18 months of continuous service for an employee who takes 3 educational courses;
 - (3) 2 years of continuous service for an employee who takes more than 3 educational courses.
11. Management shall make appropriate workload adjustments to reflect an employee's absence for Department-approved certification training.
12. Employees shall be compensated for all time spent in approved training or travel outside of their normal duty hours in accordance with applicable law, rule, regulation, and the HUD/AFGE Agreement.
13. Management shall distribute this Supplement to all employees as soon as is practicable.
14. This Supplement becomes effective upon signature.

HUD/AFGE Agreement

For Management:

For the Union:

Norman Mesewicz
Norman Mesewicz
Chief Negotiator

James K. Harrell
James K. Harrell
Chief Negotiator

Lawrence Goldberger
Lawrence Goldberger

Karen Falvey
Karen Falvey

Mark W. Holman
Mark W. Holman

Phillip Garcia
Phillip Garcia

Terry L. Smith
Terry L. Smith

Dot E. Green
Dot E. Green

Approved: Norm Phelps
Norm Phelps
Director
Office of Personnel

Approved: Clifton E. Barnhill
Clifton E. Barnhill
President
National Council of HUD Locals 222

Date: 5-5-93

Date: MAY 3 1993

NATIONAL SUPPLEMENT
Between
Department of Housing and Urban Development
and
American Federation of Government Employees Council #222

**SUBJECT: Contracting Out of Public Housing Construction
Inspections**

1. No bargaining unit employee will be adversely impacted by the contracting out of Public Housing Construction Inspections. This contract will supplement rather than replace employees.
2. Any bargaining unit employees involved in monitoring contracted out activities will have appropriate adjustments made in their workload.
3. The contracting out of Public Housing Construction Inspections will have no adverse impact on personnel involved in upward mobility programs.
4. The contracting out of Public Housing Construction Inspections will have no adverse impact on career ladder promotions.
5. If bargaining unit employees are involved in monitoring contracted out activities, appropriate adjustments, changes in their position description and EPPES, will be made.
6. If bargaining unit employees have monitoring duties, they will receive appropriate training to perform GTM functions prior to being required to conduct such monitoring.
7. Employees currently conducting inspections will be informed of the contracting out decision by in-person meetings or written communication, as appropriate. At that time, Management will inform the employees of the purpose of the contracting out and the relationship of the employees' work to that of the contractors. A copy of this Supplement will be provided to the employees at the time of notification.
8. Management will request the Office of Inspector General to conduct an evaluation audit of the implementation of the MOU within 1 year of the initiation of the inspections, and again 2 years thereafter. The audit request will cover the efficacy of the program including costs, benefits, and other issues of concern. This evaluation will be provided to the Council President and the chairperson of the Council Contracting-Out Committee as soon as possible, but not more than 45 days of its availability.

9. Management shall provide an activity report annually to the Council President and the chairperson of the Council Contracting-Out Committee setting forth the total number of units of work accomplished and amount expended pursuant to the MOU.
10. Management shall provide copies of all amendments to the MOU to the Council President and the chairperson of the Council Contracting-Out Committee as soon as possible, but not more than 45 days after they are signed by the parties.
11. Management will be responsible for approving work orders and accepting the contractor reports.
12. Management will provide each Local President with a copy of the Implementing Instructions and the final MOU as soon as possible, but not later than 45 days after they are signed by the parties. The Union will provide a current list of the names and addresses of Local Presidents within 10 days after this Supplement is signed by the parties.
13. Within 1 year of the initiation of the inspections, Management will meet with Union representatives to discuss Regional and Field staff experiences in implementing the MOU.

HUD/AFGE AGREEMENT

For Management:

Priscilla A. Lewis
Priscilla A. Lewis
Chief Negotiator

Casimir R. Bonkowski
Casimir R. Bonkowski

Janice Rattley
Janice Rattley

William Flood
William Flood

For the Union:

Loyd C. Denton
Loyd C. Denton
Chief Negotiator

Clifton E. Barnhill
Clifton E. Barnhill

Carolyn Federoff
Carolyn Federoff

Charles Hampton
Charles Hampton

Approved:

Norm Phelps
Norm Phelps
Director
Office of Personnel
and Training

Approved:

James Harrell
James Harrell
President
National Council
of HUD Locals 222

Date 9-8-93

Date 9-20-93

NATIONAL SUPPLEMENT
Between
Department of Housing and Urban Development
and
American Federation of Government Employees Council #222

SUBJECT: Use of Corp of Engineers to Conduct Modernization and Development Reviews and Clarification of Impact of August 8, 1994, Memorandum, "Modifications to Public Housing Development Processing Requirements"

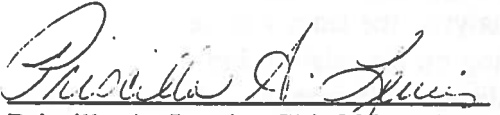
1. Management agrees that no bargaining unit employee will be adversely affected by the changes outlined in the August 8, 1994, memorandum.
2. Management agrees that no bargaining unit employee will be downgraded, separated or involuntarily relocated as a result of the changes outlined in the August 8, 1994, memorandum.
3. Management agrees that there will be no adverse impact upon upward mobility and/or career ladder promotions as a result of the geographical redistribution of work.
4. Management agrees that employees will be given a reasonable period to adjust to new practices and procedures that may be established as a result of the changes outlined in the August 8, 1994, memorandum.
5. Employees given new duties such as, for example, preparing work plans for the Corp of Engineers, as a result of the changes outlined in the August 8, 1994, memorandum shall be trained in order to satisfactorily perform the new duties prior to receiving their performance appraisal.
6. Employees will be provided with appropriate workload adjustments for any additional work requirements and for all training activities and other non-work related activities they are required to engage in as a result of the August 8, 1994, memorandum.
7. In accordance with Article 5 of the HUD/AFGE Agreement, mid-term bargaining shall be conducted between the affected field offices and Management at the local level concerning appropriate local issues.


8. This Supplement will be effective upon signature and shall be distributed to all employees.
9. The Facilities Management Team will be given a timely opportunity to analyze staff resources, workload requirements and travel budgets for the purpose of developing a recommendation to their PIH Director regarding the most effective use of Corp resources. In order to facilitate this analysis, the team will be provided a copy of the Corp agreement and a briefing on the relationship between the Corp's work and employees responsibilities. This recommendation will be seriously considered by the Director. Should the Director non-concur in the recommendation, the Director will meet with the Facility Management Team to inform them of the rationale for non-concurrence.
10. Should use of the Corp/PHA resources result in the under-utilization of HUD staff, this fact will be made known to the PIH Director and the relevant local union representative and appropriate action taken to correct the waste of staff resources.
11. The August 8, 1994, memorandum shall not be used as a justification for the deliberate reduction of the ranks of HUD engineering/appraiser staff.
12. Appropriate revisions will be made to performance standards for those employees given new duties as a result of the August 8, 1994, memorandum.
13. The Parties agree that the Corp contract extension is designed to supplement rather than replace HUD staff resources.
14. Bargaining unit employees shall not be held responsible for Corp/PHA staff failures to conform to their obligations.
15. Relevant provisions of Supplement 20 remain applicable to the Corp contract extension.

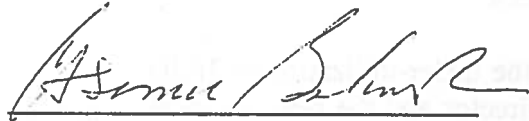
HUD/AFGE AGREEMENT

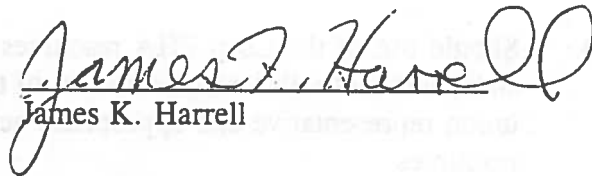
For Management:

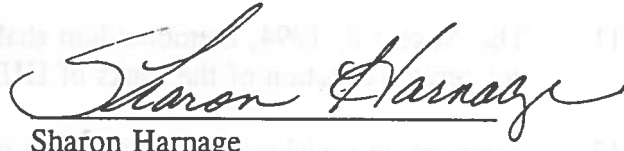
For the Union:

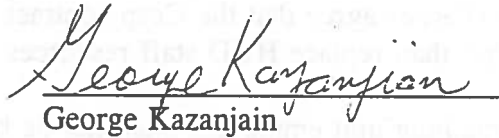

Priscilla A. Lewis, Chief Negotiator

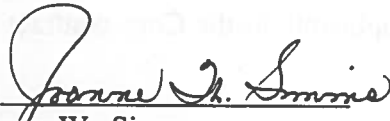

Debra Dye, Chief Negotiator

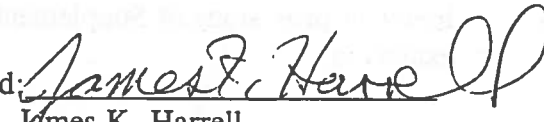

Casimir R. Bonkowski


James K. Harrell


Sharon Harnage


George Kazanjian

Approved: 
Joanne W. Simms
Acting Deputy Director
Office of Human Resources

Approved: 
James K. Harrell
President, AFGE, National
Council of HUD Locals 222

Date 3-24-95

Date 3-24-95

AUG 8 1994

MEMORANDUM FOR: All Field Office Directors of Public Housing
Divisions (SIGNED): MICHAEL B. JANIS

FROM: Joseph Shuldiner, Assistant Secretary

SUBJECT: Modifications to Public Housing Development Processing
Requirements

In order to expedite public housing development processing, the following changes are in effect as of the date of this memorandum:

1. Appraisals. All appraisals will be performed by a State certified appraiser selected by a Public Housing Agency (PHA) in accordance with 24 CFR 85.36. The cost of such services are to be charged to the project's development budget. Appraisals will not be reviewed by a Field Office appraiser. If the value is challenged by either the buyer or seller, the Field Office may instruct the PHA to obtain a second appraisal using a different State certified appraiser acceptable to the PHA and seller or the Corps of Engineers. In-office reviews of appraisal documents should consist of determining that the appraisal was performed by a State certified appraiser and is acceptable to the parties, which will be deemed sufficient to "determine the appraised value of the site or property" as required by 24 CFR 941.405(b)(4). The above is in lieu of the appraisal process set forth in HUD Handbook 7417.1 REV-1 (Public Housing Development), paragraphs 7-95 through 7-99, the process for determining whether appraisals are performed by staff as specified in paragraph 3-15, and the review requirements specified at paragraph 8-63.
2. Historic Preservation. Field Offices are encouraged to implement Memorandums of Agreement with State Historic Preservation Offices (SEPO) to have "acquisition of properties" placed on the "No Effect" list; thereby allowing completion of the environmental review without SEPO consultation. Rehabilitation is to be addressed after the property is purchased by the PHA, at which time the SEPO consultation occurs for the rehabilitation, if necessary. This process meets the requirements specified in 7417.1 REV-1 paragraph 3-93.

3. On-site Reviews. Eliminate on-site inspections of proposed properties under the acquisition and rehabilitation development methods. The PHA must submit interior and exterior photos, and information sufficient for the Field Office to complete the environmental review. For properties constructed or rehabilitated before 1978, the PHA must furnish a certification that the property was tested for lead-based paint; and, if appropriate, an estimate of the cost of abatement. The PHA must also furnish a certification from a qualified architect, rehabilitation specialist, or engineer that each dwelling is structurally sound. (On-site inspections must continue to be made for new construction.)
4. Condominiums, Cooperatives, and Properties Involving Homeowner Associations. The PEA's attorney must certify that the condominium/cooperative/homeowner association organizational documents have been reviewed and (1) there are no conflicts with the Annual Contributions Contract, (2) the units comply with State and local laws, and (3) the issues addressed in paragraph 3-113 of Handbook 7417.1 REV-1 (Public Housing Development) have been addressed. With such a certification, the requirements of paragraph 3-113 will be satisfied.
5. Eliminate Construction Document Review. If the Field Office has approved interim or preliminary design documents, obtain a certification from the PEA as part of the certification required by paragraph 10-25 of EB 7417.1 REV-1 that any Field Office changes noted during the design document stage were incorporated into the construction documents. The review of the design documents and the PEA certification shall be deemed sufficient to meet the requirement for construction document review specified in 24 CFR 941.502; submission instructions in EB 7417.1 REV-1, paragraph 10-3 and paragraphs 10-11 through 10-24; and review instructions in paragraphs 10-43, et seq.
6. Change Orders. In accordance with 24 CFR 941.503(b), the Field Office may establish a "threshold" amount under which the Field Office would not review and approve change orders, if the change order would not result in a budget overrun or involve a major change in the scope of work. The Field Office may establish similar thresholds for increases in contracts with professionals (architects, engineers, development managers, etc.), if appropriate.
7. Cost Analysis. Eliminate the preparation and review of form HUD 92264 (Rental Housing Project Income Analysis and Appraisal), FHA 2324 (Cost Processing Work Sheet), and FHA 2326 (Project Cost Estimate). The requirement for cost analysis (24 CFR 941.502) shall be deemed to have been met

if the bid selected by the PEA (conventional), or the developer's price (turnkey) does not result in a maximum total development cost in excess of that approvable by the Field Office.

8. Demonstration of Financial Feasibility. The PEA shall certify that the project, when completed, will be economically and efficiently managed. Where possible, it shall also certify that operating expenses will not exceed operating income for the first fiscal year of operation. Where such certification cannot be provided due to expenses exceeding income, the provisions of 24 CFR 941.404(g) relating to operating subsidy apply.

If public housing development processing is the responsibility of the Housing Division in your Office, this memorandum should be shared with the Housing Division Director.

NATIONAL SUPPLEMENT
between the
Department of Housing and Urban Development
and the
American Federation of Government Employees, AFL-CIO
National Council of HUD Locals 222

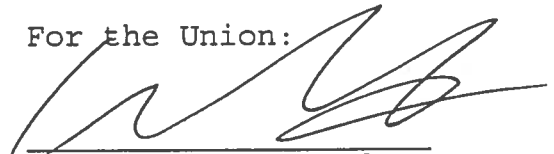
SCOPE. The scope of this Supplement encompasses the implementation of the restructuring of the Office of Departmental Equal Employment Opportunity.

1. The Department recognizes the importance of adequate staffing levels, and, within existing resources will maximize the number of staff devoted to the EEO/AA function.
2. In accordance with applicable regulations (FPM LTR. NO. 713-37, May 20, 1977), Local office counselors will receive appropriate workload adjustments to allow them to engage in counseling activities without suffering negative or adverse performance consequences.
3. Counselor training is recognized as a critical function. Training shall be provided to the maximum extent possible to enhance the skills of counselors.
4. The Union is recognized as a valuable resource in the counselor nomination process. Final selection of counselors will be made at the Headquarters level.

For Management:


For the Union:



Norman Mesewicz
Chief Negotiator


Mortimer F. Coward


William O. Anderson
Negotiator

6/2/85


Sandra L. Hobson
Negotiator


Raymond Solecki
Negotiator

NATIONAL SUPPLEMENT
BETWEEN
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AND
AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES
NATIONAL COUNCIL OF HUD LOCALS #222

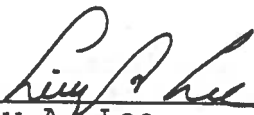
Subject: Multifamily Property Disposition

Scope: The scope of this Supplement encompasses the consolidation of the Multifamily Property Disposition Workload as proposed by Management February 2, 1996.

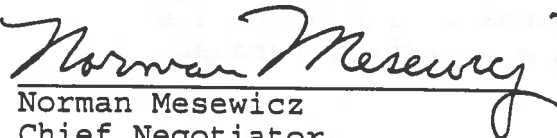
1. No Adverse Impact: Management agrees that employees affected by the MFPD Consolidation shall suffer no adverse impact upon their title, series, grade, career ladders, promotion potential or upward mobility. Management agrees that the MFPD Consolidation shall result in neither the elimination of any positions nor a reduction-in-force. Management further agrees that the MFPD Consolidation will not result in the closing of any office.
2. Grade Level of Work: Management agrees to assign appropriate grade level work to affected employees.
3. Local Bargaining: Management agrees that appropriate local bargaining may be pursued in accordance with Article 5 of the HUD/AFGE Agreement.
4. Relocation Costs: For any employee relocations occurring due to the MFPD Consolidation, Management will pay the cost of such relocations which result from a selection pursuant to a merit staffing action.
5. Training: Management agrees to provide, on an office of Multifamily Housing wide basis, appropriate training for employees who encumber a new or different position as a result of the MFPD Consolidation.
6. Employee Rights: Management agrees that nothing in this Supplement shall waive or limit the statutory, regulatory or contractual rights of individual employees.
7. Consolidation Timetable: Management agrees to consider for consolidation first those offices that volunteer to receive transferred MFPD work. Any transfer of work shall comply with appropriate predecisional discussions and/or local bargaining in accordance with Article 5 of the HUD/AFGE Agreement.

8. Contracting Out: Management will not contract out PD functions if it identifies appropriate Multifamily Employees within the local office to perform those functions. Management agrees that all decisions with respect to contracting out are subject to provisions of OMB Circular A-76 and other relevant authorities and regulations.
9. Impact Report: Management agrees to provide the union with a report on the impact of the PD Hub(s) within 120 days of the date that the last PD Hub is implemented.
10. Outstationing: Management will seriously consider use of outstationed employees when appropriate, based on the overall needs of Multifamily.
11. Distribution: Management agrees to distribute this Supplement with the February 2, 1996 MFPD consolidation proposal attached to all Bargaining Unit Employees in the field. Management agrees to provide 20 copies to the Union.

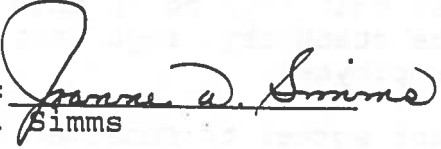
For Management:



Lily A. Lee

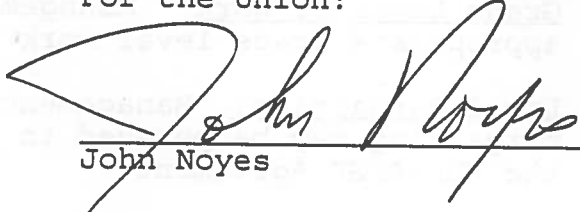


Norman Mesewicz
Chief Negotiator

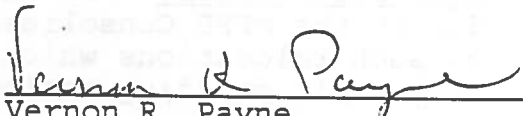
Approved: 
Joanne W. Simms
Director
Office of Human Resources

Date: 5.13.96

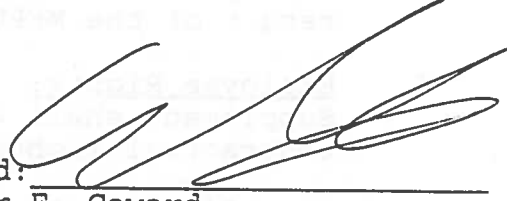
For the Union:



John Noyes



Vernon R. Payne
Chief Negotiator

Approved: 

Mortimer F. Coward
President
National Council of HUD Locals 222

Date: 5/13/96

SHIFTING MULTIFAMILY PROPERTY DISPOSITION WORKLOAD

BACKGROUND

The Multifamily Property Disposition (MFPD) inventory has been on a downward spiral for the past 2 years to the point that many offices are managing only a few projects each.

In order to make the most efficient use of staff resources, it is obvious that the MFPD workload has to be moved to existing organizational structures (HUBs) that can absorb additional workload.

Shifting workload is essential for a better operation

- The MFPD function requires current program expertise. It makes no sense for an office that currently does not have an owned or MIP inventory to attempt to gear up to begin a MFPD operation when it takes over a property as owner or MIP for the first time.
- The most effective and efficient administration of the MFPD function would be through consolidation of control of the function into as few offices as possible. A group of program experts could then handle a greater workload more effectively and efficiently.
- The scope of responsibility of the few MFPD HUBs would stay fluid, could change from that which is initially set; would not be based solely on old regional structures, but rather in a fashion to best address inventory fluctuations as they arise.
- Current availability of expert staff is essential to a successful operation to take advantage of the program expertise which exists around the country and the possibilities for travel cost efficiencies.

Contracting -

- In order to maximize the effectiveness of the MFPD HUBs, administrative contracting support for MFPD should be concentrated into no more than two of Administration's new Service Centers. After consultation with the Office of Procurements and Contracts, we recommend the centralization of administrative contracting support for MFPD into the Atlanta and Denver Offices.
- MFPD would enhance its historic emphasis on subcontracting by Project Managers. HUD staff would perform no contracting for goods and supplies. Each Project Manager would have sufficient subcontracting authority to furnish such items, along with project related services, e.g., repair/rehabilitation planning and specification preparation, artisan services. HUD staff would serve the GTR and GTM functions. This emphasis is already successfully in place in many offices.
- Currently, there are too many Project Managers, having to be trained on our PMS system each time one of these contracts has to be resolicited at various times. The workload alone involved in procuring these services is tremendous and duplicative. This impacts on the quality and timing of dispositions. MFPD HUBs would probably have no more than 2 project managers.

POSSIBLE MFPD FIELD ORGANIZATIONAL STRUCTURE

While each site selected would have the flexibility to manage its staff to successfully accomplish the goals and objectives for MFPD management and sales, we anticipate the following skills/staff needs:

1. **Sales.** A sales staff responsible for all activities associated with the sale of properties including, but not limited to development of foreclosure and disposition packages, advertising and marketing, negotiating with potential purchasers, providing information to potential purchasers, right of first refusal processing, assuring sales are promptly closed, post closing repair requirement monitoring, etc. In projecting staffing needs for this function, we estimate one professional (GS-12/13) for approximately 5-10 projects, depending on the complexity of the projects.
2. **Management and Operations.** A Management and Operations staff responsible for the management of the MIP and owned projects. Staff would serve as GTR and/or GTM on all Project Management contracts. In projecting staffing needs for this function, we estimate one professional (GS-12/13) for approximately 5-10 projects, depending on the complexity of the projects.
3. **Realty Technicians (GS-7/9),** whose primary responsibility would be to assure that information in the Property Management System (PMS) is always accurate and up-to-date.
4. **Administrative Support personnel.**
5. The following position would be optional, at the discretion of the Multifamily Housing Director in the office where the MFPD HUB is located:
 A Supervisory MF REO Specialist (GS-13/14), who would also be an experienced senior member of either the Sales or Property Management and Operations Team (and who would report to the Multifamily Housing Director).

This organization would function under a team concept, i.e., technicians from Sales and the Property Management and Operations would team up to manage and sell projects, most likely on a geographic basis. The remainder of the staff would serve in a support capacity.

HUB Contracting

Each MFPD HUB would have, at a minimum, the following contractors/services:

1. One or two Project Managers under indefinite delivery, indefinite quantity contracts, with responsibility for all daily property operations, included all needed project repairs through subcontracting. These contractors could subcontract out for the unusual projects like Nursing Homes, Hospitals, Retirement Service Centers, etc., as needed. These contractors could/would also take greater part in sales process, and possibly the pre-foreclosure process.

HUD staff would not perform any purchasing for on-site operations, thus totally eliminating delays traditionally caused by HUD's internal procurement system.

2. A legal contractor would provide advice and assistance, prepare necessary documents, handle foreclosure sales and closings, etc.
3. An on-site inspections contractor. This could be the Headquarters contractor, or a contractor procured by the HUB.

Relationship of HUBs to field offices

It is essential that the MFPD HUBs be allowed to do their jobs in the most effective and efficient manner possible, and that the field offices who have lived with these projects for so long have input into the future of these properties.

The Multifamily Director in the office where the MFPD HUB is located would be the responsible official who authorizes all foreclosure and disposition plans. In developing these plans, the MFPD HUB staff would work closely with the local field office Asset Management staff to assure tenant and community concerns are considered in the final decision. Proactive consultations would be required. The field Asset Management staff would work closely with the MFPD HUB to ensure community and public input in determining the plan for disposition, particularly of the subsidized housing stock.

MFPD HUBs would begin to get involved with a property as early as possible, but no later than one of the following stages:

1. After the foreclosure Hearing letter is sent to the mortgagor.

The field office which formerly had jurisdiction over the property will be expected to provide advice and input on the possible conditions of a the foreclosure sales. The MFPD HUB would have full responsibility over foreclosure hearings and foreclosure and HUD-owned sales programs, including, but not limited to required notices, negotiations with possible purchasers, etc.

2. When HUD is to become MIP or when HUD is to become owner.

The field office which formerly had jurisdiction over the property would provide advice and input into operations; however, the MFPD HUB would have full responsibility over the management of all owned and MIP property. including, but not limited to, repair needs, tenant relations, collections, assuring PMS data is current and accurate, etc.

Other field offices could and should also assist the MFPD HUBs by performing "eyes and ears" functions periodically as an additional internal control over operations at the properties.

The MFPD HUB would provide consulting services to other local field offices in determining when foreclosure and/or MIP should be initiated.

Relationship of MFPD HUBs to each other

Technical staff from each MFPD HUB would be available to assist other MFPD HUBs when the need arises. Workload would be shifted among the MFPD HUBs as needed to level the workload among the MFPD HUBs. While there would be several MFPD HUBs, they would work as one MFPD Field Team for the nation.

What happens to existing staff in offices which will become MFPD HUBs?

Headquarters will work with the MFPD focal point sites to assess training needs and provide the training as appropriate.

What happens to existing staff in offices which will not become MFPD HUBs?

Staff that currently perform the MFPD function full-time, who will not perform MFPD under the new structure, would be assimilated into another area of Housing and provided appropriate training, to the extent appropriate. Given the similarity in functions and existing workload demands, it is anticipated that, in most instances, they would move into Multifamily Asset Management where there is a high demand for individuals with experience in dealing with troubled assets.

For staff that performed the MFPD function on a part-time basis, removing this function would, in most cases, allow staff to spend full-time on other duties. In most instances, they are in Asset Management and would be able to better function in that position. Retraining for other positions, if needed, would be provided to the extent possible. The workload shift will not have any adverse impact on any bargaining unit employees' grade and/or promotion potential.

There is an existing training plan for Asset Management which would be used in conjunction with other training needs identified.

Analysis of field office locations

The criteria we propose to use to determine locations for the MFPD HUBs are:

1. Current and future capacity to perform the MFPD function for a minimum of 50-75 projects per year.
2. Availability of HUD staff/contractors to support the function.
3. Flexibility of available staff to handle the ebbs and flows of the inventory.
4. Willingness of the office management and staff to take on this responsibility in consideration of other priorities and resource limitations.

Tie breaker

5. Proximity to the workload.

MFPD HEADQUARTERS

What is headquarters MFPD'S role? Headquarters, in addition to its regular program support and guidance functions would, at a minimum, provide the following national contractors to support the MFPD function nationwide:

1. Mailing list contractor to maintain the national mailing list.
2. Advertising contractor to place ads.
3. Tax appeal contractor to assure tax appeals are uniformly addressed across the country.
4. Inspection, evaluation, advice and assistance contractor.
5. National repair/architectural advisor.
6. PMS/successor system

LOCAL UNION INVOLVEMENT

Management recognizes its obligation to fulfill all local level impact and implementation bargaining associated with the workload shift.

NATIONAL SUPPLEMENT
between the
Department of Housing and Urban Development
and the
American Federation of Government Employees, AFL-CIO
National Council of HUD Locals 222

Subject: PIH Managing Attrition

Scope: This Supplement results from negotiations on the impact and implementation of the Office of Public and Indian Housing's plan for managing attrition and meeting the objectives of the HUD transformation. This Supplement encompasses changing geographical jurisdictions, voluntary relocations, and the creation of field financial support centers.

1. **Implementation**

Management will delay the implementation in the change of geographic jurisdiction of field offices until the Fiscal Year 96 HUD Voluntary Transfer Program has been completed. Management will provide the Public Housing working group the status, office by office, of the impact on staffing levels of the Voluntary Transfer Program and Buyouts, if offered, as well as, any additional plans to change field office boundaries. Within ten working days of receipt of this information, the Union will submit crosscutting proposals for bargaining, or unresolved issues, relative to implementing changes in the field office jurisdictional boundaries. The Union will provide Management with proposals when Management notifies the Council of any intent to change geographic jurisdictions.

2. **Conference Calls**

Management agrees to conduct quarterly conference call meetings with the Union to discuss progress, problems, ideas, concerns on how the plan is working, and identifying areas with increased or decreased needs.

3. **Joint Working Groups**

Management will establish a working group consisting of field managers and AFGE representatives to establish guidelines for relationships between the host and satellite offices. This group will look at ways to promote efficient program delivery and insure that all employees are treated equitably.

4. **Rights of the Parties**

Management agrees that this Supplement does not impair the rights of either party as provided by the HUD/AFGE MOU 95-1 which is incorporated by reference and attached to this Supplement.

5. **No Reduction in Force**

Management agrees that the Public Housing reorganization shall not result in a reduction-in-force. The parties agree that this Supplement is not intended to result in the closing of offices or the creation of processing centers.

6. **Details**

Management agrees to use details of qualified employees from other cylinders to the extent possible to alleviate workload shortages in the Public Housing Offices.

7. **Employee Meetings**

Management agrees to conduct a meeting to inform bargaining unit employees of the contents of this Supplement prior to its implementation. The Union shall be notified of the date and time of this meeting and invited to participate.

8. **Voluntary Transfer**

The Public Housing Voluntary Transfer Program shall be done consistent with applicable guidelines and procedures of the HUD Voluntary Relocation Program guidelines and procedures as outlined by the Deputy Secretary.

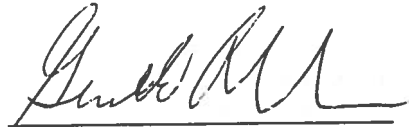
9. **Distribution**

Management will provide each local president with a copy of this Supplement as soon as possible after it is signed by the parties. The Union will provide a current list of the names and addresses of local presidents within 10 days after this Supplement is signed by the parties.

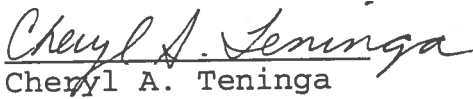
10. **Local Bargaining**

Impact and Implementation (I&I) bargaining may be conducted at the local level.

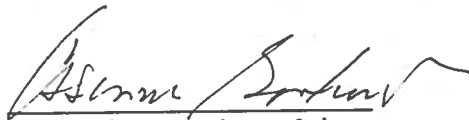
FOR MANAGEMENT:



Gerald A. Alexander
Chief Negotiator

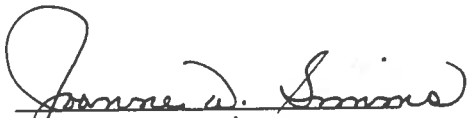


Cheryl A. Teninga



Casimir Bonkowski

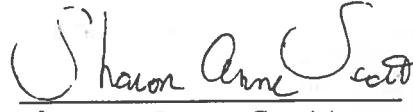
Approved:



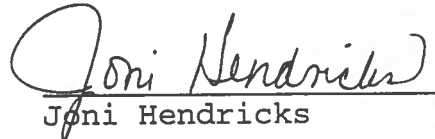
Joanne W. Simms
Director
Office of Human Resources

Date: 8.20.96

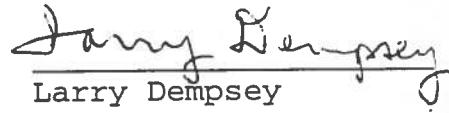
FOR THE UNION:



Sharon Anne Scott
Chief Negotiator



Joni Hendricks



Larry Dempsey

Approved:



Mortimer F. Coward
President
National Council of HUD
Locals 222

Date: 8/19/96

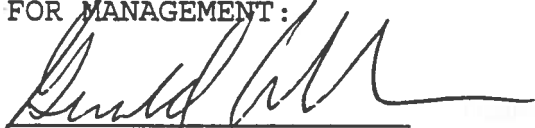
NATIONAL SUPPLEMENT
between the
Department of Housing and Urban Development
and the
American Federation of Government Employees, AFL-CIO
National Council of HUD Locals 222

Scope: FHEO Title VIII Business Process Redesign (BPR)
Pilot Program

1. Management agrees to predecisionally discuss the BPR implementation plan through the five union representatives appointed by the Union who serve on the Implementation Planning Team.
2. The BPR pilot shall apply to the offices located in Chicago, Kansas City, and Philadelphia.
3. Management agrees that all BPR documents developed by the BPR process teams will be presented to the Implementation Planning Team.
4. Management agrees that the union reserves the right to bargain on issues not resolved by the Implementation Planning Team prior to national implementation.
5. The Union agrees that all issues raised by any of the BPR process teams, including union members participating on such teams, will be presented to the Implementation Planning Team for review or resolution.


SUPPLEMENT 41

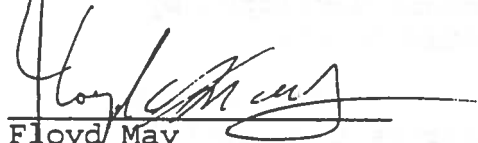
FOR MANAGEMENT:


Gerald Alexander
Chief Negotiator

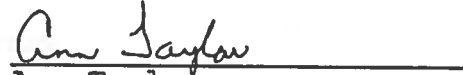

Susan M. Forward

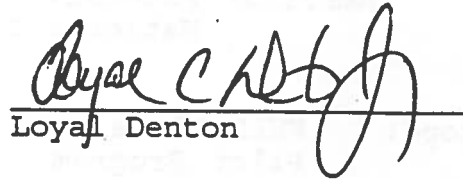

Sara Pratt



Theodore R. Daniels



Floyd May

FOR THE UNION:

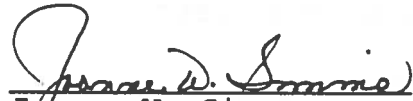

Ann Taylor
Chief Negotiator


Loyal Denton


Randolph Cross

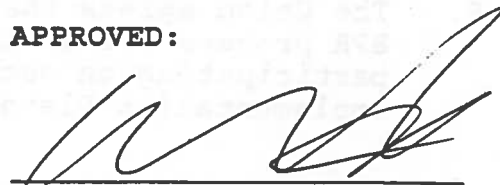

Boyd C. Chisholm

APPROVED:


Joanne W. Simms
Director
Office of Human Resources

Date: 11.12.96

APPROVED:


Mortimer F. Coward
President
National Council of HUD
Locals 222

Date 11/8/96

NATIONAL SUPPLEMENT
between the
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
and the
AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES, HUD COUNCIL 222

SUBJECT: Florida State Office Upgrade

SCOPE: The scope of this supplement encompasses the upgrade of the Florida State Office.

1. Management agrees that the remaining unfilled positions in the Florida State Office under the current staffing plans will be merit staffed in accordance with the HUD/AFGE Agreement.
2. Management agrees to negotiate with the union on any national strategy to adjust staffing imbalances prior to implementation and in accordance with the HUD/AFGE Agreement. Adjustments regarding staffing/workload imbalances affecting local office(s) will be subject to local consultation.

Management agrees to provide copies of the vacancy announcements under the current staffing plans to the four Florida Office representatives designated by the union, until such time as the four local offices have the capability to receive them electronically.

Management agrees that employees in the State of Florida will not receive a reduction in pay, grade, or loss of job due to the upgrading of the Florida State Office.

Management agrees cross cylinder training is an issue for resolution at the local level.

SUPPLEMENT 41

FOR MANAGEMENT:

Gerald Alexander
Gerald Alexander
Chief Negotiator

Lily Lee
Lily Lee
Housing

Barbara Dorf
Barbara Dorf
CPD

Friscilla Lewis
Friscilla Lewis
PIH

Bessie C Henderson
Bessie Henderson
CGC

Deborah Harrison
Deborah Harrison
FHEO

APPROVED:

Joanne W. Simms
Joanne W. Simms
Director
Office of Human Resources

Date: 1.14.97

FOR THE UNION:

Mortimer Coward
Mortimer Coward
Chief Negotiator

Debra Dye 12/11/96
Debra Dye 12/18/96

James Sutton 12/20/96
James Sutton

Sherry Norton
Sherry Norton

APPROVED:

Mortimer F. Coward
Mortimer F. Coward
President
National Council of HUD
Locals 222

Date: 1/10/97

NATIONAL SUPPLEMENT
between
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
and
AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES
NATIONAL COUNCIL OF HUD LOCALS 222

SUBJECT: HUDware II

SCOPE: The scope of this supplement encompasses the impact and implementation of HUDware II throughout the Department.

1. Training: In order to ensure the widest range of computer literacy within the Department, management will offer a wide variety of types of training in the use of HUDware II. For example, classroom training, computer based training and desktop reference material all will be offered. The intent of these offerings is to accomplish the training of as many employees as possible.
2. Volunteers: Management will seek volunteers to train as resource persons for co-workers during the implementation of HUDware II.
3. Testing: Management will not test employees' proficiency in HUDware II as a condition of their use of it.
4. Installation: Employees will be allowed to install HUDware II during their normal duty hours.
5. Document Conversion: Management will provide information to employees on converting documents from current HUD standard software to HUDware II prior to removing access to current software.
6. Individual Development Plans: Management will not require the updating of Individual Development Plans as a precondition to the approval of HUDware training.
7. Upgraded Computers: Management will work with employees, as needed, to maximize the capability of their workstations to utilize HUDware II.
8. Related Software: Subject to available funding, management will make "Access" available to those employees who request it for legitimate work-related purposes.

9. Grace Period: Management intends to complete Department-wide installation of and basic training in HUDware II, by September 30, 1997. A grace period of sixty (60) days beyond the date of actual Departmentwide completion will be provided prior to removing access to corresponding HUDware I software.

10. Performance Appraisal: Management will consider the time spent by employees transitioning into the use of HUDware II in the employees' performance appraisals. In this regard, any temporary reduction in productivity due solely to the need to transition will not be held against an employee.

11. Union Involvement: Management recognizes, consistent with Executive Order 12871, its obligation to seek union predecisional input regarding future changes to the HUDware II Platform.

12. Installation Schedule: At Headquarters and in each field office, as HUDware II installation schedules are developed, they will be provided to the pertinent union representative(s) prior to their implementation.

HUD/AFGE AGREEMENT

For Management:

Norman Mesewicz
Norman Mesewicz
Chief Negotiator

Anne H. Anderson
Anne H. Anderson

Nancy Brennan
Nancy Brennan

V. Carol Peoples
V. Carol Peoples

Approved: *Joanne W. Simms*
Joanne W. Simms
Director
Office of Human Resources

Date: 3-21-91

For the Union:

Loyal C. Denton, Jr.
Loyal C. Denton, Jr.
Chief Negotiator

Jane C. Graham
Jane C. Graham

Ben Williams
Ben Williams

Approved: _____
Mortimer F. Coward
President, AFGE National
Council of HUD Locals 222

Date: _____

NATIONAL SUPPLEMENT
between the
Department of Housing and Urban Development
and the
American Federation of Government Employees, AFL-CIO
National Council of HUD Locals 222

SUBJECT: Certification of Multifamily Appraisers

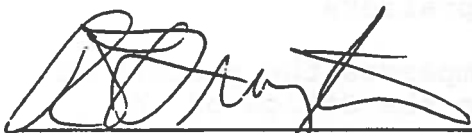
SCOPE: The scope of this supplement encompasses the process of certification of multifamily appraisers in the Office of the Deputy Assistant Secretary for Multifamily Housing Programs.

1. **GRACE PERIOD STATUS** - Management agrees that appraisers who have failed to acquire certification will not be removed during the grace period. At the expiration of the grace period, these appraisers may be reassigned to another position in any area.
2. **INDIVIDUAL TREATMENT** - Management agrees to treat each of the twenty affected appraisers individually with respect to their certification.
3. **CERTIFICATION TRAINING** - Affected employees who have not been given the training under the May 1993 Union-Management agreement on the subject will be offered such training and given an appropriate grace period in which to complete same.
4. **COSTS OF TRAINING** - For those employee who had not been given training opportunities noted in Number 3 above, Management will pay all costs connected with such training. All other costs shall be borne by the employee.
5. **HOURS OF EXPERIENCE** - Management agrees to provide each of the appraisers with ample opportunity to acquire the necessary hours to complete the requirements to become certified.
6. **LEAVE FOR TRAINING** - Management agrees to allow each of the affected appraisers the use of liberal annual leave and leave without pay for purposes of attending certification courses, examinations, and other appropriate licensure appointments and meetings. Additionally, appraisers may use credit hours in accordance with the collective bargaining agreement. Any affected employee who had not been given the training opportunities noted in Number 3 above will be granted administrative leave for those purposes.

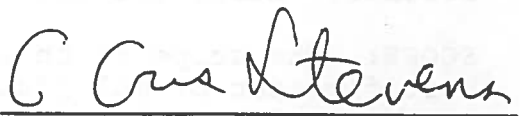
HUD/AFGE AGREEMENT

For Management:

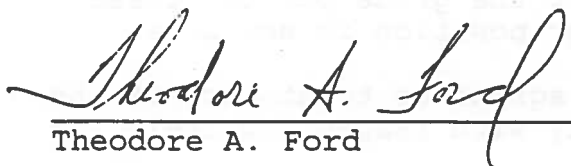
For the Union:



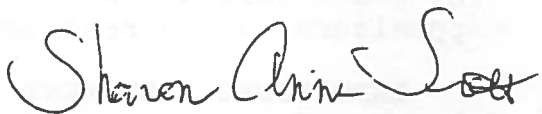
Ronald L. Frampton
Chief Negotiator



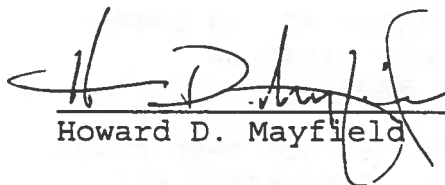
C. Gus Stevens
Chief Negotiator



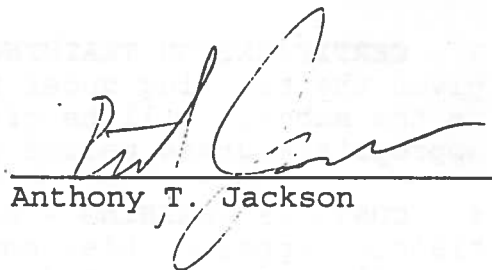
Theodore A. Ford



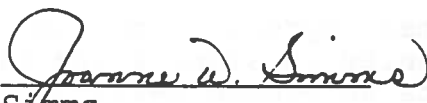
Sharon Anne Scott


 3/26/97

Howard D. Mayfield



Anthony T. Jackson

Approved: 
Joanne W. Simms
Director
Office of Human Resources

Approved: 

Mortimer F. Coward
President, AFGE National
Council of HUD Locals 222

Date: 4.14.97

Date: 4/5/97

Agreement

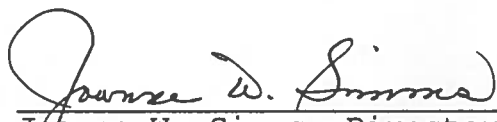
Between

Department of Housing and Urban Development
and

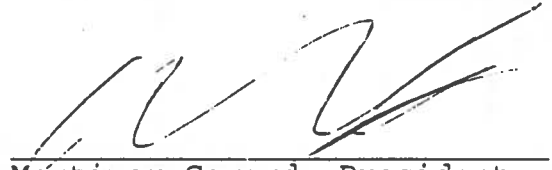
American Federation of Government Employees, Locals 222

Subject: Merit Staffing

Given the reduced time that all announcements for super postings are open and in recognition that many employees will be applying for multiple positions, it is not necessary for any candidate to submit either, a complete official performance appraisal, or a narrative statement relating the appraisal to the quality ranking factors. Candidates must submit a copy of only the front coversheet of his or her latest official rating of record or attest to the actual rating. This will be verified by the OHR staff. There is no requirement to submit all pages of that rating of record. An applicant is not to submit the coversheet of his or her latest Mid-Term Progress Review. Candidates must also submit a "list" of their awards and date received in their application package.


Joanne W. Simms, Director
Office of Human Resources

10.14.97


Mortimer Coward, President
National Council of HUD
Locals 222

(10/14/97)

**NATIONAL SUPPLEMENT
BETWEEN
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AND
AFGE COUNCIL OF HUD LOCALS 222**

**HUD 2020 MANAGEMENT REFORM PLAN AND
NATIONAL STAFFING POLICY - IMPLEMENTATION AGREEMENT**

PREAMBLE:

Whereas management desires to work in partnership with AFGE to reorganize the Department of Housing and Urban Development to make it more effective and efficient as outlined in the HUD 2020 Management Reform Plan;

Whereas the AFGE appreciates management's efforts to work in partnership and desires to cooperate in mutually productive efforts;

Whereas the Department will undergo a period of transition to move from the current organization to the new structure;

Whereas both management and AFGE understand and endorse the organizational transformation aspects of HUD 2020 Management Reform Plan concepts and program redesign, including consolidation centers located throughout the country; and,

Whereas both management and AFGE desire to use buyouts, attrition, and aggressive outplacement services, as opposed to any reductions in force;

IT IS AGREED AS FOLLOWS:

1. The criteria set forth in this staffing plan will be applied Agency-wide for bargaining unit positions.
 - A. With respect to staffing substantially similar positions, the following procedures shall be followed:
 1. Reassignment to substantially similar positions in local commuting areas;

2. Any positions not filled by the above method will next be filled using the merit selection process. Applications will be accepted and considered from outside of the local commuting area;

3. Any positions still unfilled will be filled by management's directed reassignment of an employee;

4. Any position still unfilled will be filled by outside hires.

B. With respect to staffing new positions, the following procedures will apply:

1. In the offices listed below the merit selection process will be restricted to HUD status candidates:

- Assessment Center
- Enforcement Center
- Section 8 Financial Management Center
- Title I Asset Recovery Center
- FHA/Single Family Homeownership Centers (4)
- Multifamily Disposition Centers (2)
- PIH Troubled Agency Recovery Centers
- PIH Grants Processing Center
- PIH Special Applications Center
- Community Builders
- CFO Accounting Center

Except, where positions require special skills, merit staffing will be extended to non-status candidates, e.g. HUD attorneys and policy level Community Builders, GS-13, 14 and 15.

2. Any positions still unfilled will be filled by management's directed reassignment of an employee;

3. Any position still unfilled will be filled by outside hires.

C. DEFINITIONS:

SUBSTANTIALLY SIMILAR: For the duration of Management Reform implementation, a "substantially similar" position is defined as one that entails similar duties, critical elements, and qualification requirements, and can be performed by the incumbent with little loss in productivity.

LOCAL COMMUTING AREA: For the duration of Management Reform implementation, a "local commuting area" will be defined in accordance with applicable regulations under Title 5 of the Code of Federal Regulations. Local commuting area is therefore the geographic area that usually constitutes one area for employment purposes. It contains any population center (or two or more neighboring ones) and the surrounding localities in which people live and can reasonably be expected to travel back and forth daily to their usual employment.

2. MERIT STAFFING: The parties agree that in consideration of the above, and in order to facilitate an expeditious staffing process, the following modifications to Article 13, Merit Promotion and Internal Placement, of the HUD/AFGE Agreement will prevail:

A. Section 13.08(B)(b) Positions advertised Department-wide shall be open a minimum of fourteen (14) calendar days.

B. Section 13.08(5) All vacancy announcements will be posted electronically, hard copies will not be distributed.

C. Section 13.11(2) When a vacancy is announced at more than one grade, separate competitive placement certificates will be issued for each grade level. Each competitive placement certificate is independent and interviews need only be conducted with all or none of the candidates at the grade at which the selection is made.

D. Section 13.10(3)(c) A personnel specialist will rate and rank applicants whenever management decides not to use a panel.

The aforementioned conditions will be waived only for a 120 day period, unless extended by mutual consent of the parties. At that time, the regular Department employment procedures and policies will be reinstated for both status and non-status individuals who will be hired, both internal and external to the Department.

3. Outplacement services will be provided to all employees not placed through the above options. Outplacement will focus on targeted skill development for unplaced employees in their current positions to enhance their marketability.

4. Employees not selected by the above procedures will be able to support the Department's transition from the current structure to the new organization. Such support may be accomplished, for example, by means of waivers of qualifications, details, and assignment to location neutral work. They may receive approximately one to six weeks of training which will allow them to be assigned to assist in Management Reform transition.

5. Management agrees that unplaced employees will be given adequate time to complete outplacement counseling, resume writing, mock interviews, outside interviews, and any other assistance through the Department's outplacement program.
6. All employees may take advantage of the Department's outplacement program.
7. Before placement decisions are implemented, the Agency, including all program and support areas, shall provide organizational models in the HUD 2020 Management Reform Plans. On a National Level, management shall consult with the Union as appropriate.
8. There will be two categories of positions in the actual placement process. They are:
 - a. Substantially Similar Positions
 - b. New Positions
9. At the National level, there will be a joint labor/management team established to oversee the implementation of the HUD 2020 Management Reform Plan.
10. Management shall provide the Union and each affected employee with the new organizational structure showing employees placed in substantially similar positions.
11. Unplaced employees will receive a letter explaining their status and rights. The Union shall be consulted about the content of these letters prior to distribution.
12. After unplaced employees have been identified, a database will be established including the names, titles, series and grades of unplaced employees. This database will be electronically accessible by the HUD/AFGE Council.
13. Management will pay travel and relocation expenses for all employees relocated as a result of Merit Staffing selections or reassignments as a result of the implementation of the HUD 2020 Management Reform Plan.
14. Management shall conduct a meeting to explain to all employees the full scope of the staffing and outplacement program.
15. A copy of the staffing plan and a description of the outplacement program shall be provided to all employees prior to the meeting.
16. Impact and implementation bargaining on the HUD 2020 Management Reform Plan will occur, as appropriate, on the local level.

17. Upon mutual agreement Management and the Union shall meet at the local level with representatives of all Program areas during the implementation of the HUD 2020 Management Reform Plan. The purpose of these meetings is to exchange information regarding Management Reform and discuss recommendations to resolve problems, concerns, or issues.
18. Upon mutual agreement at the local level the parties shall meet with all employees during the implementation of the Management Reform.
19. Nothing in this plan shall waive, limit or impair the statutory or legal rights of individual employees or their representatives.
20. Within thirty (30) days of reassignment, Management will develop new EPPES elements and standards based upon the duties the affected employee will be doing. Employees given new duties as a result of the Management Reform shall be trained as needed in order to satisfactorily perform those duties. Individual performance appraisals will not be adversely affected because of reasonable time spent in approved outplacement activities.
21. Each employee will have the right to modify his/her Individual Development Plan (IDP) to incorporate further training as requested by the employee as a result of the Management Reform.
22. Employees will be provided with appropriate workload adjustments for all training activities and other activities that they are required to engage in as a result of the implementation of Management Reform. Employees will be given a reasonable period to adjust to their new jobs, and to new practices and procedures that may be established as a result of the implementation of the Management Reform.
23. Management agrees that in recognition of the potentially disruptive effects of the reform plan, and in this regard desirous of showing its good faith to minimize these effects, it will not, within 120 days of the execution of this agreement, initiate a change in the status of a supervisory employee that results in a competitive disadvantage to a current non-supervisory, non-management employee.
24. Temporary promotions are authorized, as needed, of all staff who are assigned to higher graded positions pursuant to the implementation of the Management Reform in accordance with Article 14.05 of the HUD/AFGE Agreement.

25. Affected employees shall receive official time to prepare SF-171s and other required documentation. Use of government equipment, including computers, telephones, typewriters, fax machines and copiers shall be allowed to the extent it does not interfere with programmatic work. Management shall endeavor to provide software for automated SF-171 application preparation. Employees may prepare documentation at their work stations, or may use vacant computer stations if they are not being used for programmatic work. Employees will not be required to disclose to their supervisors the vacancy for which they are applying or indicating a preference.

26. Management agrees to adjust the official time allocated under Article 7 of the HUD/AFGE Agreement, as needed, to accommodate the increased demands on union representatives resulting from or associated with development and implementation of the Management Reform Plan. All dispute with respect to the use of official time under this provisions, if not resolved at the local level, will be resolved through consultation between the Headquarters Labor Relations Division and the Council President.

27. Implementation shall be monitored locally by a committee consisting of equal numbers representing management and the Local Union. The parties at the local level will resolve any problems identified through the monitoring process, except that if, the problem is not resolved, it will be elevated to the National level.


28. In accordance with Section 9.05 of the HUD/AFGE Agreement, employees whose position descriptions are impacted by this Transformation shall be encouraged to discuss such impact with their supervisors. Disputes involving the qualitative or quantitative value or tasks performed by the employees which might affect the grade level of a job may be appealed to the Department and/or other authorities.


29. All parties agree that efforts will be made to accomplish organizational changes by the end of Fiscal Year 1998. All parties agree to avoid a reduction in force. In the event such a reduction is necessary, it will not be implemented until 2002.

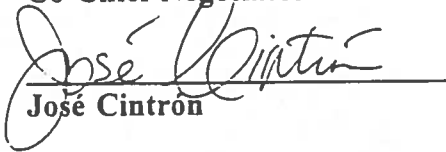
HUD/AFGE Partnership for Change
HUD 2020 Management Reform Plan

As both parties believe this agreement is in the best interests of the employees and the Department, they will therefore work in concert with each other and in the spirit of partnership in all public and private efforts to enact all provisions in the HUD 2020 Management Reform plan.

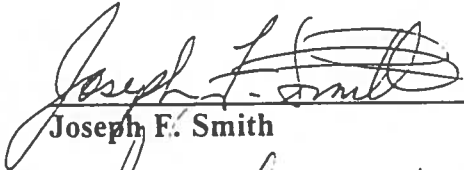
For Management:


Barbara J. Edwards
Co-Chief Negotiator


Norman Mesewicz
Co-Chief Negotiator


José Cintrón


Bruce H. Needham


Joseph F. Smith

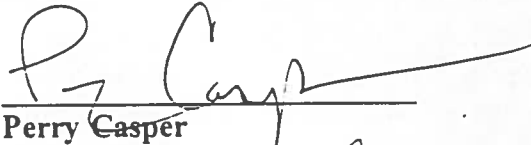

George L. Weidenfeller

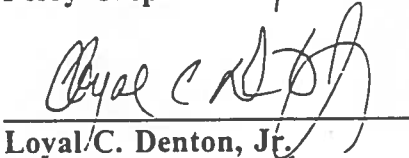
Approved:

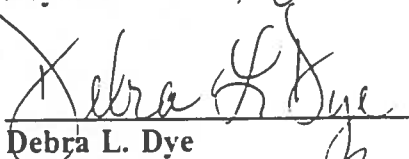

Andrew Cuomo
Secretary

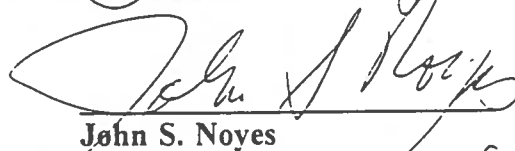
For the Union:

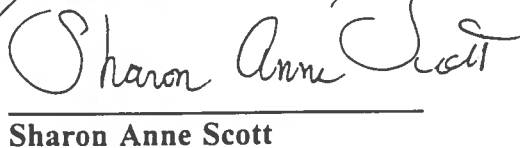

Mortimer F. Coward
Chief Negotiator


Perry Casper



Loyal C. Denton, Jr.


Debra L. Dye


John S. Noyes


Sharon Anne Scott

Approved:


Mortimer F. Coward
President, National
Council of HUD
Locals 222

August 10, 1997

HUD 2020 Management Reform Plan

**NATIONAL SUPPLEMENT
BETWEEN THE
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
AND THE
AMERICAN FEDERATION OF GOVERNMENT EMPLOYEES
NATIONAL COUNCIL OF HUD LOCALS 222**

SUBJECT: HUD 2020 Management Reform Plan

SCOPE: This Supplement consists of the agreement between Management and the Union on issues regarding implementation of the HUD 2020 Management Reform Plan. Staffing matters associated with the HUD 2020 Management Reform Plan are addressed in the companion supplement entitled "National Staffing Policy - Implementation Agreement" executed on August 10, 1997.

1. **Employment Opportunities** - Management agrees to give any displaced employee every opportunity, in accordance with applicable laws and regulations, to provide professional, contracting, or consulting services.
2. **Temporary Term and Excepted Employees** - Management and the Union recognize the valuable and dedicated contributions of temporary, term and excepted service employees and will, in accordance with applicable laws and regulations, consider their interests in staffing decisions.
3. **Cross Program Placement** - Management agrees that cross program placement will be utilized whenever and wherever possible.
4. **Mobility Agreements** - Management agrees to minimize the use of mobility agreements as a condition of employment, job selection or promotion.
5. **Position Management** - Management agrees that in appropriate cases, i.e., for the benefit of employees affected by the HUD 2020 Management Reform Plan, it will consider taking certain personnel actions.
6. **Grade and Pay Retention** - Grade and/or pay retention will be applied to all employees in accordance with the provisions of 5CFR 536.103(b) and 536.104(b).
7. **Outplacement Activities** - Management agrees to the use of excused absences for employees to go to job interviews pursuant to the Outplacement Program.
8. **Official Time** - Management agrees that the organizational transformation aspects of the HUD 2020 Management Reform Plan will necessitate a review of the provisions of Article 7, Official Time, of the HUD/AFGE Agreement.

9. **Job Sharing** - Management agrees to partner with the Union on the establishment and implementation of job sharing.
10. **Inter-agency Transfer Programs** - Management agrees to partner with the Union on inter-agency transfer programs.
11. **Local Bargaining** - The parties shall bargain at the local level as appropriate.
12. **Employee Meetings** - Management agrees to conduct a meeting to inform employees in each program area of the particular aspects relative to their portion of the reform prior to implementation. The union shall be notified of the date and time of this meeting and invited to participate.
13. **Continued Bargaining** - Management agrees to the extent transformation issues/reform plan(s) are still in the developmental stage or not yet finalized, they will be subject to partnering and Article 5, Mid-Term Bargaining, of the HUD/AFGE Agreement.
14. **Training** - Management acknowledges that HUD 2020 Management Reform related training plans are proper subjects for partnering with the Union at the National Level, with appropriate input at the local level.
15. **Supplements** - Previous supplements to the HUD/AFGE Agreement remain in effect to the extent that they are not in conflict with the HUD 2020 Management Reform Plan.
16. **Successive Relocations** - Management agrees not to penalize any employee based on successive relocations directed by management.
17. **Relocations** - Management agrees to give an employee up to 120 days to relocate to the site of his or her job.
18. **Certification** - Management agrees not to require certification as a precondition for holding any position, if certification was not required prior to implementation of HUD 2020 Management Reform Plan.
19. **Certification Training** - Management agrees that training will be made available for all employees to receive certification for positions that require certification.
20. **Customer Service** - Management will utilize available new technology, as appropriate, to minimize the disruption of customer service provided at the local level during implementation of the HUD 2020 Management Reform Plan.
21. **Technology** - Management agrees to make a good faith effort to provide training and have technology in place prior to staff reductions.

- 22. **Buyout** - Contingent on the availability of funds, Management agrees to make every good faith effort to offer additional buy-outs in FY 1998 in accordance with the terms of the Department's current buy-out program.

- 23. **Contracting**
 - a. Management agrees to employ, where applicable, the A-76 process, to the extent any function performed by HUD employees is to be contracted out.
 - b. Management agrees to use, as appropriate, the A-76 process and best practices before contracting out current HUD functions.

- 24. **Contracting Training** - Provided sufficient interest exists on the part of employees, funds are available and resources permit, management will provide training on contracting.

- 25. **Scope of Contracting** - Management agrees that, if contracting out is to be used, at its discretion and in accordance with the goals of the HUD 2020 Management Reform Plan, it will consider limiting the geographic/functional scope of the contract.

HUD 2020 Management Reform Plan

For Management:

Norman Mesewicz
Norman Mesewicz
Chief Negotiator

Inez Banks-Dubose
Inez Banks-Dubose

Theodore R. Daniels
Theodore R. Daniels

Peggy X. Grant
Peggy X. Grant

William C. King
William C. King

Stanley Seidenfeld
Stanley Seidenfeld

Cheryl A. Teranga
Cheryl A. Teranga

Jack A. Underhill
Jack A. Underhill

Cheryl Wright-Mayer
Cheryl Wright-Mayer

Joanne W. Simms
Approved:
Joanne W. Simms
Director
Office of Human Resources

For the Union:

Mortimer F. Coward
Mortimer F. Coward
Chief Negotiator

Perry Casper
Perry Casper

Larry Dempsey
Larry Dempsey

Loyal C. Denton, Jr.
Loyal C. Denton, Jr.

Debra L. Dye
Debra L. Dye

Edward E. Eitches
Edward E. Eitches

John S. Noyes
John S. Noyes

Victoria Reese
Victoria Reese

Sharon Anne Scott
Sharon Anne Scott

C. Gus Stevens
C. Gus Stevens

Mortimer F. Coward
Approved:
Mortimer F. Coward
President, National
Council of HUD Locals 222
8/15/97

STATUS OF OFFICE RECOGNITION AND COVERAGE

AFGE NATIONAL COUNCIL OF HUD LOCALS 222

Headquarters	Professionals & Nonprofessionals
New England	
Connecticut State Office	Professionals & Nonprofessionals
Maine State Office	Nonprofessionals
Massachusetts State Office	Professionals & Nonprofessionals
New Hampshire State Office	Professionals & Nonprofessionals
Rhode Island State Office	Professionals & Nonprofessionals
Vermont State Office	Nonprofessionals
New York/New Jersey	
Albany Area Office	Professionals & Nonprofessionals
Buffalo Area Office	Professionals & Nonprofessionals
Camden Area Office	Professionals & Nonprofessionals
New Jersey State Office	Professionals & Nonprofessionals
New York State Office	Professionals & Nonprofessionals
Mid-Atlantic	
Delaware State Office	Professionals & Nonprofessionals
District of Columbia Office	Professionals & Nonprofessionals
Maryland State Office	Nonprofessionals
Pennsylvania State Office	Professionals & Nonprofessionals
Pittsburgh Area Office	Professionals & Nonprofessionals
Virginia State Office	Professionals & Nonprofessionals
West Virginia State Office	Professionals & Nonprofessionals
Southeast/Caribbean	
Alabama State Office	Professionals & Nonprofessionals
Caribbean Office	Professionals & Nonprofessionals
Florida State Office	Professionals & Nonprofessionals
Georgia State Office	Nonprofessionals
Jacksonville Area Office	Professionals & Nonprofessionals
Kentucky State Office	Professionals & Nonprofessionals
Mississippi State Office	Nonprofessionals
North Carolina State Office	Professionals & Nonprofessionals

Orlando Area Office	Professionals & Nonprofessionals
South Carolina State Office	Professionals & Nonprofessionals
Tampa Area Office	Professionals & Nonprofessionals
Tennessee State Office	Nonprofessionals
Knoxville Area Office	Nonprofessionals

Midwest

Cincinnati Area Office	Nonprofessionals
Cleveland Area Office	Professionals & Nonprofessionals
Grand Rapids	Nonprofessionals
Illinois State Office	Professionals & Nonprofessionals
Indiana State Office	Nonprofessionals
Minnesota State Office	Professionals & Nonprofessionals
Ohio State Office	Nonprofessionals
Wisconsin State Office	Professionals & Nonprofessionals

Southwest

Arkansas State Office	Nonprofessionals
Dallas Area Office	Nonprofessionals
Houston Area Office	Nonprofessionals
Louisiana State Office	Nonprofessionals
Lubbock Area Office	Nonprofessionals
New Mexico State Office	Nonprofessionals
Oklahoma State Office	Nonprofessionals
San Antonio Area Office	Professionals & Nonprofessionals
Shreveport Area Office	Nonprofessionals
Texas State Office	Nonprofessionals
Tulsa Area Office	Nonprofessionals

Great Plains

Iowa State Office	Nonprofessionals
Kansas/Missouri State Office	Professionals & Nonprofessionals
Nebraska State Office	Nonprofessionals
St. Louis Area Office	Nonprofessionals

Rocky Mountains

Colorado State Office	Professionals & Nonprofessionals
Montana State Office	Nonprofessionals
Utah State Office	Nonprofessionals
Wyoming State Office	Nonprofessionals
North Dakota State Office	Nonprofessionals

Pacific/Hawaii

Hawaii State Office	Professionals & Nonprofessionals
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Northwest/Alaska

Alaska State Office
Idaho State Office
Oregon State Office
Spokane Area Office
Washington State Office

Nonprofessionals
Nonprofessionals
Nonprofessionals
Professionals & Nonprofessionals
Professionals & Nonprofessionals

Employee Grievance

U.S. Department of Housing
and Urban Development

APPENDIX D

- Employees must use this form for filing grievance at step 2 & step 3 of the grievance procedure.
- Use of this form is optional at Step 1.

• Check one of the boxes: Step 1 Step 2 Step 3

Name of grievant:

Office Code:

Duty phone:

Union representative, if any:

Office Code:

Duty phone:

Briefly describe the incident causing grievance. Include date, time, and place, management officials involved, if any. If more space is needed, continue on reverse or use separate sheet of paper:

Identify the article(s) or section(s) of the master agreement/local supplement, law, or regulation alleged to have been violated:

Identify the remedy you seek:

- Questions and/or further correspondence in this matter should be sent to the union representative and the grievant.
- The employee bears the responsibility for meeting all time limits for the filing and appeal of this grievance.
- Attach a copy of the record of informal grievance discussion, if any.

Signature of grievant & Date:

Acknowledgement of receipt by Management (if personally delivered)
Signature & Date:

If your grievance is not resolved to your satisfaction, you may submit the grievance to the next step of the grievance procedure by signing and dating this form (at right) and attaching a copy of management's reply. Any additional information you believe is pertinent should also be attached.

Signature of grievant & Date:

Forms Supply: Individuals may copy this form on office copiers as needed.

form HUD-25016 (4/90)
ref. HUD/AFGE Agreement

Performance Appraisal

U.S. Department of Housing and Urban Development

Employee Name	Social Security Number	Organization Segment	Organization Code
Position Title	Series and Grade	Dates of Appraisal Period From _____ To _____	
Date Rating Made			

GM (Performance Management and Recognition System)
 GS (General Schedule)
 WG (Wage Grade)
 GS -AFGE
 WG -AFGE

Rating Official (Signature & Date)	Employee (Signature & Date)
Reviewing Official (Signature & Date)	<p>Note: Employee signature indicates only that the rating has been discussed with the employee and does not signify agreement or disagreement with the rating.</p>

<p>Element Ratings</p> <table style="width:100%; border-collapse: collapse;"> <tr> <th style="width:10%;">Critical Element No.</th> <th style="width:15%;">Outstanding</th> <th style="width:15%;">Highly Successful</th> <th style="width:15%;">Fully Successful</th> <th style="width:15%;">Marginally Successful</th> <th style="width:10%;">Unacceptable</th> </tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table>	Critical Element No.	Outstanding	Highly Successful	Fully Successful	Marginally Successful	Unacceptable																																																																																					<p>Progress Review: Employee's initials indicate only that the progress review meeting was held. They do not indicate agreement or disagreement with the results.</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:33%;">Review Dates</th> <th style="width:33%;">Supervisor's Initials</th> <th style="width:33%;">Employee's Initials</th> </tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td></tr> </table>	Review Dates	Supervisor's Initials	Employee's Initials																					
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Summary Ratings

Outstanding
 Highly Successful
 Fully Successful
 Marginally Successful
 Unacceptable

Employee is Unratable (PMRS Only). State Reason: _____

Sensitive Information: The information collected on this form is considered sensitive and is protected by the Privacy Act. The Privacy Act requires that these records be maintained with appropriate administrative, technical, and physical safeguards to ensure their security and confidentiality. In addition, these records should be protected against any anticipated threats or hazards to their security or integrity which could result in substantial harm, embarrassment, inconvenience, or unfairness to any individual on whom the information is maintained.

Employee Name	Supervisor Name	Supervisor Title	Supervisor Division
Position Title	Position Code	Position Title	Position Code
Start Date	End Date	Start Date	End Date
Rating	Rating	Rating	Rating
Overall Rating	Overall Rating	Overall Rating	Overall Rating
Comments	Comments	Comments	Comments

Rating Official/Reviewing Official Comments

Comments	Comments	Comments	Comments
Comments	Comments	Comments	Comments
Comments	Comments	Comments	Comments

Progress Review Record

U.S. Department of Housing and Urban Development

APPENDIX F

Employee Name		Critical Element No.	Current Performance Level				
			Outstanding	Highly Successful	Fully Successful	Marginally Successful	Unacceptable
Social Security Number							
Position Title	Series & Grade						
Organization							
Date of Meeting							

Reviewing Official

Reviewing Official's Signature (Signature signifies that Reviewing Official reviewed this form)

X

Rating Official

Rating Official's Signature (Signature signifies that Progress Review meeting was held with employee)

X

Employee's Signature (Signature signifies that content of this form was discussed with employee at Progress Review meeting. It does not signify agreement or disagreement with what is recorded here)

X

Sensitive Information: The information collected on this form is considered sensitive and protected by the Privacy Act. The Privacy Act requires that these records be maintained with appropriate administrative, technical, and physical safeguards to ensure their security and confidentiality. In addition, these records should be protected against any anticipated threats or hazards to their security or integrity which could result in substantial harm, embarrassment, inconvenience, or unfairness to any individual on whom the information is maintained.

Previous editions are obsolete

Attendance Record Sheet

U.S. Department of Housing and Urban Development

Organization _____ Date _____ Page () of ()

Instructions: All employees shall be responsible for recording and certifying their arrival and departure times on this register on a daily basis. Employees shall sign in immediately prior to beginning work and sign out upon completion of the work day. Sign in and sign out shall be sequential. No employee will be required to sign out or sign in for the lunch period.

Arrival Time	Name	Departure Time	Name	Hours Absent (Less than 8 hours)	*Code	** Approved Overtime / Credit Hours Worked	*Cou
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	

Supervisor's Signature (indicates only that employee arrival and departure times are accurate) _____ Date _____

*Codes: A=Annual Leave S=Sick Leave L=LWOP E=Excused Absence CI=Comp. Time OT=Overtime Worked
 O=Other (Cour/Military) RA=Restored Annual Lv. CH=Credit Hrs. H=Holiday Worked COP=Injury Compensator


** The appropriate authorization form must be on file for all time recorded.


Arrival Time	Name	Departure Time	Name	Hours Absent (Less than 8 hours)	*Code	**Approved Overtime / Credit Hours Worked	*Cc
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	
	Print Name		Print Name	From To		From To	
	Signature		Signature	From To		From To	


*Codes: A=Annual Lv. S=Sick Lv. L=LWOP E=Excused Absence CT=Comp. Time OT=Overtime Worked O=Other (Court/Military) RA=Restored Annual Lv. CH=Credit Hrs. H=Holiday Worked COP=Injury Compensation

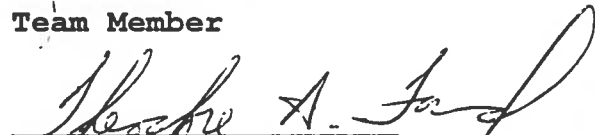
APPROVAL BY NEGOTIATING TEAM


MANAGEMENT


Barbara J. Edwards
Chief Negotiator


John C. Barnett
Team Member


Sandra D. Chavis
Team Member



Theodore A. Ford
Team Member


William E. House
Team Member


Sam E. Hutchinson
Team Member



Ron Rash
Team Member

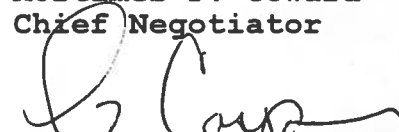
FOR MANAGEMENT: ,


Willie H. Gilmore
Acting Assistant Secretary
for Administration

Date Signed: February 10, 1998

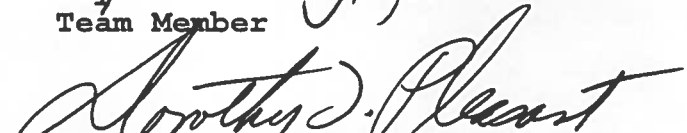
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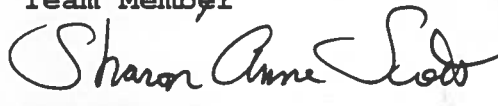

Mortimer F. Coward
Chief Negotiator



Perry Casper
Team Member


Boyd Chisholm
Team Member

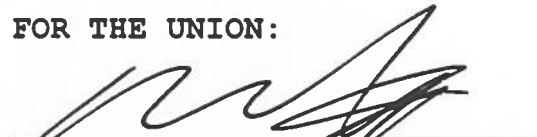

Loyal C. Denton, Jr.
Team Member


Dorothy T. Pleasant
Team Member


Sharon Anne Scott
Team Member


Ann Taylor
Team Member

FOR THE UNION:


Mortimer F. Coward
President, National
Council of HUD Locals 222

Effective Date: March 30, 1998